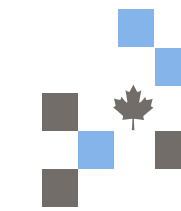




UNIVERSITY OF  
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PARTICLE  
PHYSICS



H. A. TANAKA (UNIVERSITY OF TORONTO/IPP/TRIUMF)

# NEUTRINO OSCILLATION EXPERIMENTS

## PART II

TRISEP 2016

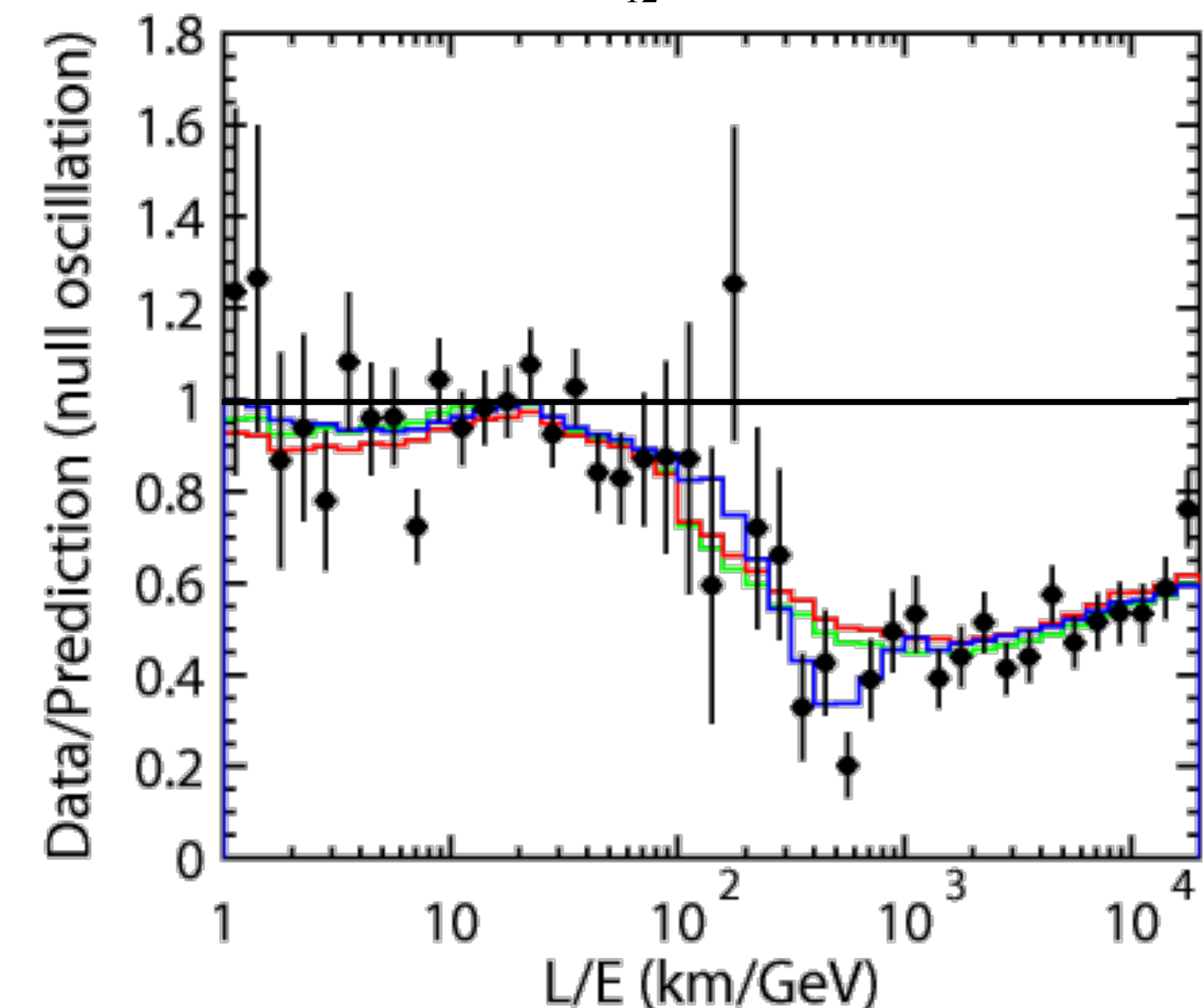
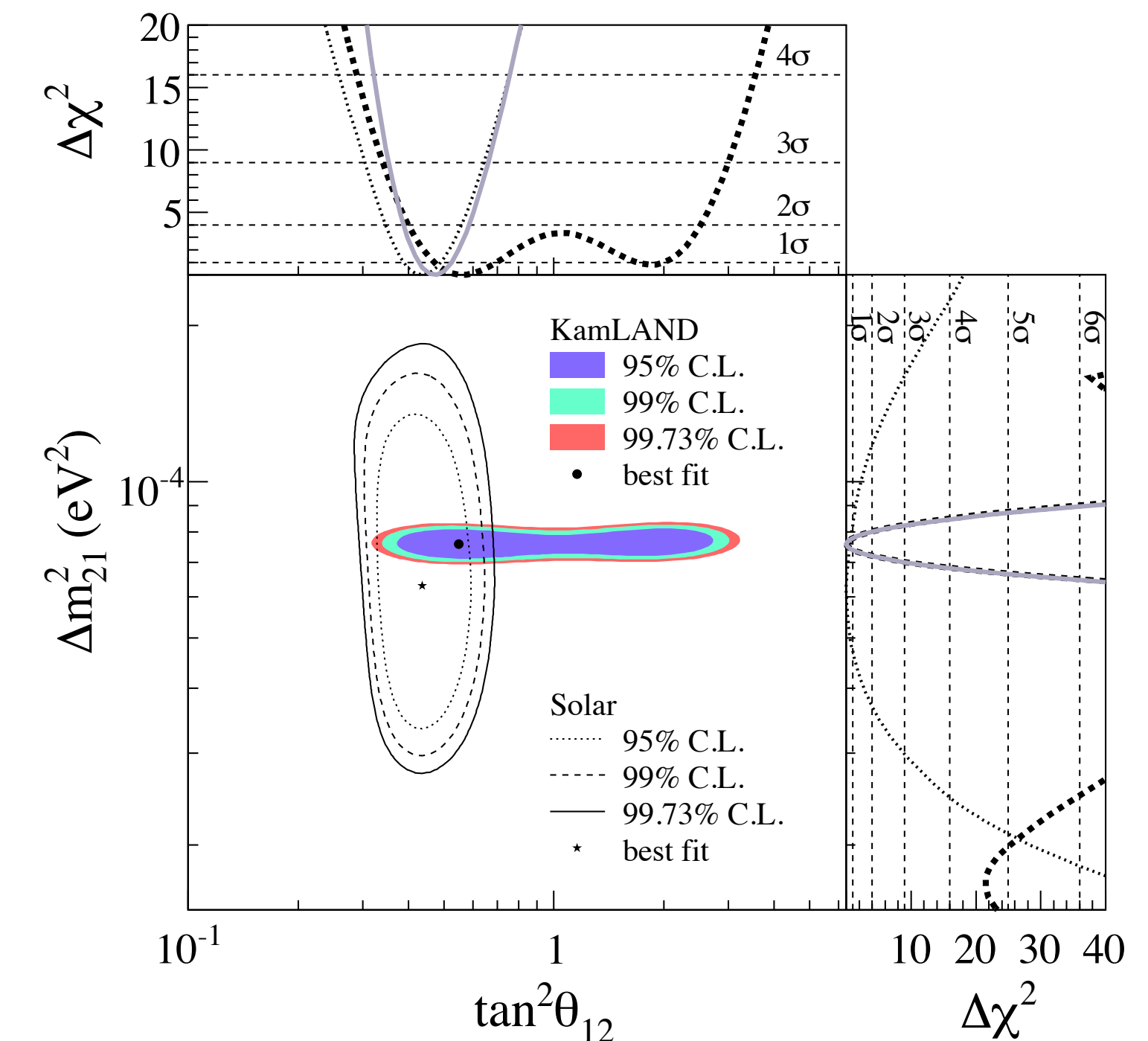
# OVERVIEW

- Yesterday:
  - Challenges of studying neutrinos experimentally
  - Neutrino sources
  - Basic categorization of neutrino detectors
  - Quick review of neutrino oscillations
  - “Classical era” of neutrino oscillations
    - reactor and solar neutrino oscillations
    - atmospheric neutrino oscillations
- Today:
  - Verifying atmospheric neutrino oscillations
    - accelerator-based experiments
  - Three-flavour mixing
    - $\nu_e$  appearance, CP violation,  $\theta_{23}$  octant, mass hierarchy . . .
  - Looking forward . . . .



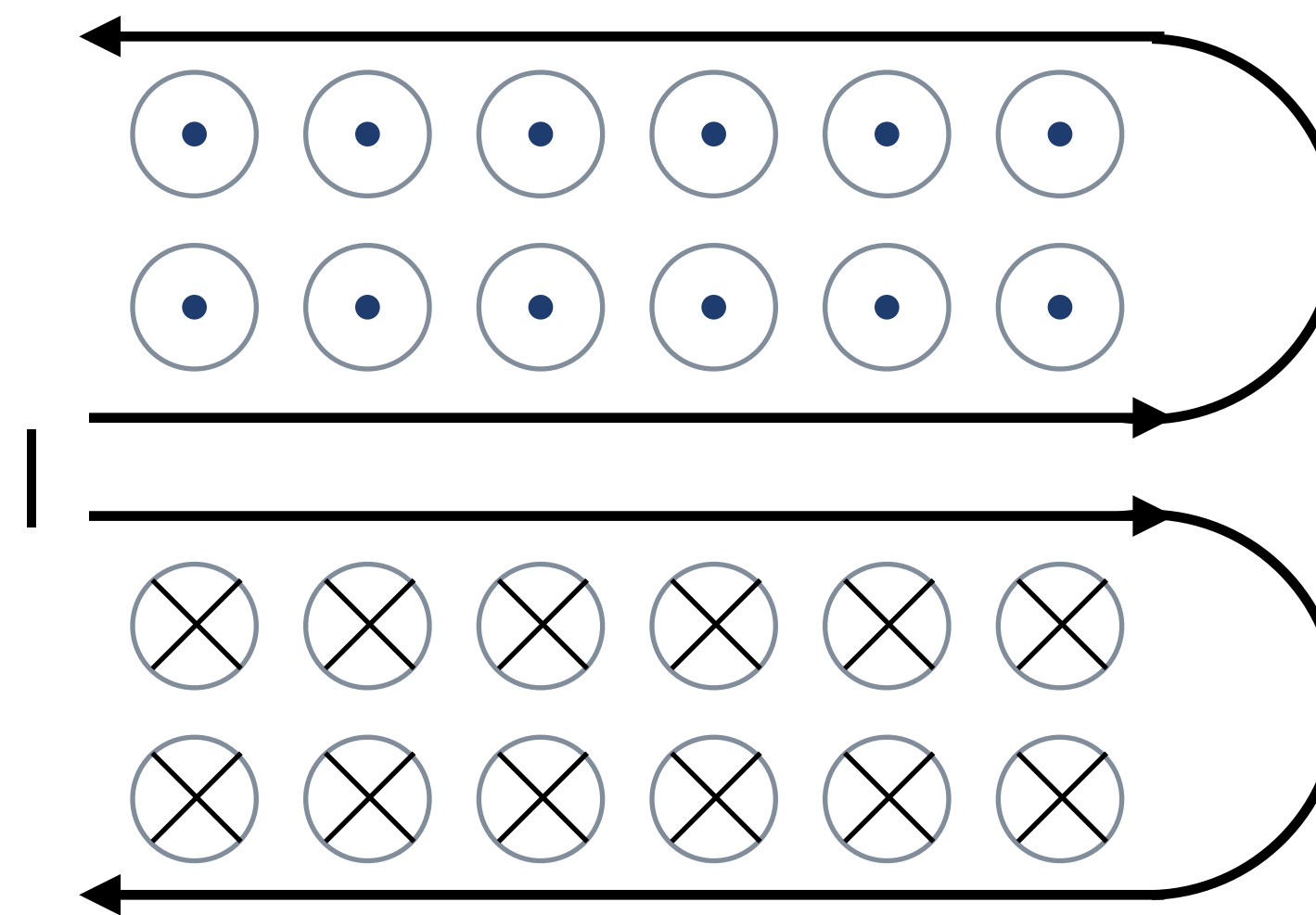
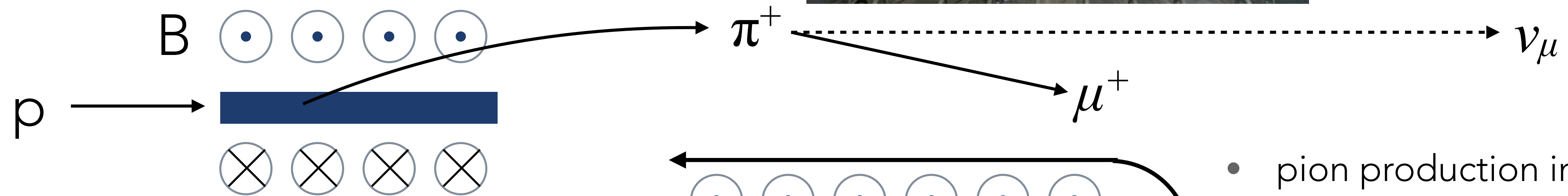
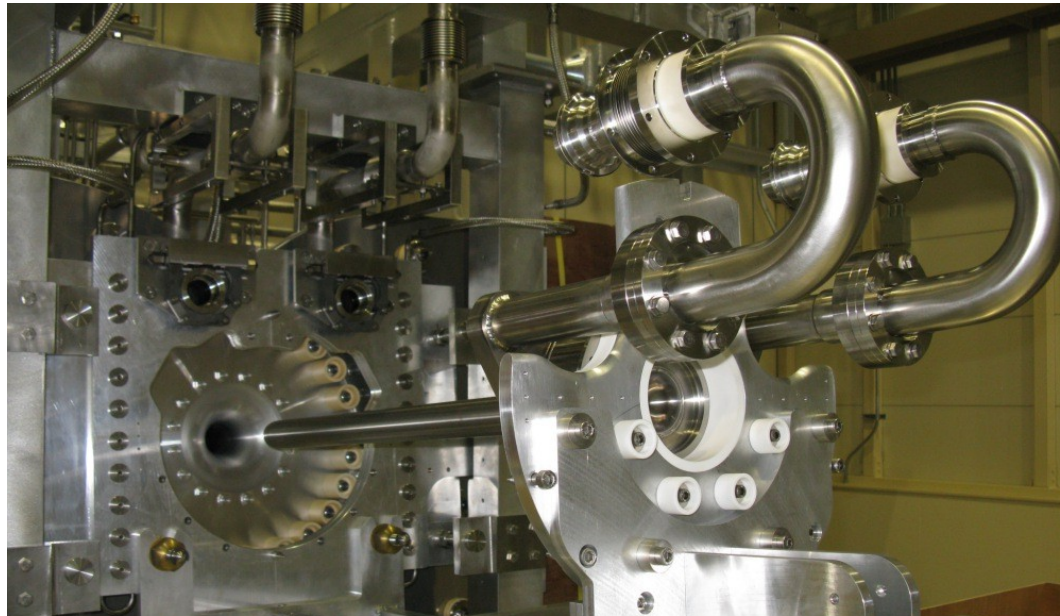
# RECALL:

- A large deficit in  $\nu_e$  from the sun explained by neutrino oscillations
  - SNO results show that  $\nu_e$  are transitioning to other "active" flavours ( $\nu_\mu/\nu_\tau$ )
  - Absence of oscillatory behaviour in SK and SNO show the central role of matter effects
    - $\nu$  emerging from the sun are in an  $\sim$ energy eigenstate
  - Confirmation of oscillatory signature at KAMLAND using reactor antineutrinos
  - Oscillation parameters:
    - $\sin^2 2\theta_{12} \sim 0.85$ ,  $\Delta m_{21}^2 \sim 7.5 \times 10^{-5} \text{ eV}^2$
- A large deficit of  $\nu_\mu$  from atmospheric neutrinos observed at SK
  - zenith angle maps into baseline (L)
  - zenith angle dependence matches neutrino oscillations
    - $\sin^2 2\theta_{23} \sim 1$ ,  $\Delta m_{32}^2 \sim 2.5 \times 10^{-3} \text{ eV}^2$
  - excess of  $\nu_e$  not observed
    - infer that  $\nu_\mu$  are primarily oscillating to  $\nu_\tau$
  - Can we confirm this with an person-made beam?





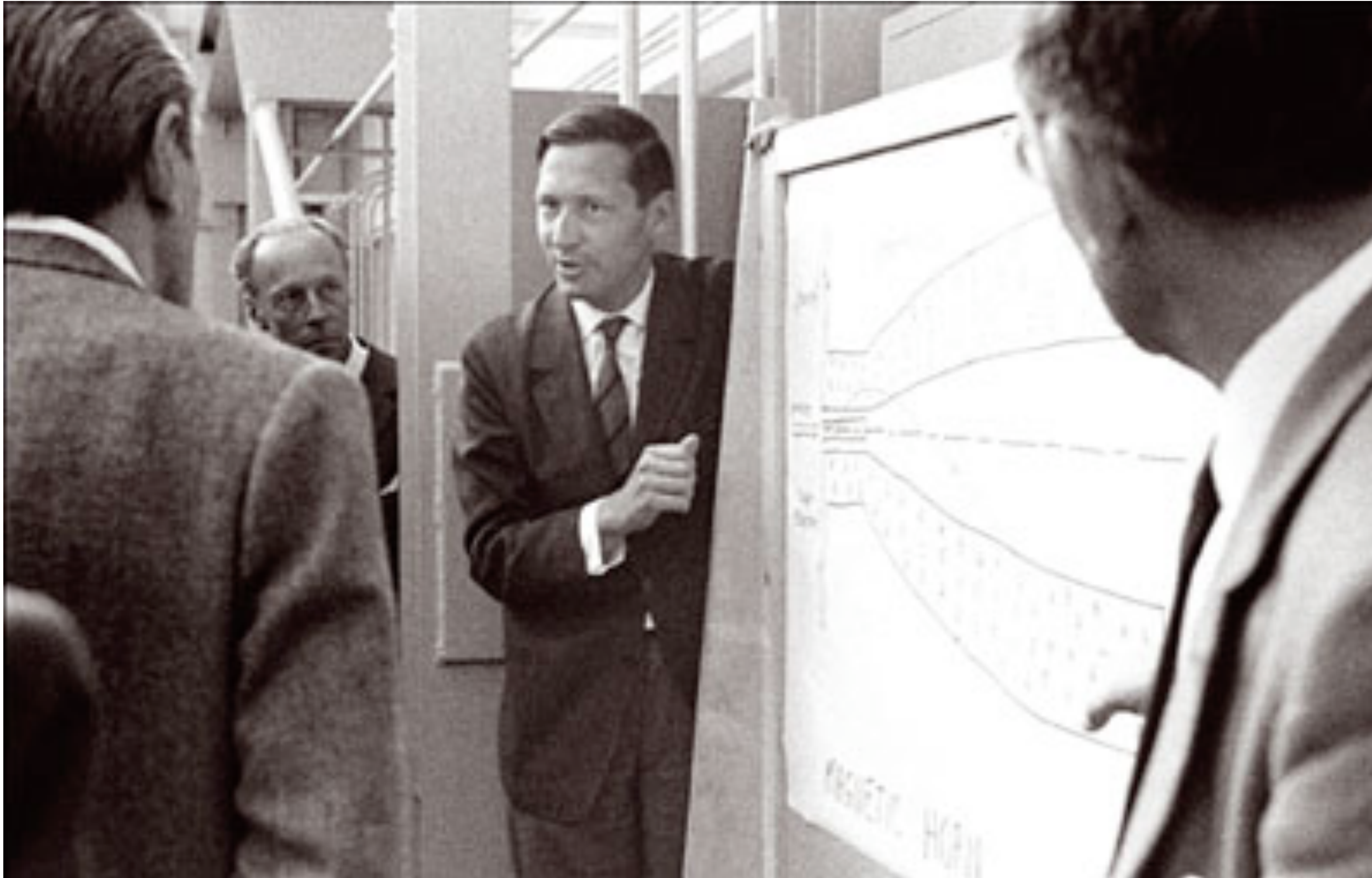
# ACCELERATOR-BASED EXPERIMENTS



- pion production induced by proton-nucleus interactions
- one sign of pions focussed with electromagnet ("horn") into a long decay region where they decay to produce muon neutrinos
- "flip" the polarity to produce an muon antineutrino beam



# 1962

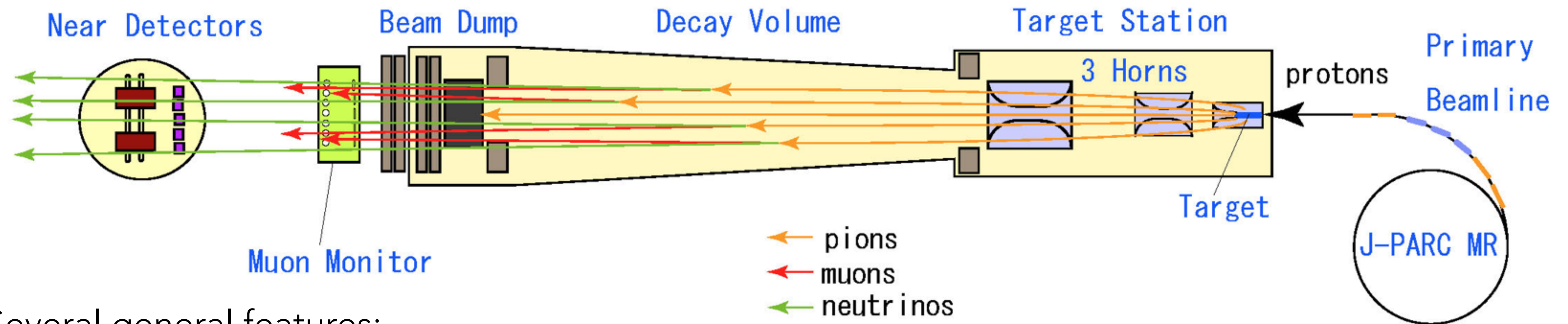
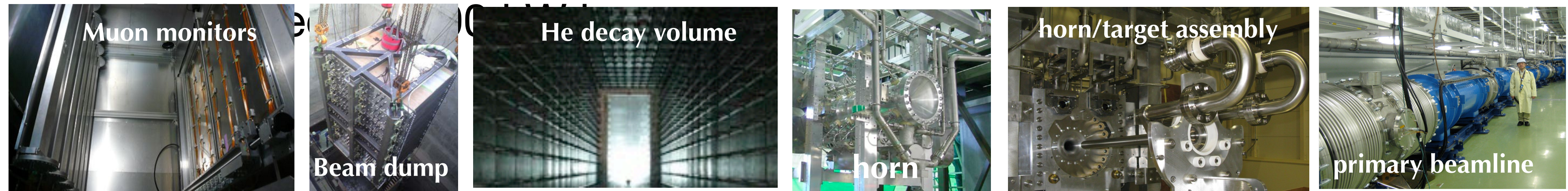


- Horn concept from Simon van der Meer (CERN, 1962)
- “Quiet Giant of Engineering and Physics”





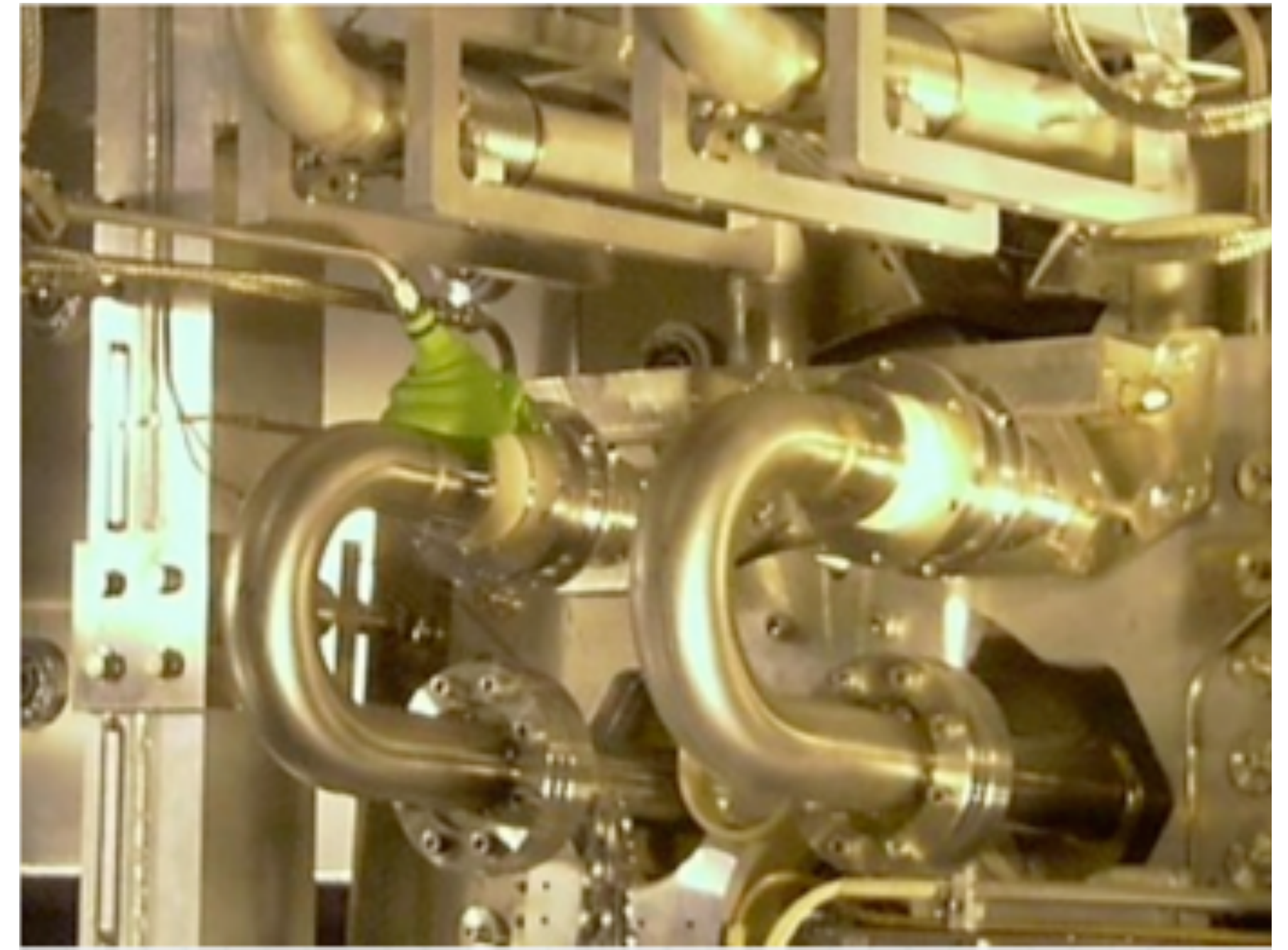
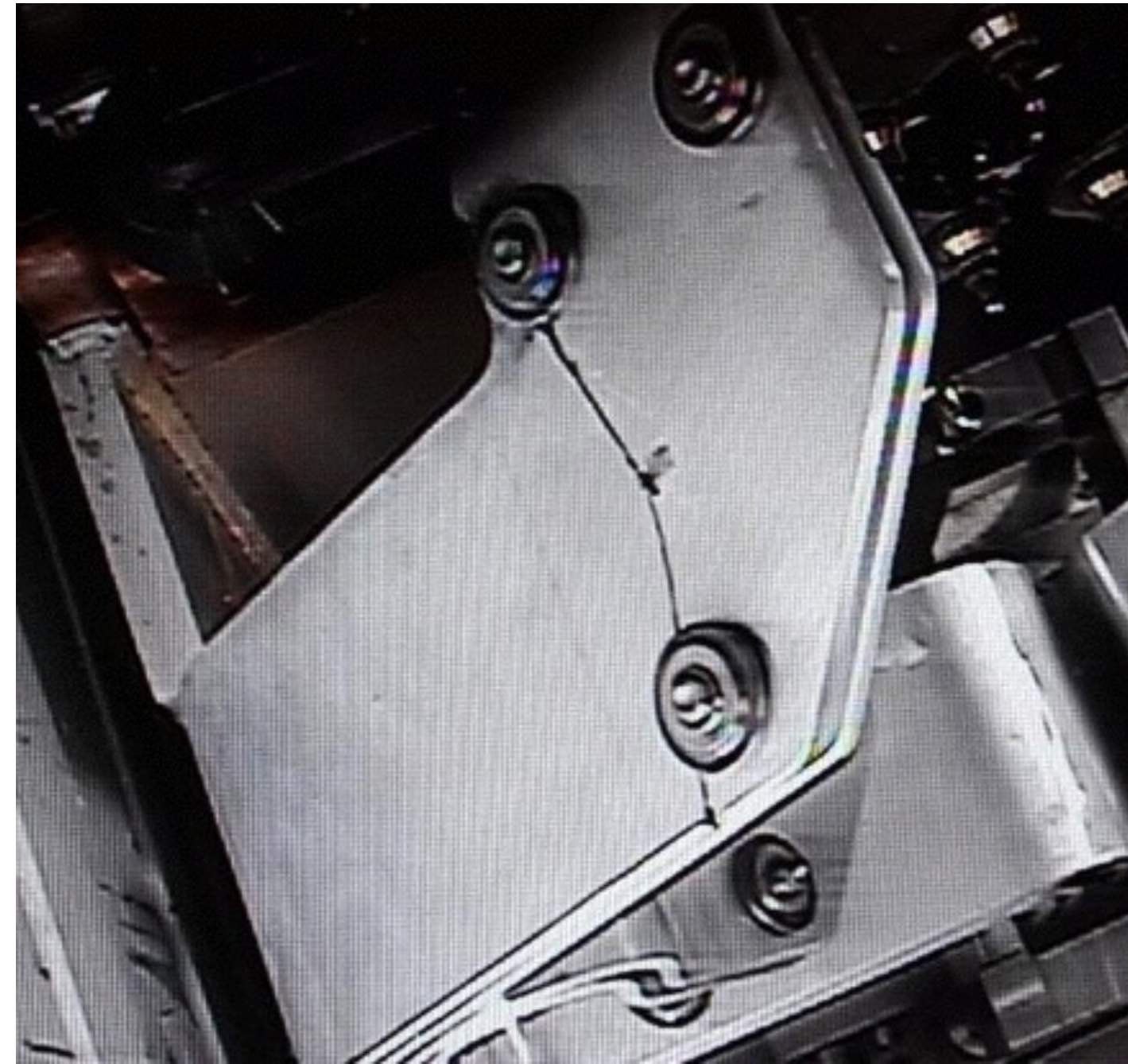
# PRODUCING A NEUTRINO BEAM



- Several general features:
  - upstream proton beam monitors
  - multiple horns
  - “Beam dump” or “beam absorber”: stop all particles except neutrinos (and muons)
  - muon monitors behind beam dump can measure stability of the beam
- **“Conventional Neutrino Beam”**



# CHALLENGES



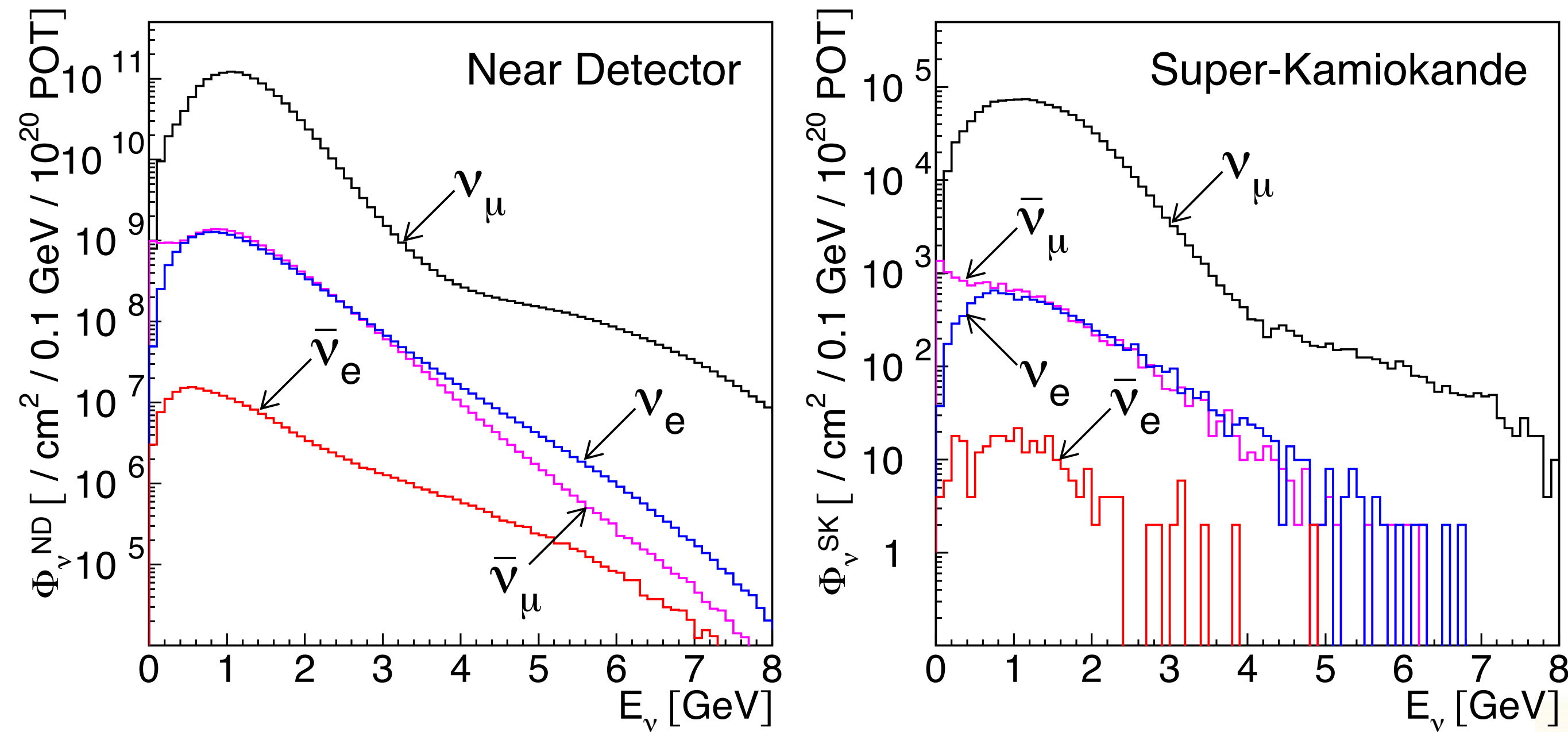
<https://youtu.be/VWGXz5QHFH4>



- High radiation
- enormous currents in horn
  - several hundred kA
- enormous mechanical shock/stress
- corrosion
- etc.

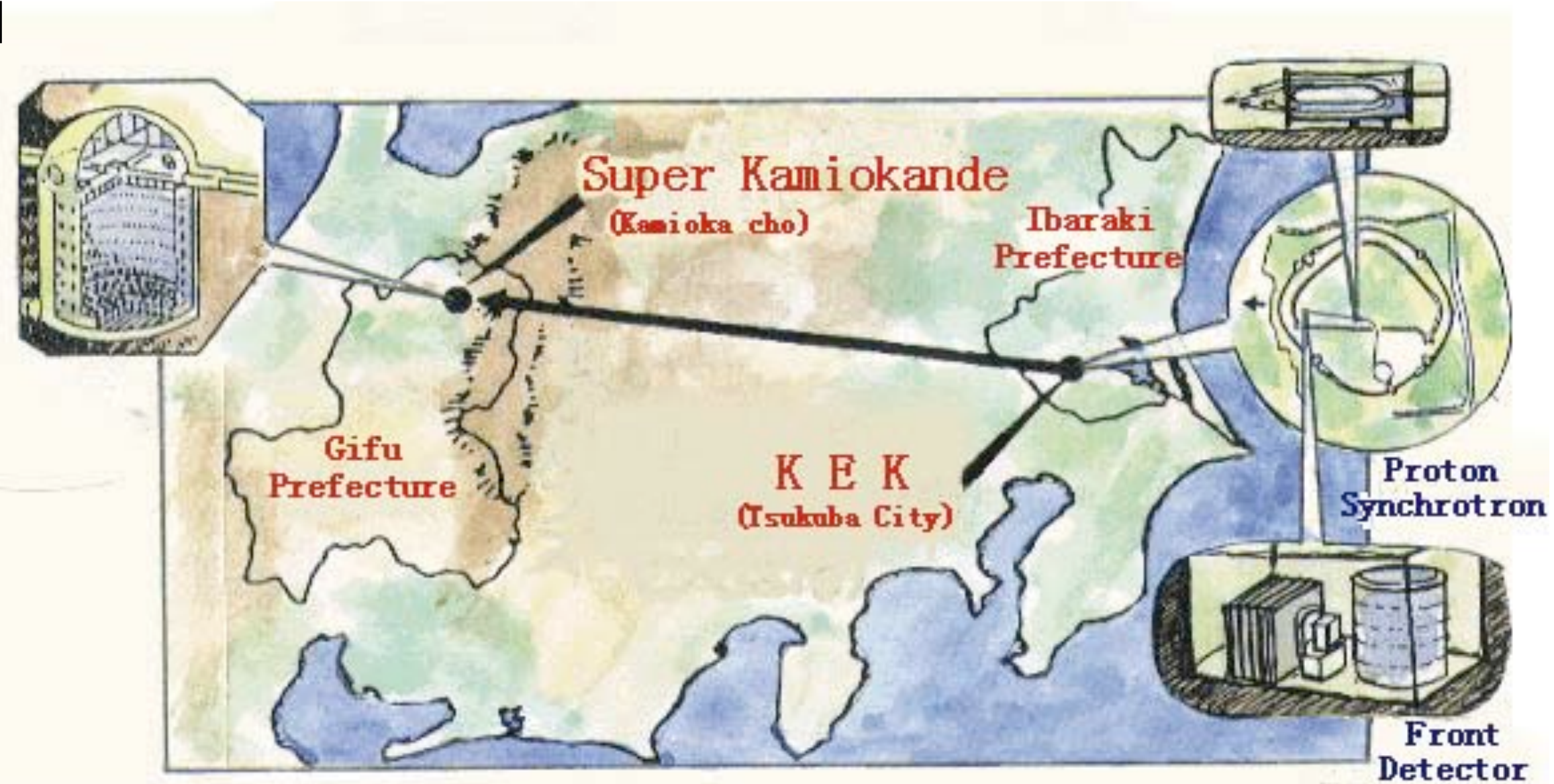


# K2K (KEK-TO-KAMIOKA)



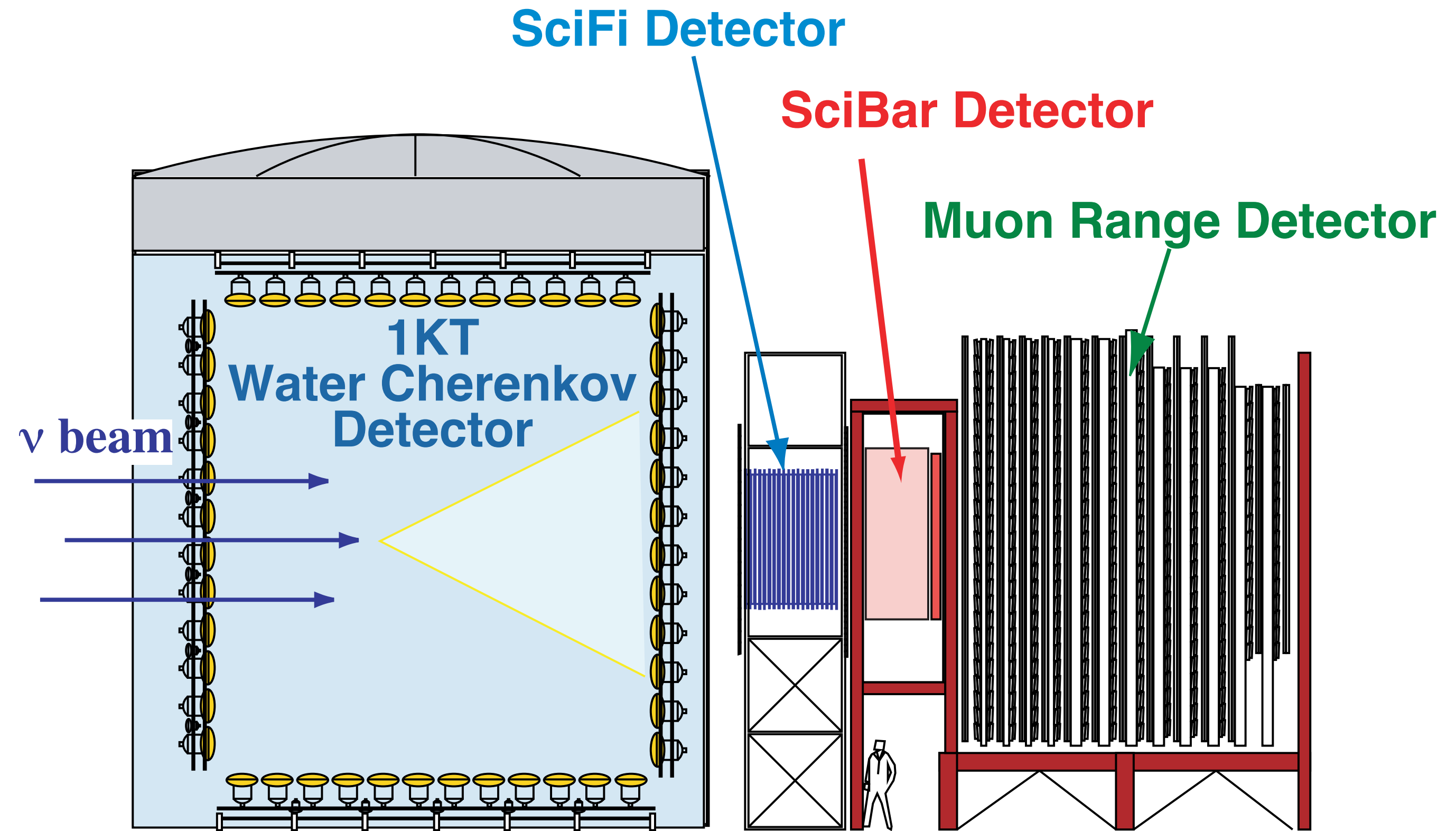
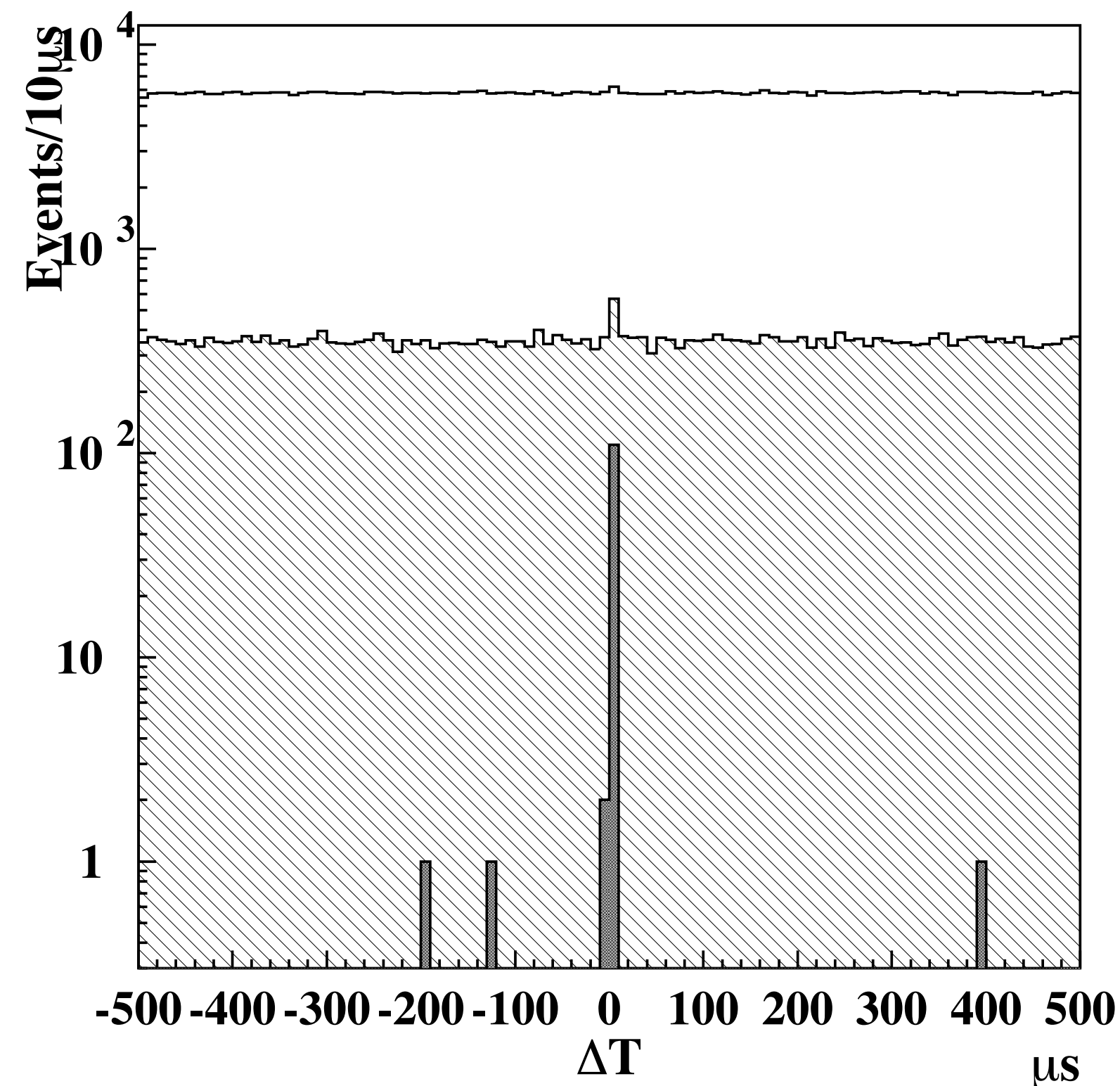
$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta \times \sin^2 \left[ 1.27 \Delta m^2 \frac{L(\text{km})}{E(\text{GeV})} \right]$$

- “Long Baseline” Neutrino Experiment
  - previously, accelerator-based experiments were typically carried out at (much) shorter distances
  - atmospheric neutrinos indicate that we need now to separate the accelerator and detector by  $O(10^2\text{-}10^3 \text{ km})$
  - “K2K”: first long baseline experiment sending neutrinos from KEK to Super-Kamiokande (250 km)



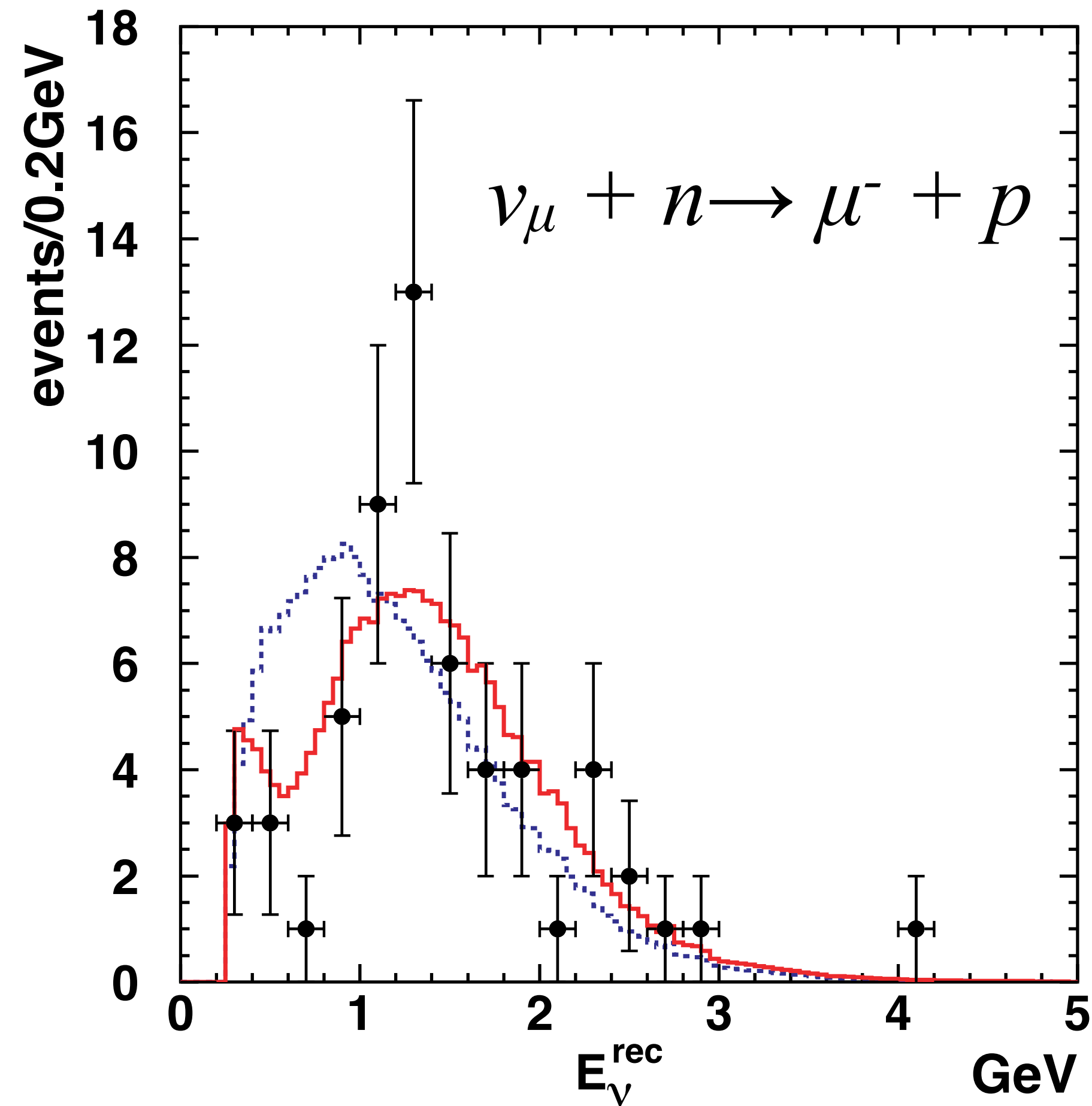


# LONG BASELINE EXPERIMENTS

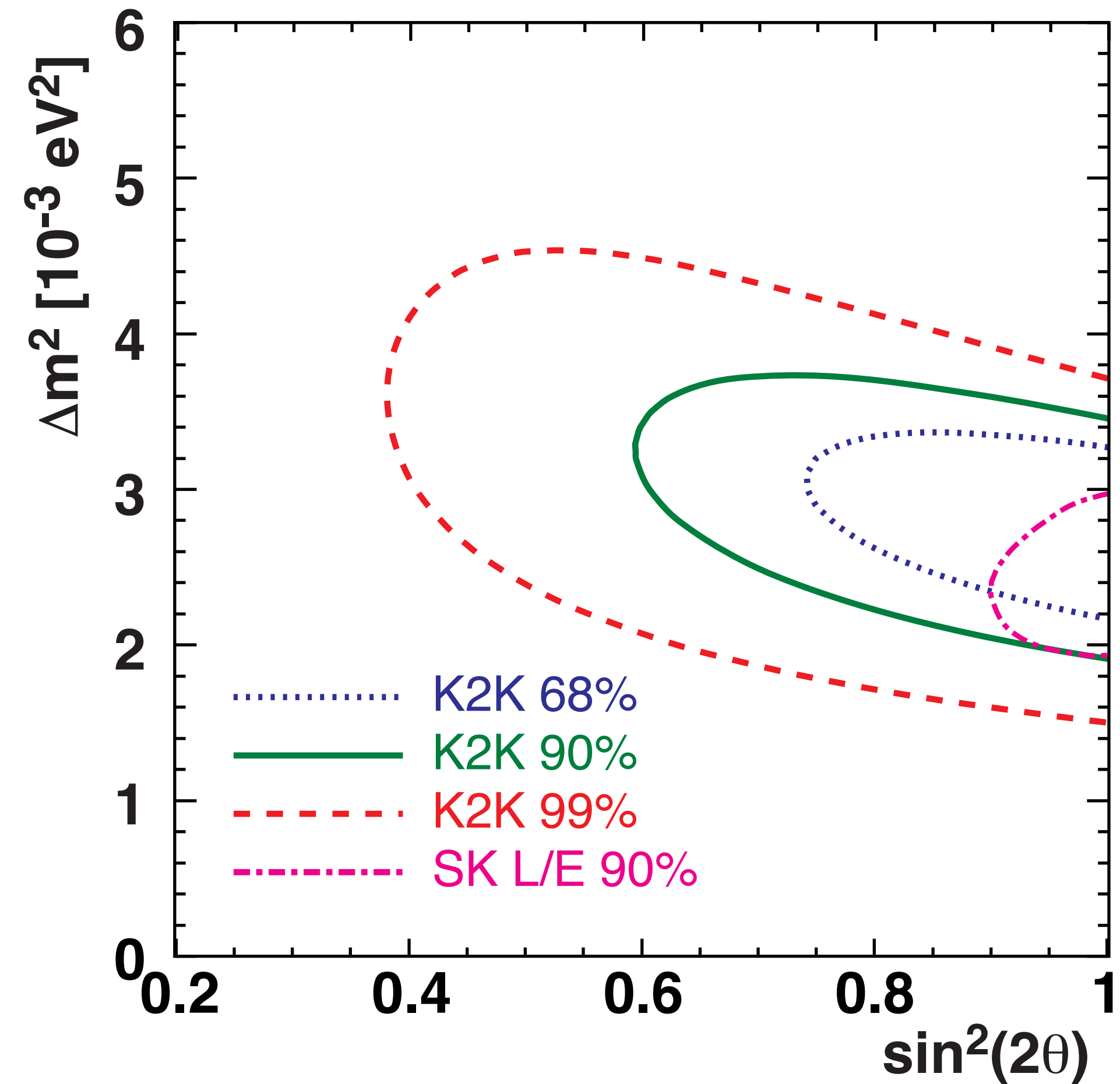


- Timing:
  - we know when the beam comes from the accelerator
  - typically, protons are delivered in  $O(\mu s)$  pulse every  $O(s)$
  - neutrinos are produced with the same time structure
  - Typically, every spill is recorded
- Near Detector
  - place neutrino detectors at small  $L$  such that oscillation effects should be small ( $\Delta m^2 L/E \sim 0$ )
  - "control sample" of neutrinos without oscillation effects.
  - measure rates, backgrounds, etc.

# K2K RESULTS



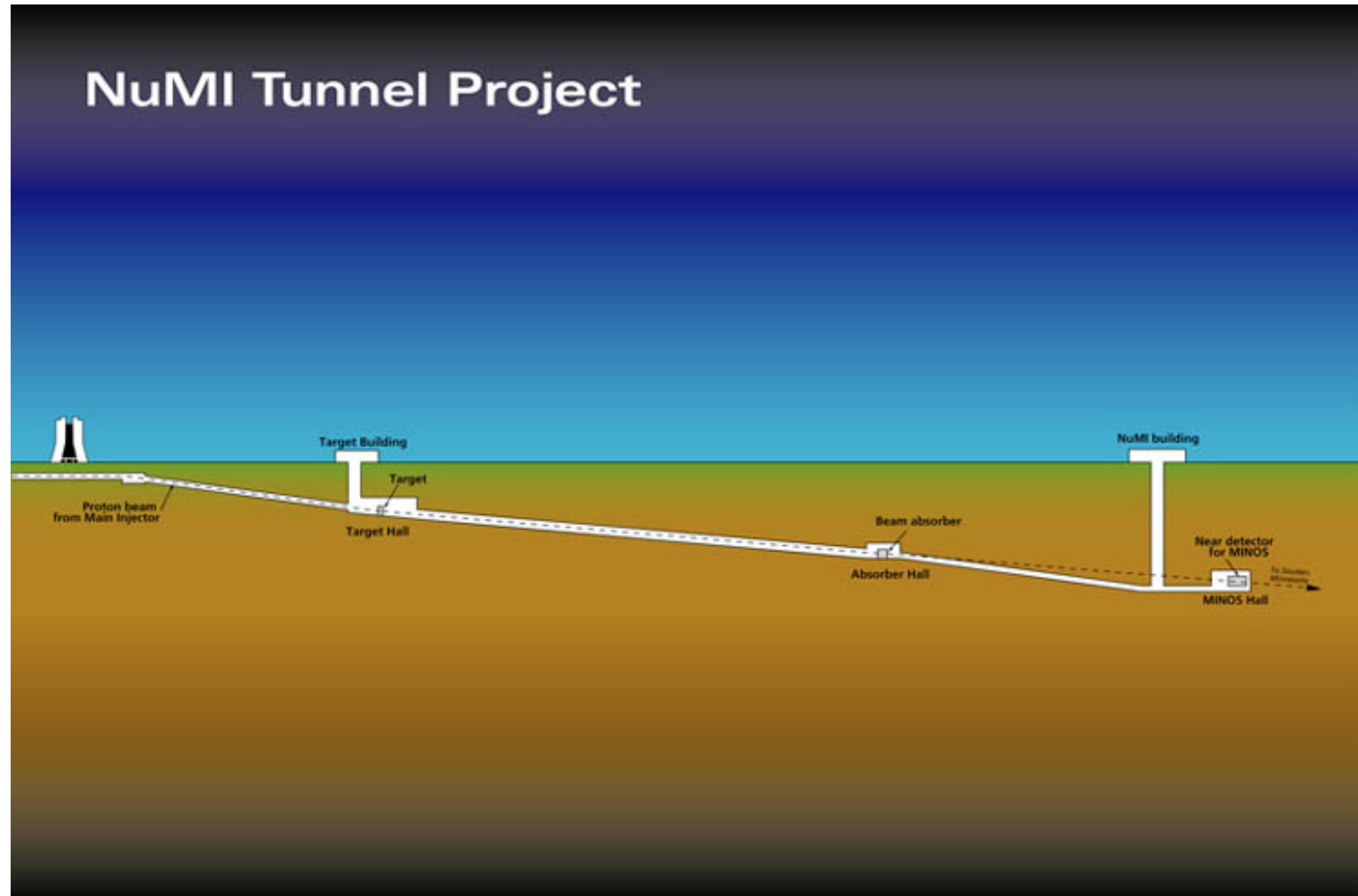
- Total observed interactions at SK in K2K beam: 112
- expected based on simulation and near detector data:  $158 \pm 9$
- 58 single ring muon events used for energy spectrum analysis



- Confirmation of atmospheric muon neutrino deficit with accelerator-based beam at  $4.3 \sigma$  level
  - combined rate and shape information



# MINOS



- Fermilab-based neutrino beam sent 730 km to Minnesota
- Neutrinos generated using 120 GeV FNAL Main Injector

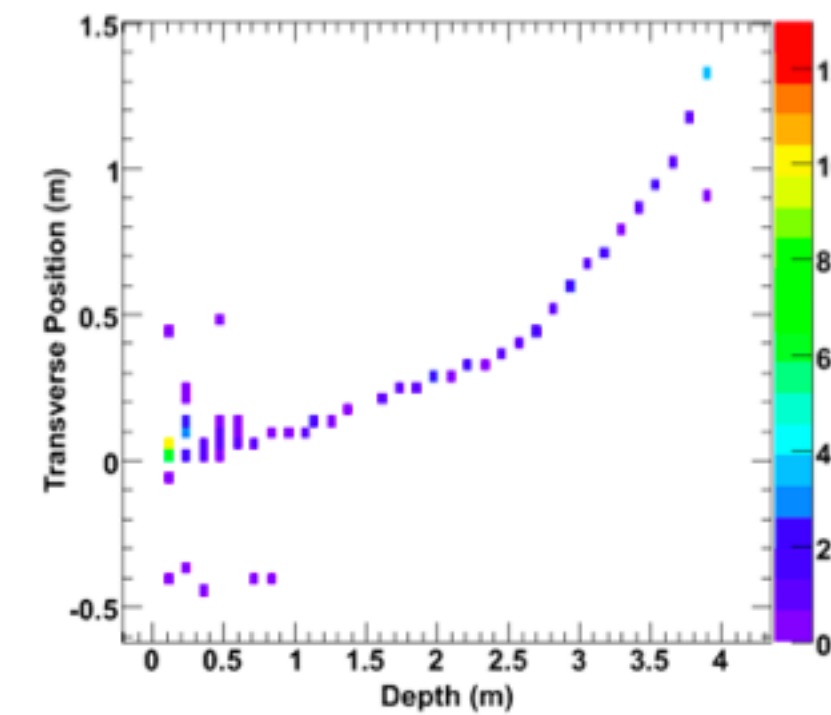




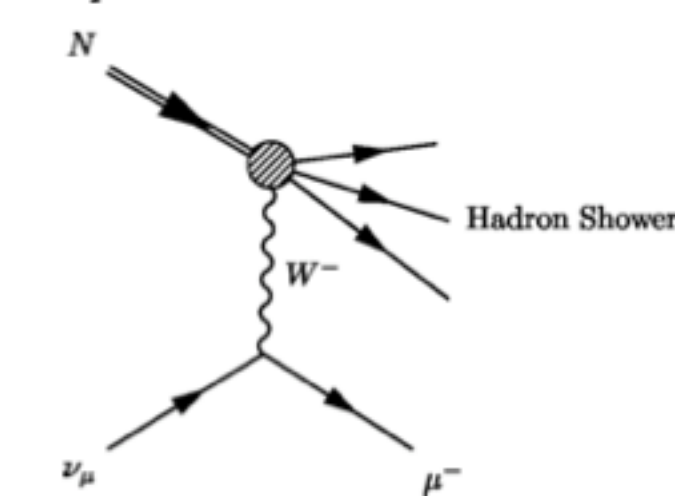
# MINOS DETECTOR:



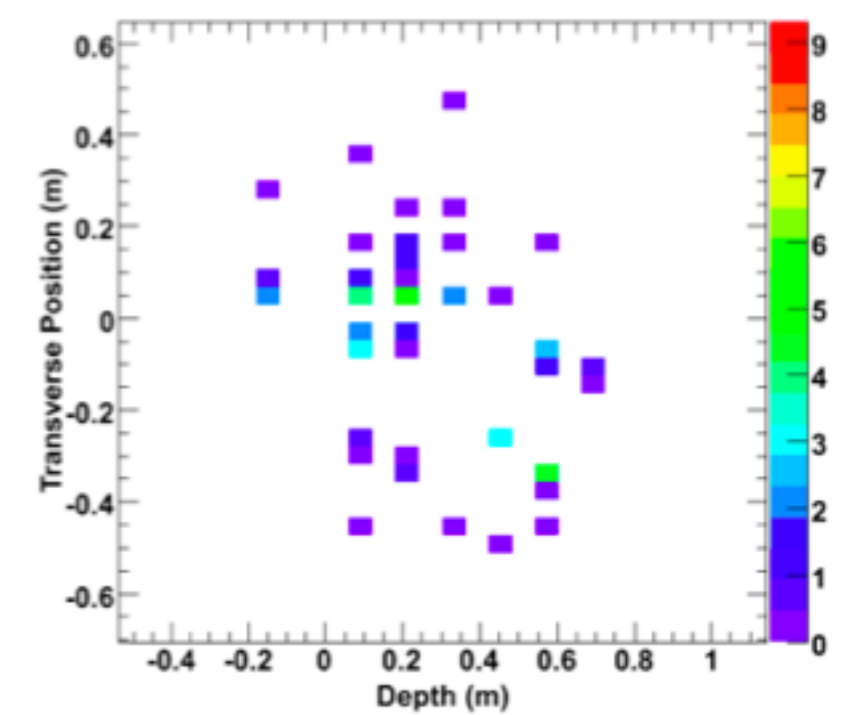
$\nu_\mu$  Charged Current (CC)



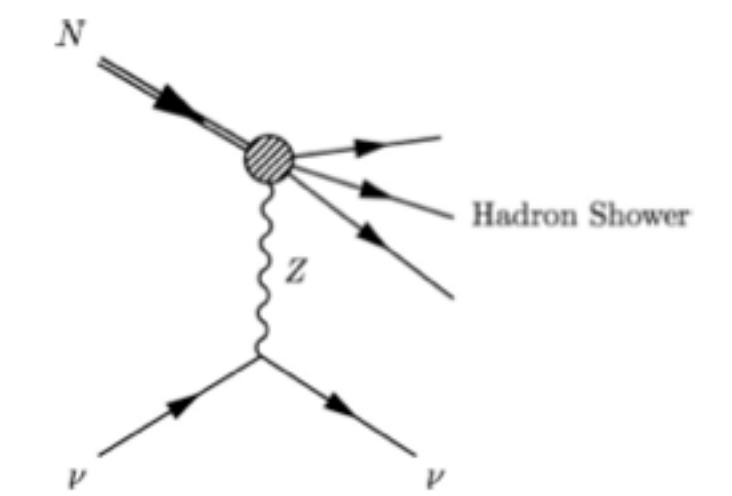
$$\nu_\mu + N \rightarrow \mu^- + X$$



Neutral Current (NC)



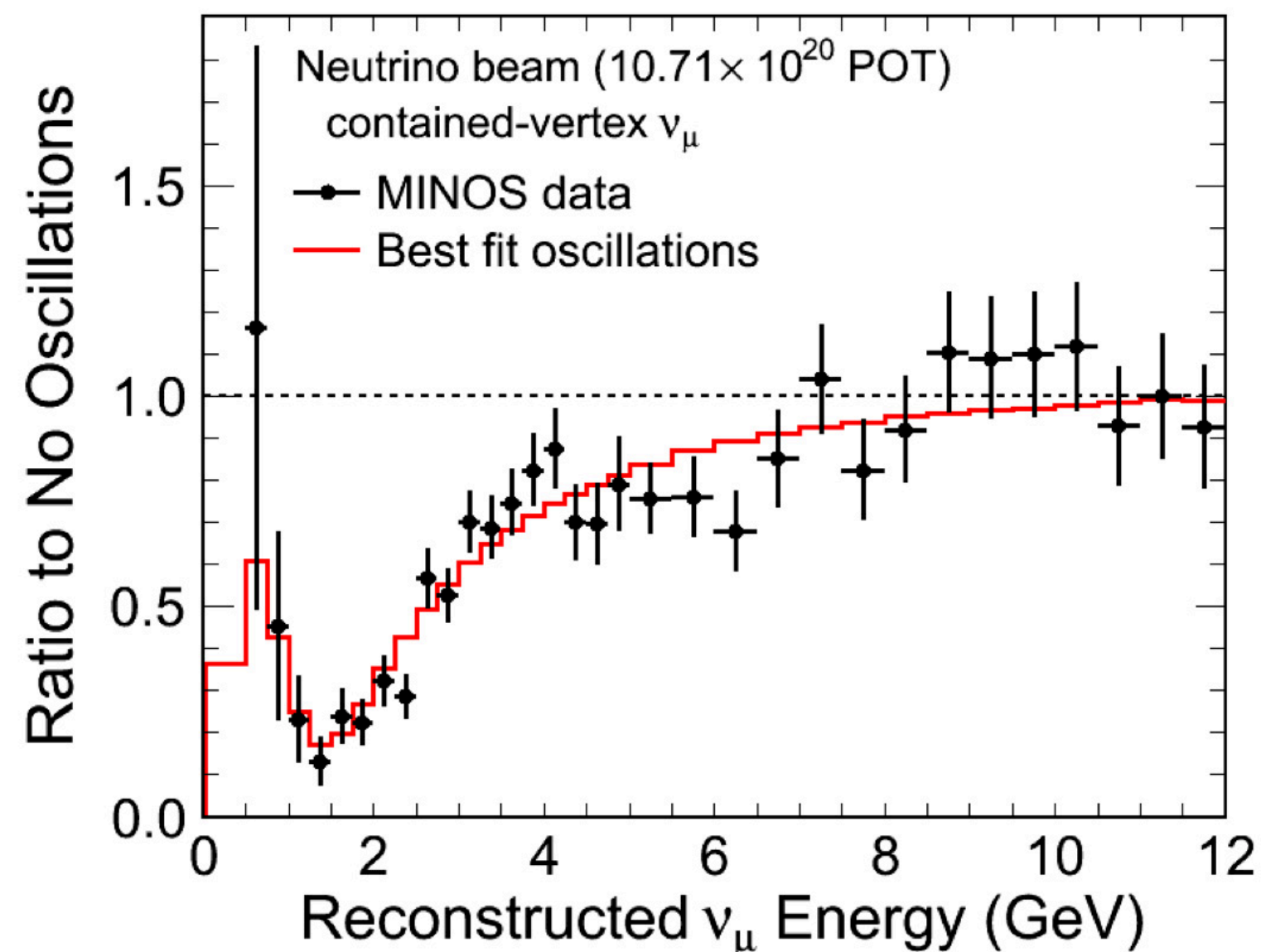
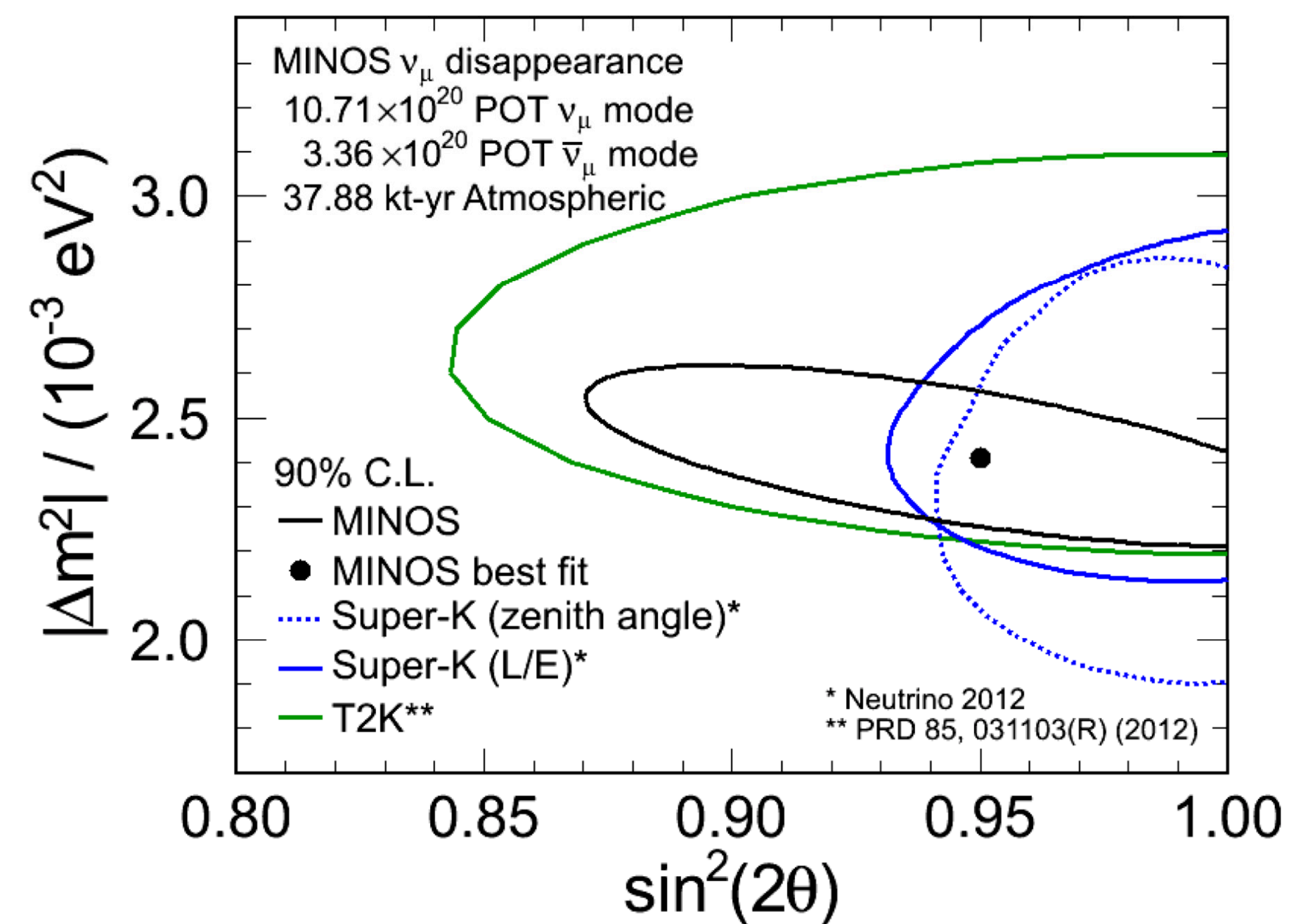
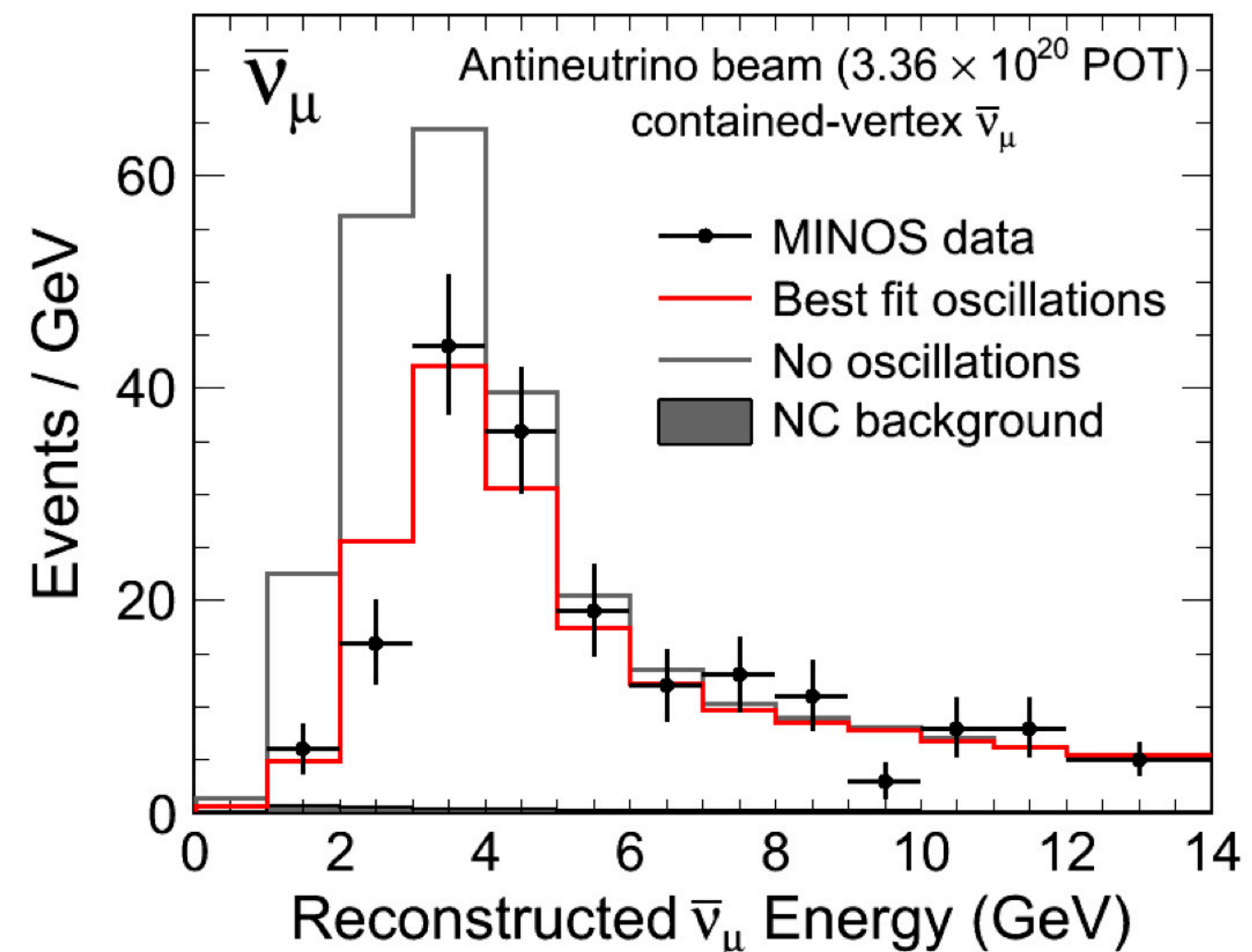
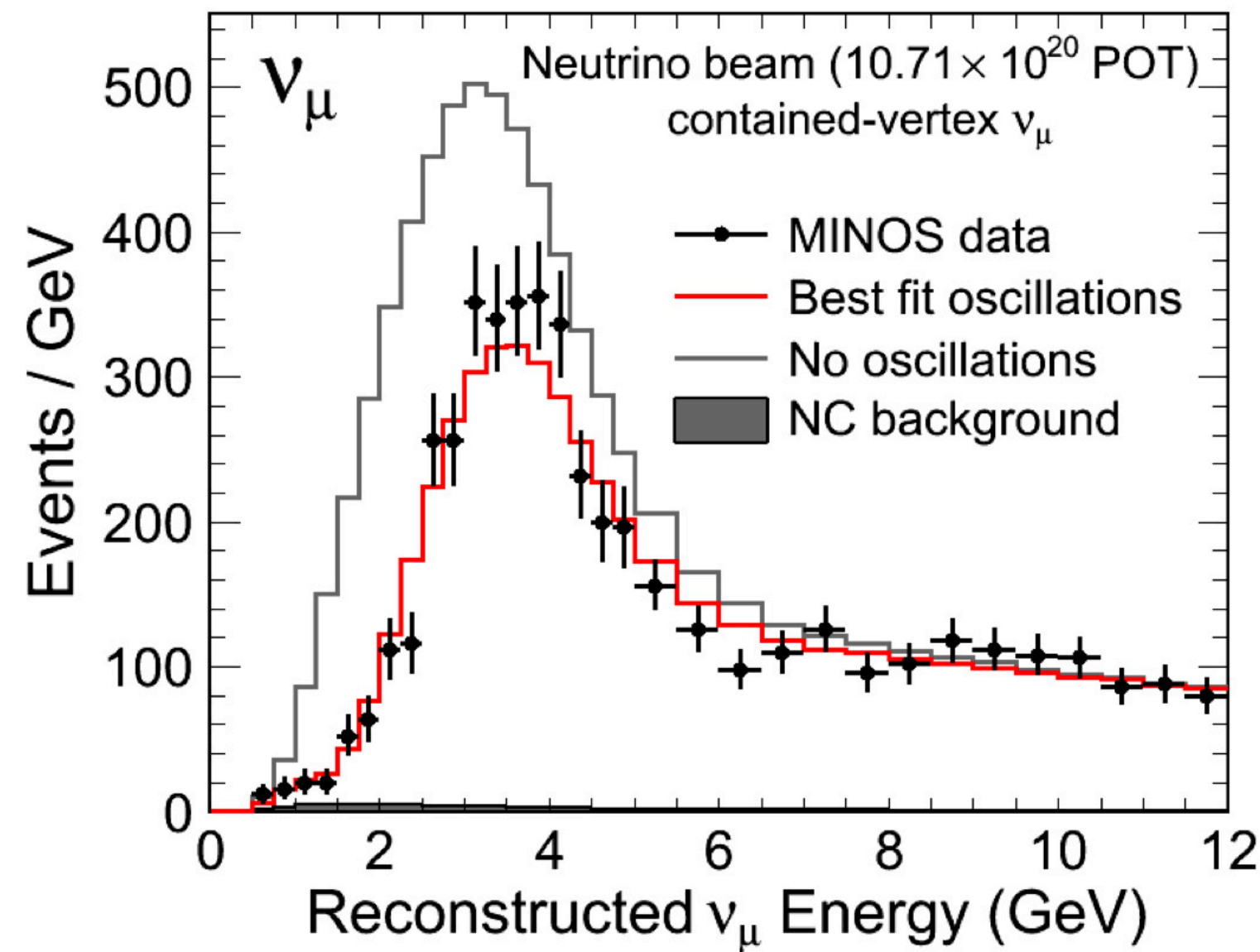
$$\nu + N \rightarrow \nu + X$$



- Magnetized steel plates alternating with scintillator strips
  - 2.54 cm thick steel plates, 1 cm x 4.1 cm scintillator bars
- Functionally identical Near (0.98 ton) and Far (5.4 ton) detectors
- Very clean identification of muon neutrinos with sign of muon identified.



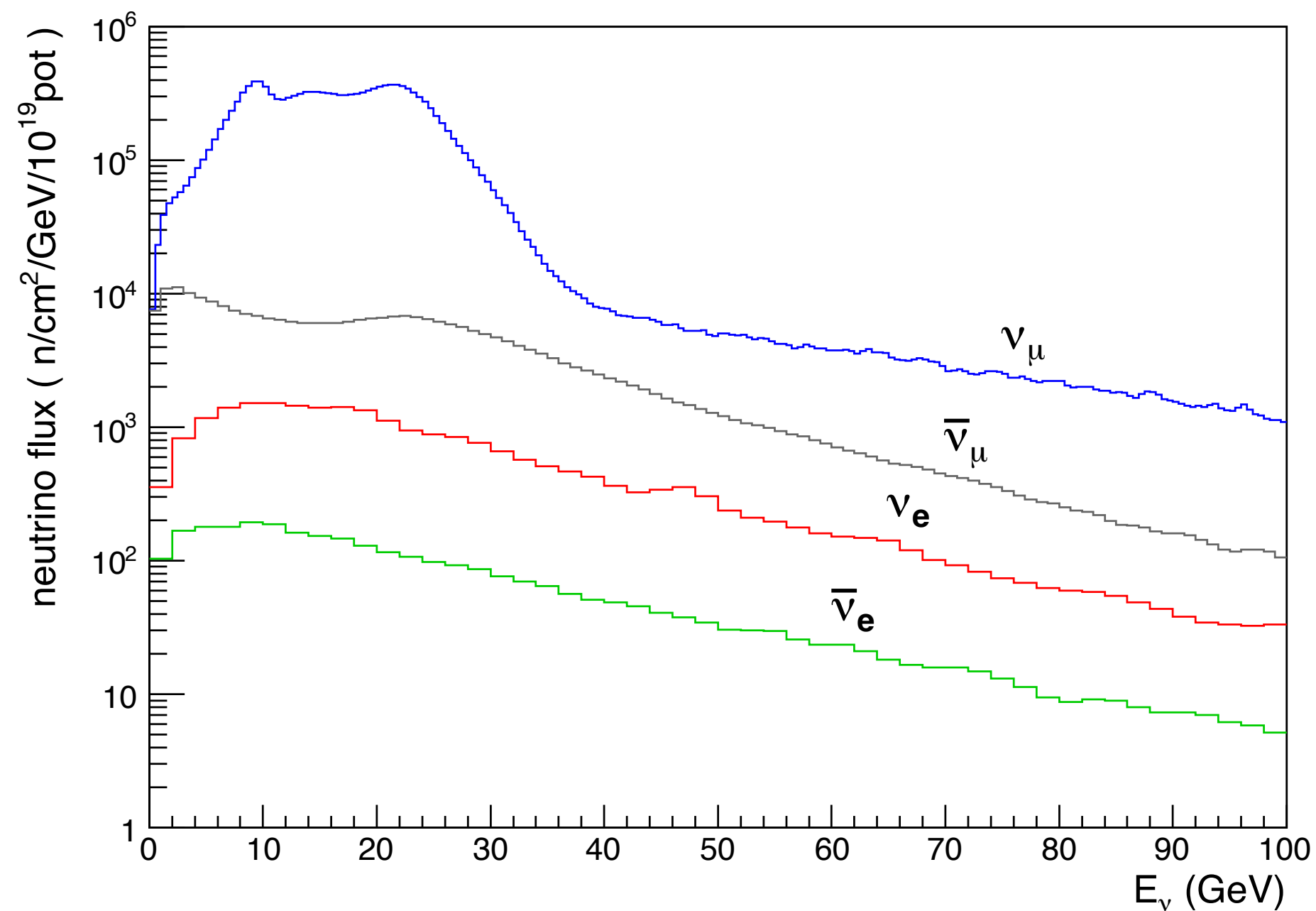
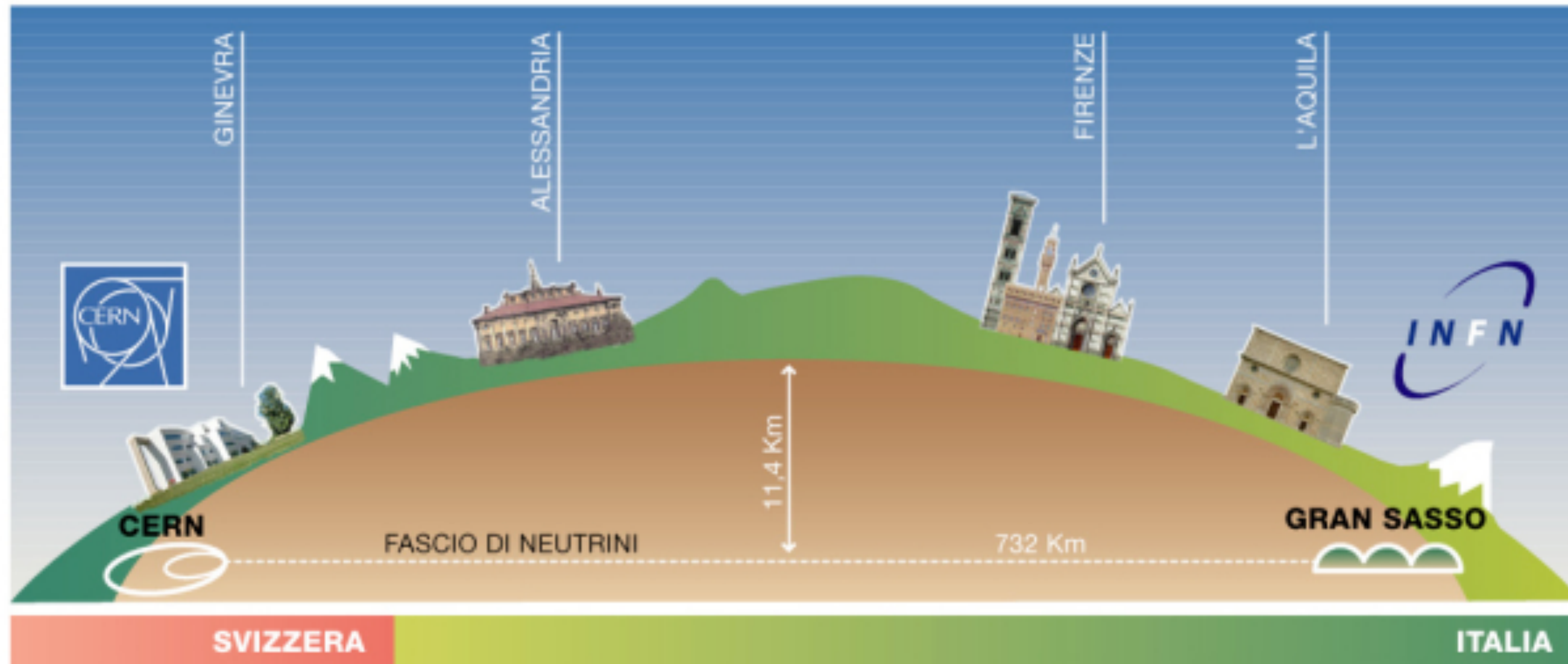
# MINOS RESULTS



- Very intense beam gives precise measurement of oscillation probability
- Confirm large  $\nu_\mu$  disappearance consistent with maximal mixing
- eventually many channels were studied:
  - $\bar{\nu}_\mu$  disappearance with antineutrino beam
  - atmospheric neutrinos
  - $\nu_e/\bar{\nu}_e$  events (more on this later)



# OPERA

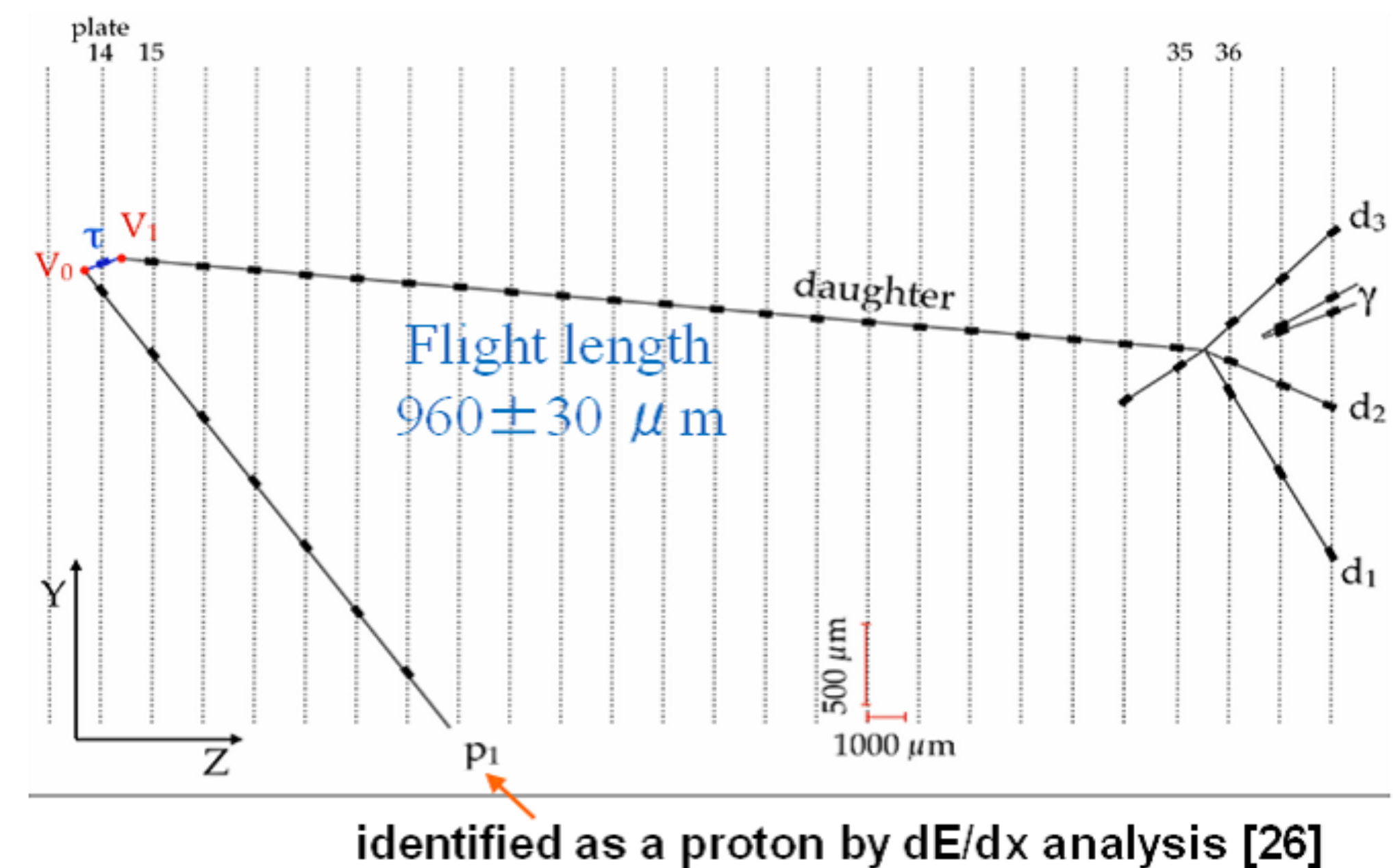
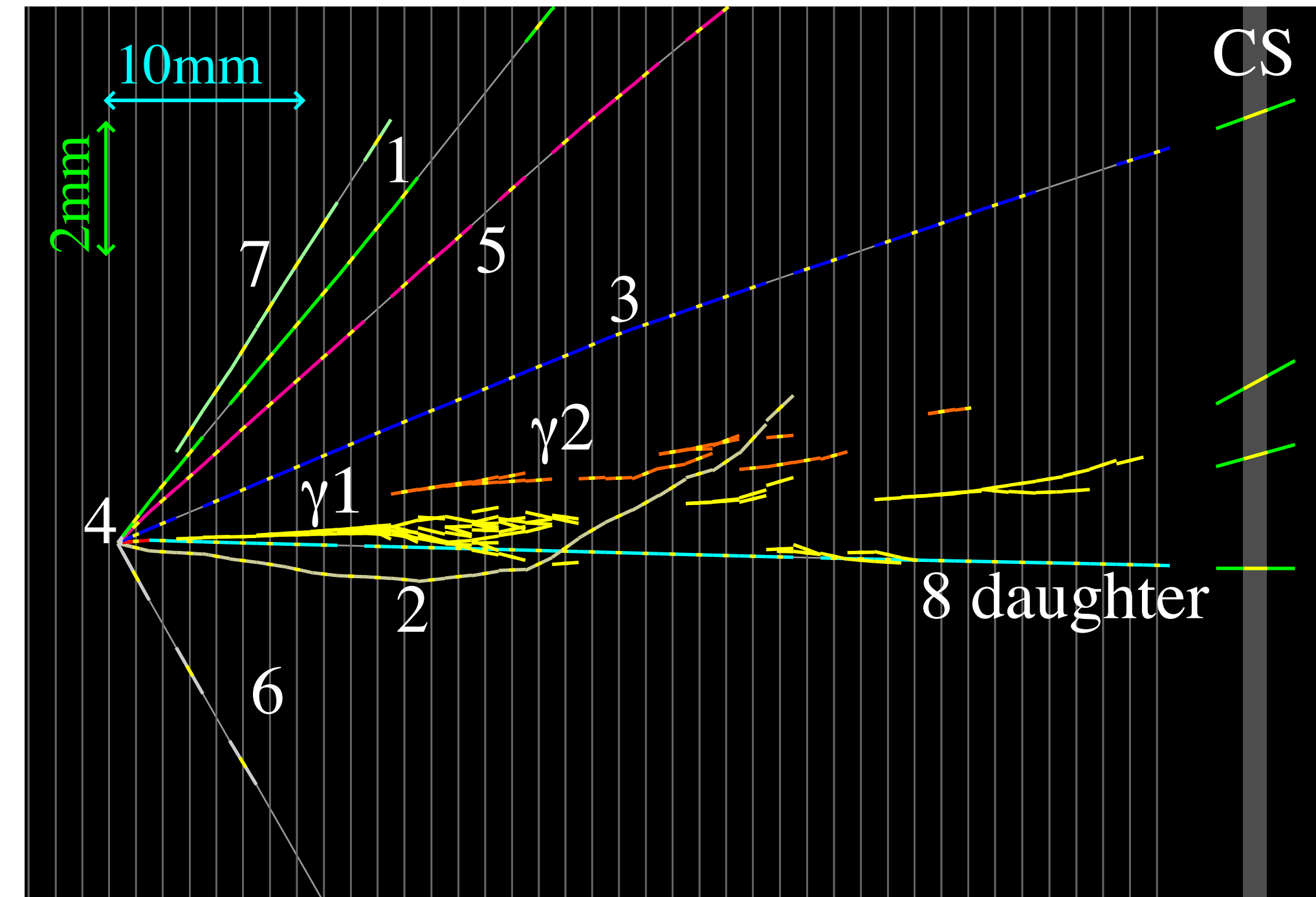


- Experiment to look explicitly for the “appearance” of nt due to  $\nu_{\mu} \rightarrow \nu_{\tau}$  oscillations
- 450 GeV CERN SPS protons used to produce a “wide-band” high energy muon neutrino beam
  - Significant flux above  $\tau$  production threshold of  $\sim 3.5$  GeV



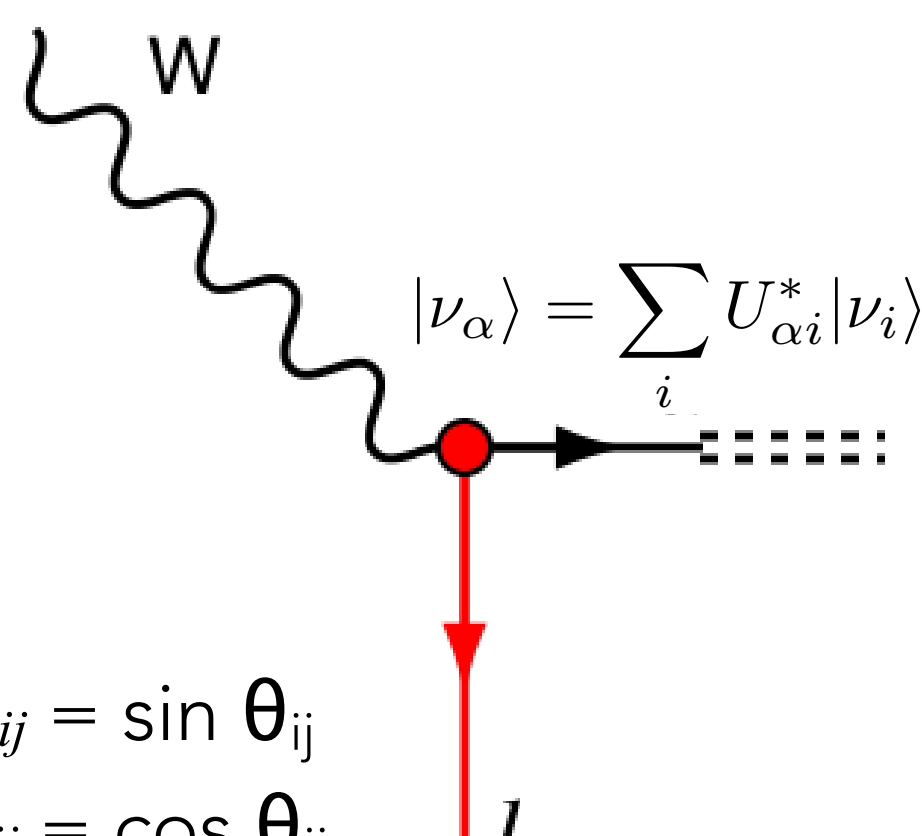
# $\nu_\tau$ DETECTION

- Look for “kinks” arising from  $\tau$  decay
- Typical  $\tau$  decay modes
  - $\tau \rightarrow \nu_\tau + (e/\mu) + \nu_{e/\mu}$  ( $\sim 17\%$  each)
  - $\tau \rightarrow \nu_\tau + \pi^- + \pi^0$  ( $\sim 25\%$ )
  - $\tau \rightarrow \nu_\tau + \pi^-$  ( $\sim 11\%$ )
- $\tau = 2.9 \times 10^{-13}$  sec  $\rightarrow c\tau \sim 10^{-2}$  cm
  - requires extremely precise tracking
  - extremely large emulsion-based tracker.
- 5 candidate events observed in 5 year run
  - Expected background in absence of oscillations: 0.25 events
    - charm particle production
    - hadronic interaction of pions
  - Significance:  $5.1 \sigma$



**COMPLETING THE PICTURE**

# TOWARDS 3-FLAVOR FRAMEWORK

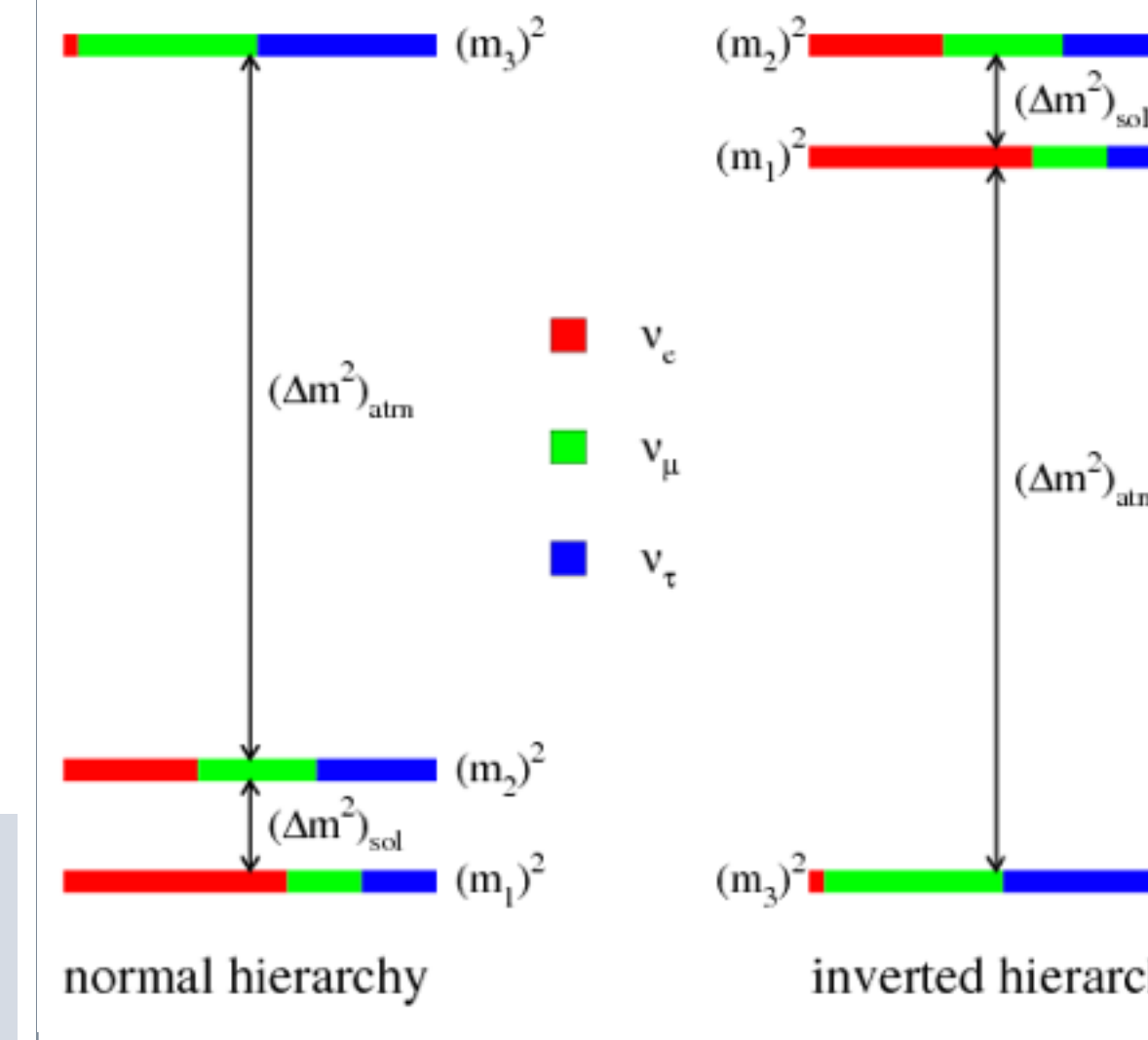


$|\nu_\alpha\rangle = \sum_i U_{\alpha i}^* |\nu_i\rangle$   
 $s_{ij} = \sin \theta_{ij}$   
 $c_{ij} = \cos \theta_{ij}$   
 $l_\alpha$

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1}^* & U_{e2}^* & U_{e3}^* \\ U_{\mu 1}^* & U_{\mu 2}^* & U_{\mu 3}^* \\ U_{\tau 1}^* & U_{\tau 2}^* & U_{\tau 3}^* \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

"standard" parametrization

$$U = \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{+i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha_1/2} & 0 \\ 0 & 0 & e^{i\alpha_2/2} \end{pmatrix}$$



normal hierarchy

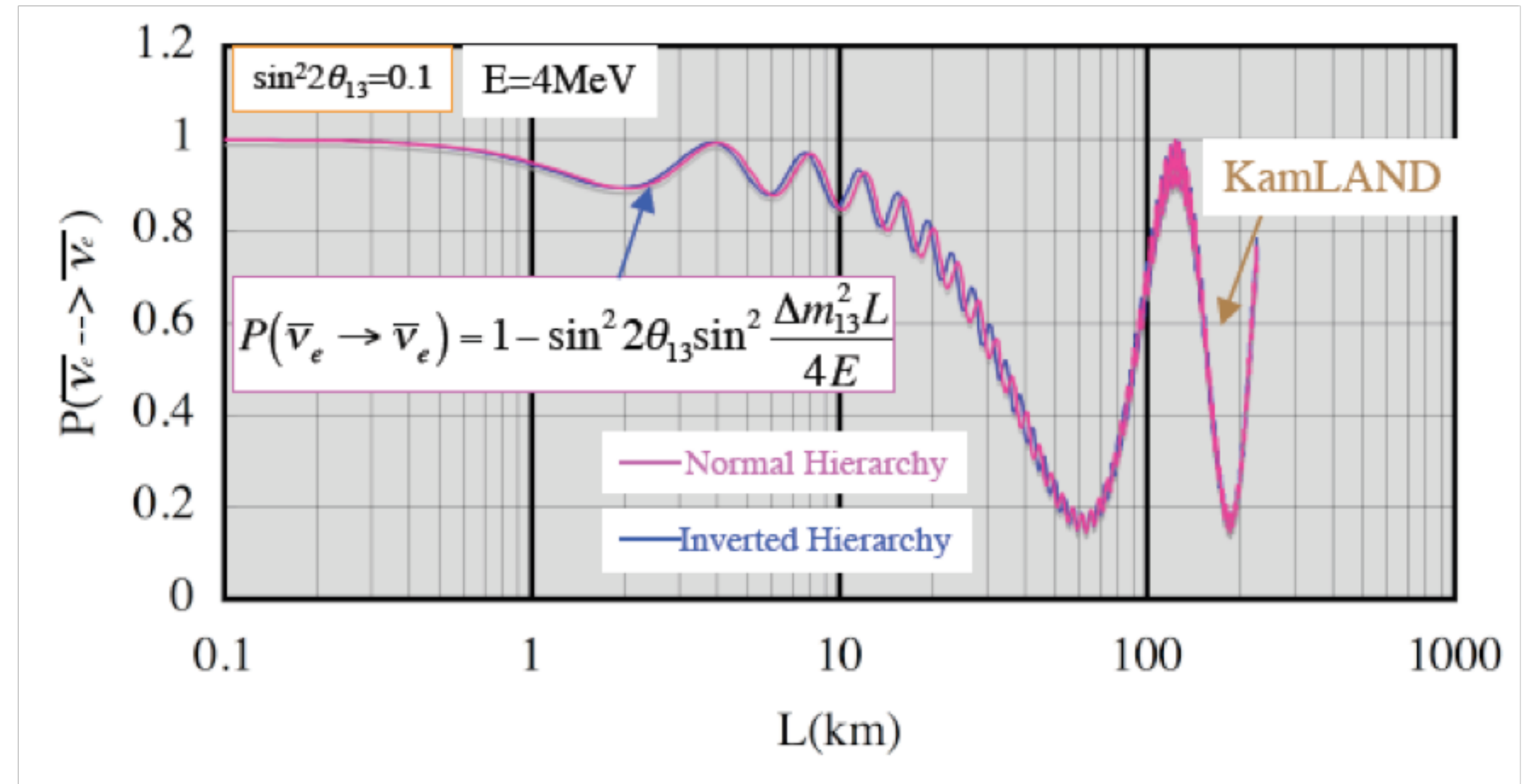
inverted hierarchy

- Three rotation angles ( $\theta_{12}, \theta_{13}, \theta_{23}$ )
- One complex phase  $\delta_{CP}$ 
  - additional phases possible if neutrinos are "Majorana"
  - changes sign for antineutrino oscillations
- We've probed the  $\theta_{12}$ /solar sector
- also  $\theta_{23}$  with atmospheric/accelerator experiments
- How do we probe  $\theta_{13}$ ?
- If we multiply out the above, we find that:
  - $|U_{e3}|^2 = \sin^2 \theta_{13}$
  - "electron neutrino content of the third neutrino mass eigenstate"

# ELECTRON NEUTRINO SURVIVAL

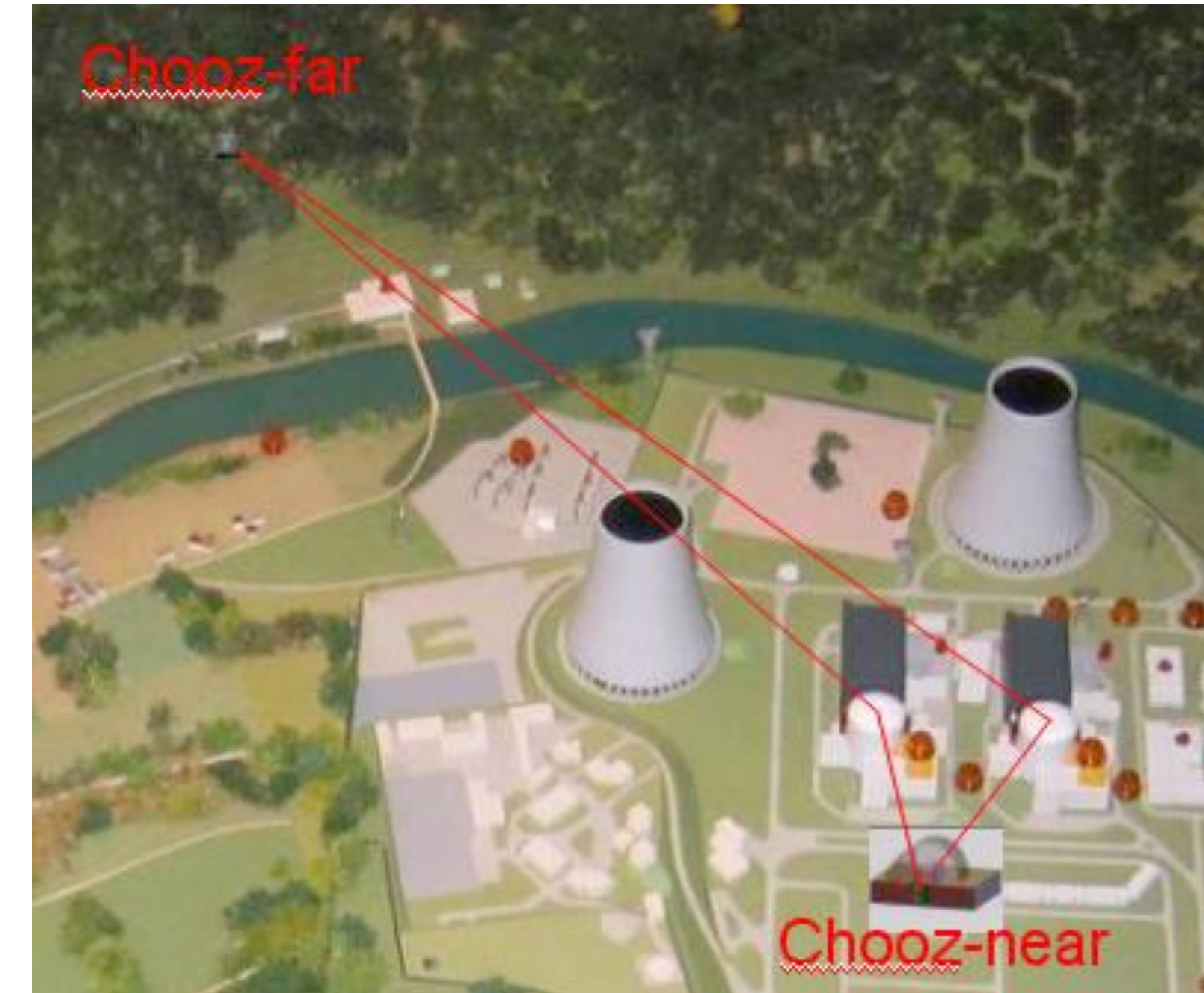
$$P(\nu_e \rightarrow \nu_e) \sim 1 - \sin^2 2\theta_{13} \sin^2(1.27\Delta m_{31}^2 L/E) - \sin^2 2\theta_{12} \sin^2(1.27\Delta m_{21}^2 L/E)$$

- Oscillation has two components
  - one oscillating with  $\Delta m_{31}^2 \sim 2.5 \times 10^{-3} \text{ eV}^2$
  - the other oscillating with  $\Delta m_{21}^2 \sim 7.5 \times 10^{-5} \text{ eV}^2$
  - the “wavelengths” are different by a factor of 30
- This means that the oscillation is maximum at:
  - $L/E \sim 0.5 \text{ km/MeV}$  for the  $\Delta m_{31}^2$  driven component
  - $L/E \sim 15 \text{ km/MeV}$  for the  $\Delta m_{21}^2$  driven component
- Motivates measurement of reactor electron antineutrino disappearance at distance of  $\sim 1 \text{ km}$





# "SHORT BASELINE" REACTOR EXPERIMENTS



- **Daya Bay**

- ~50 km from Hong Kong 17.4 GW of thermal power from two reactor complexes
- multiple detectors at 3 sites

- **RENO**

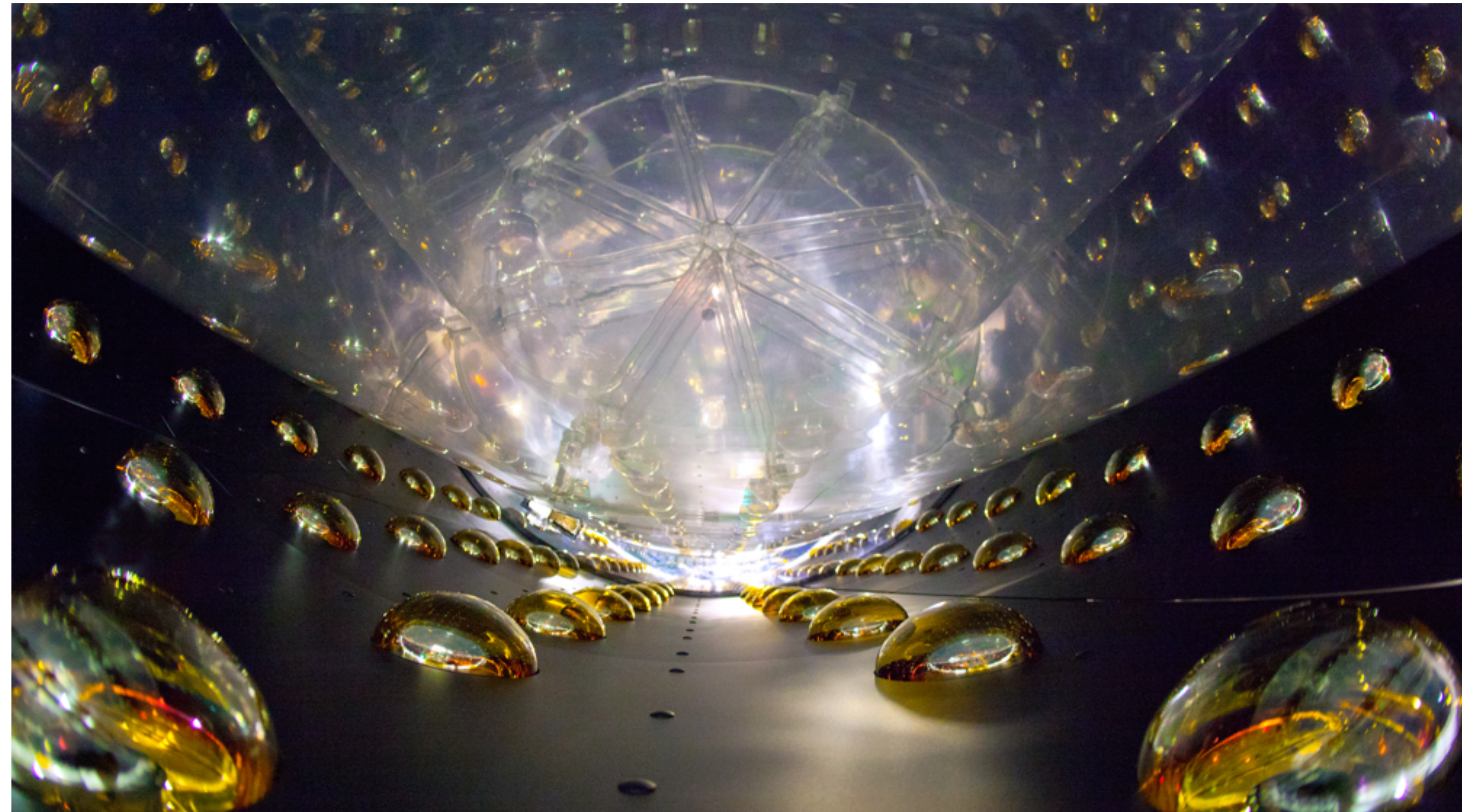
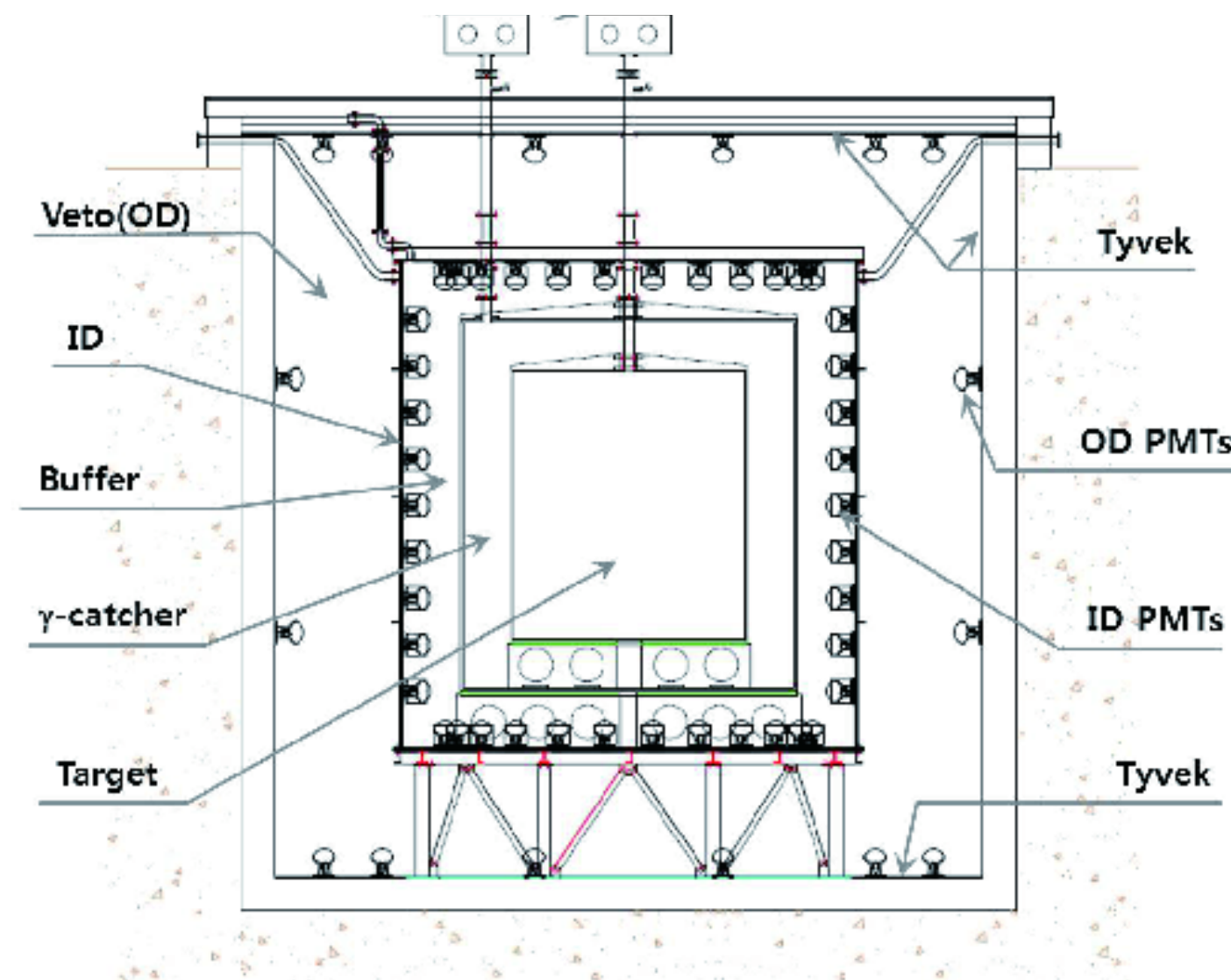
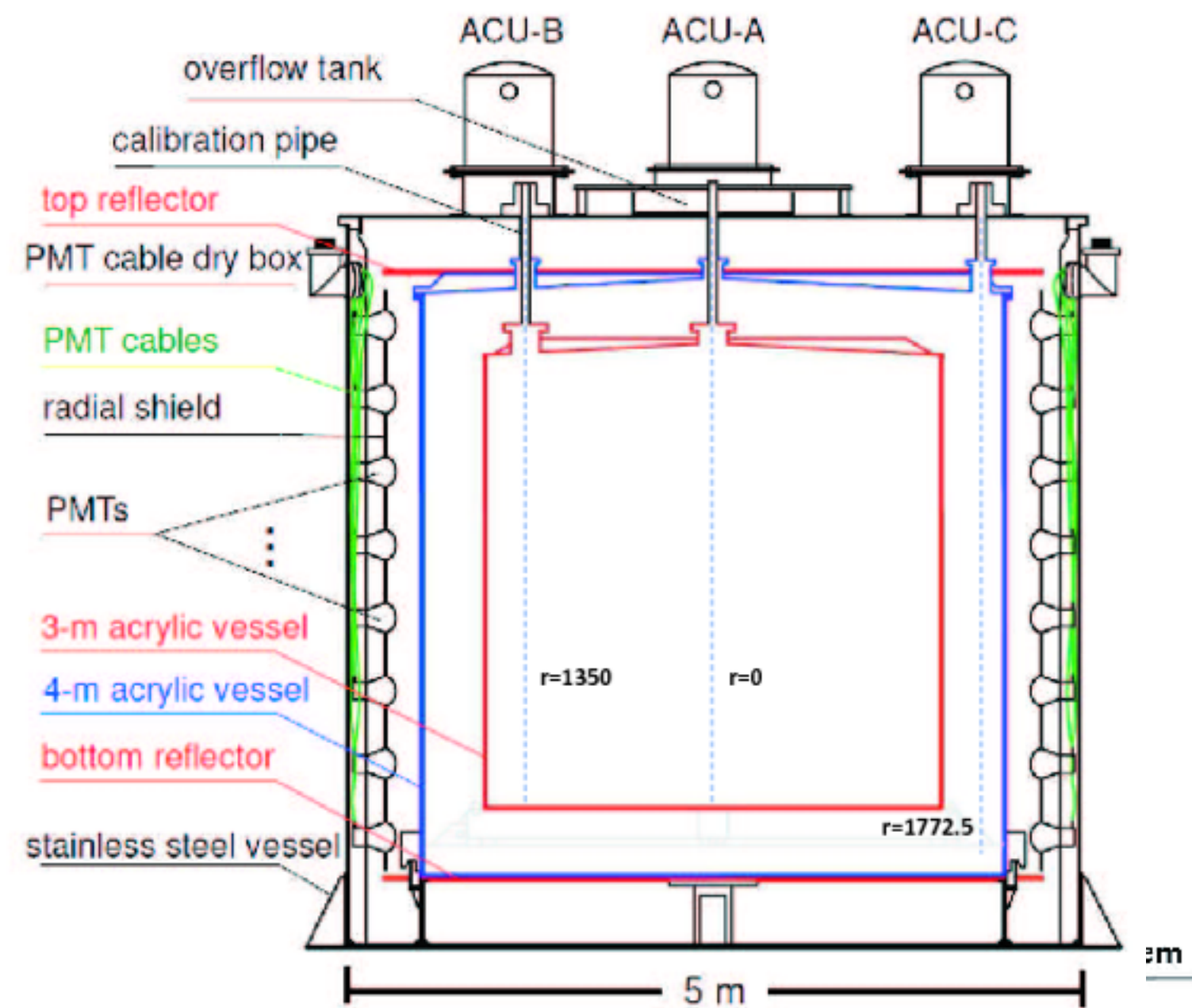
- west coast of South Korea
- 16.5 GW thermal power from Yonggwang complex
- near and far detector

- **Double Chooz**

- Eastern France near Belgium
- 2.9 GW from two reactor cores
- near and far detector



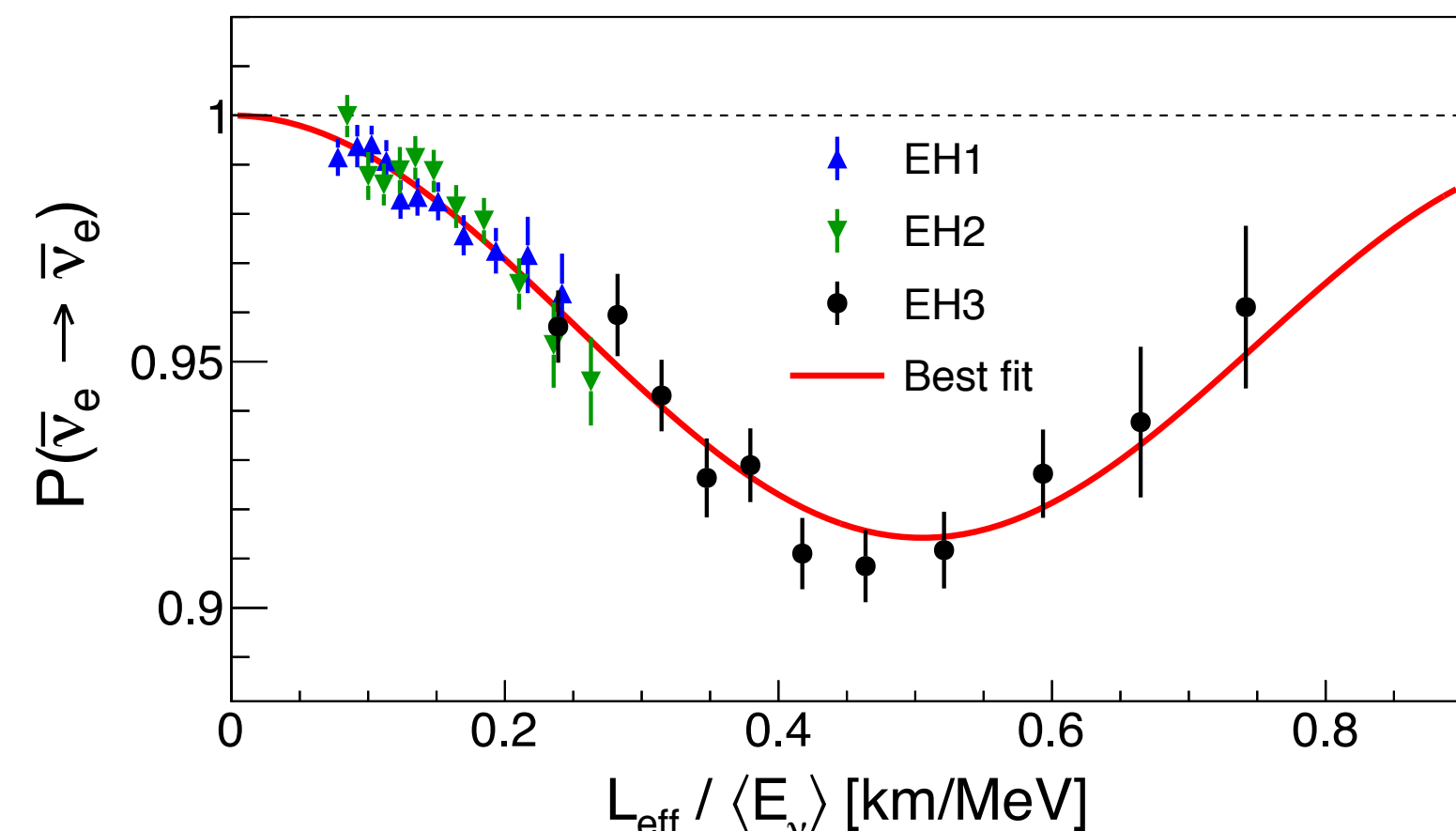
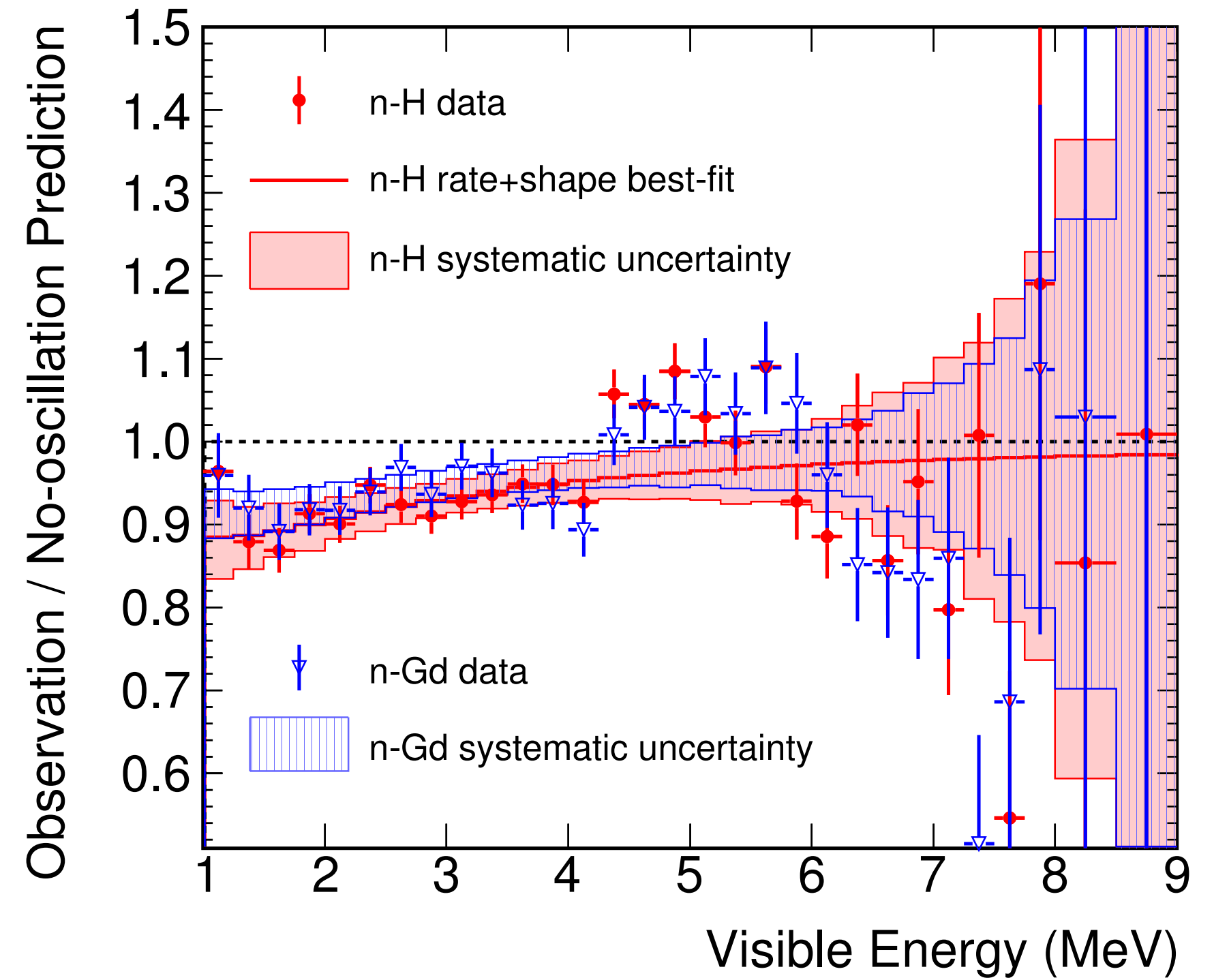
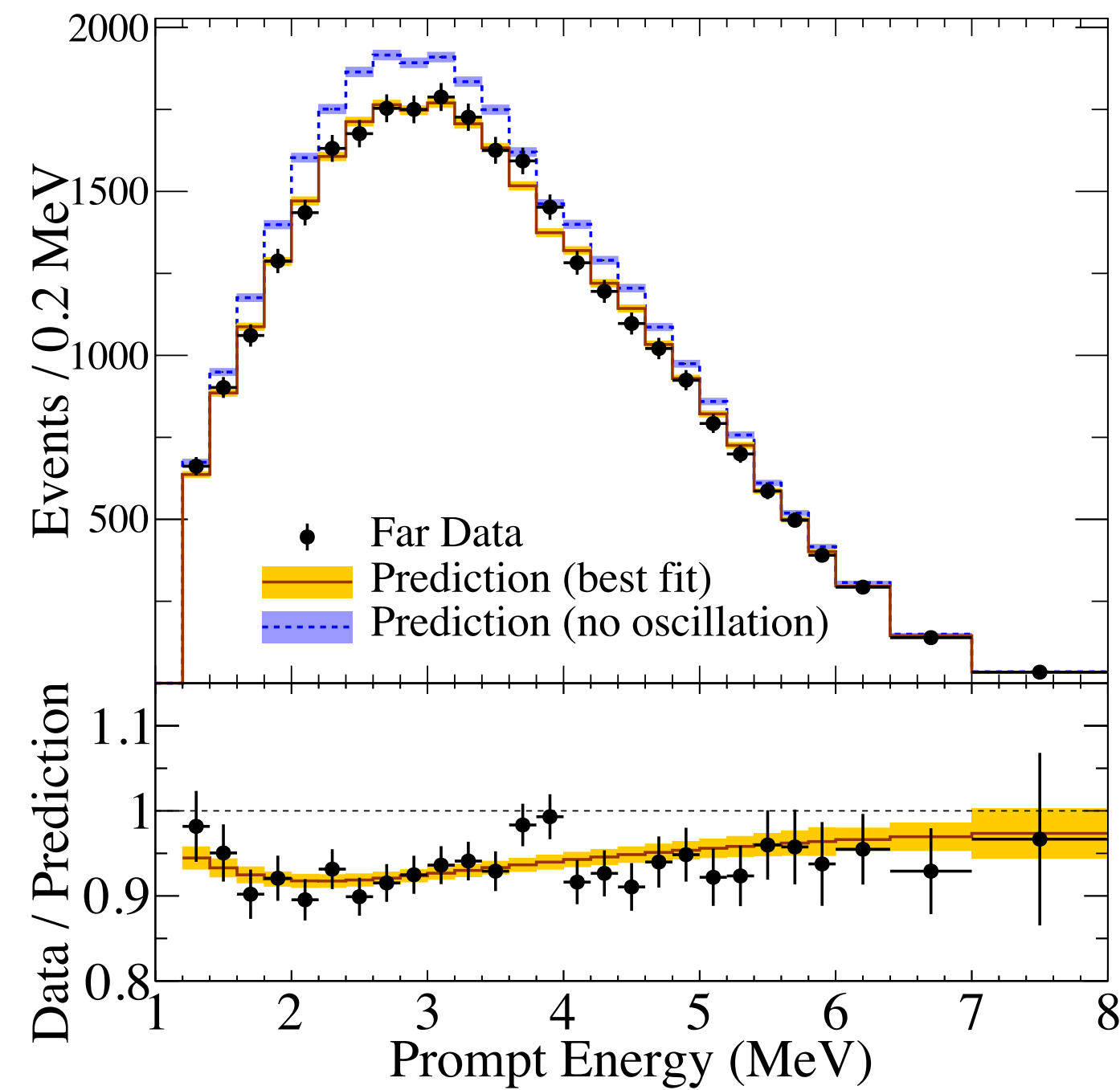
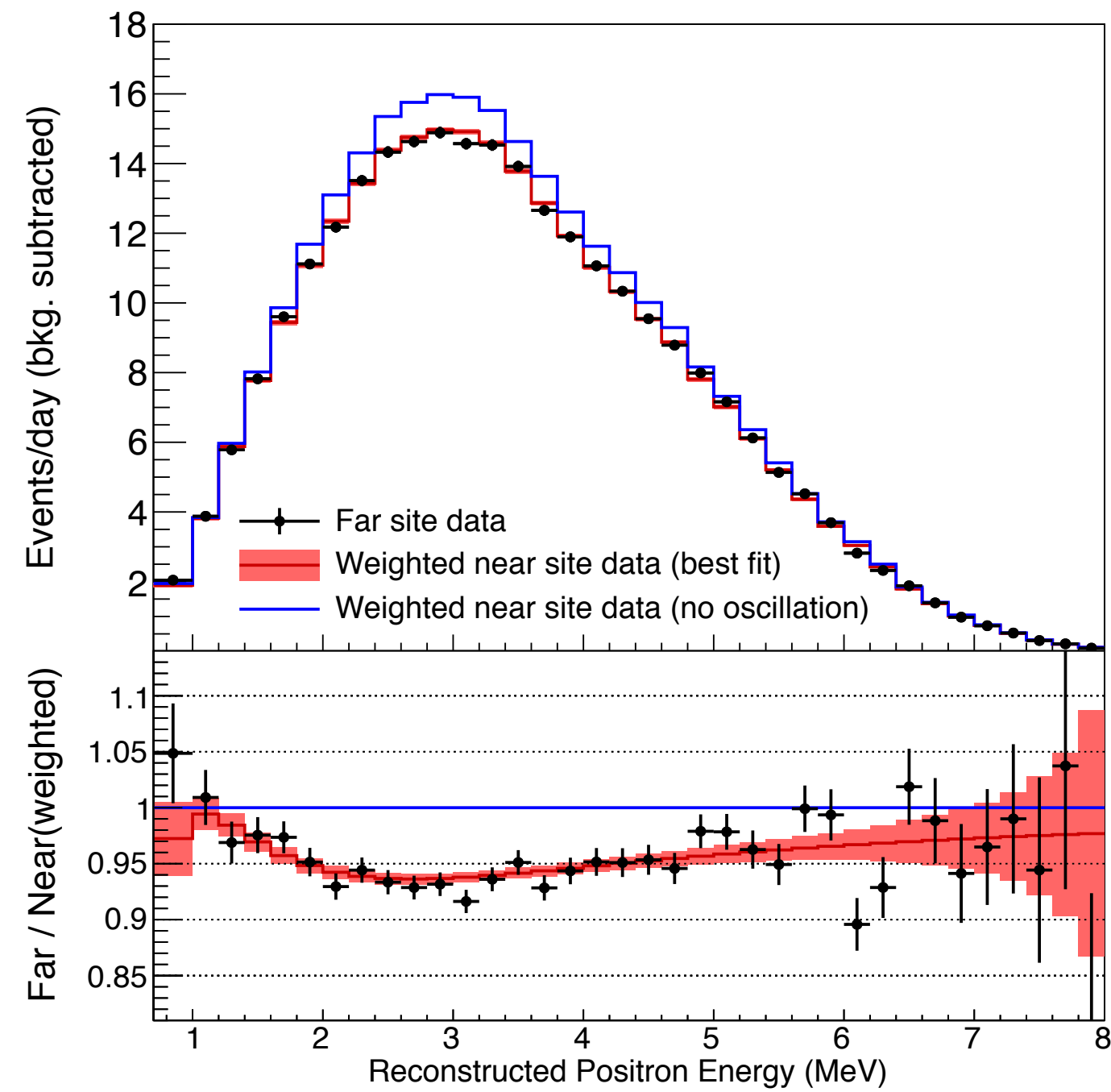
# DETECTORS



- Basic detection principle: inverse beta decay
 
$$\bar{\nu}_e + p \rightarrow e^+ + n$$
- Liquid scintillator detectors (primarily hydrocarbons)
  - provide free proton target
  - large light yield from scintillator
  - Gadolinium doping to aid neutron capture detection
  - "buffer" regions to isolate Gd-loaded region



# RESULTS



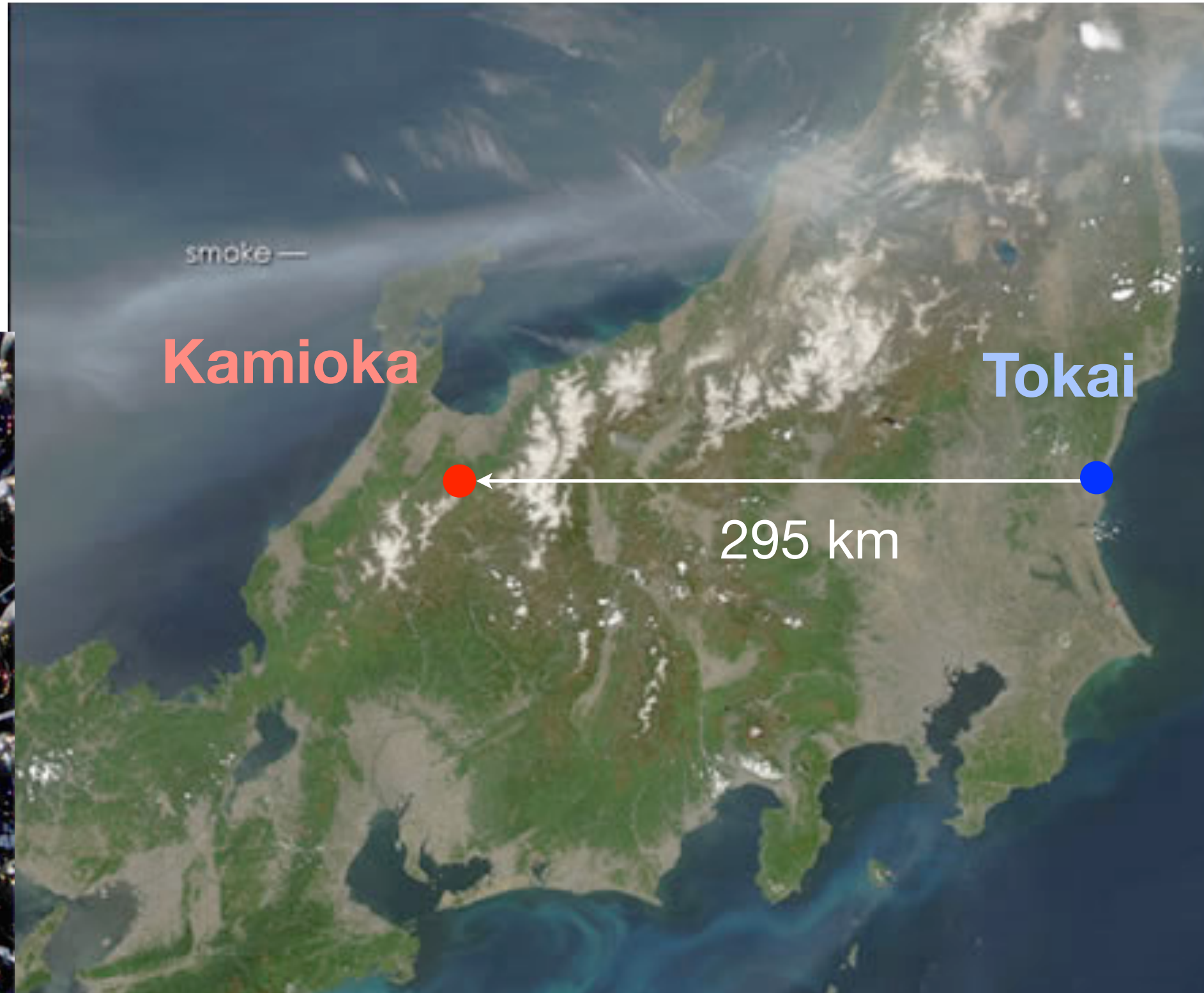
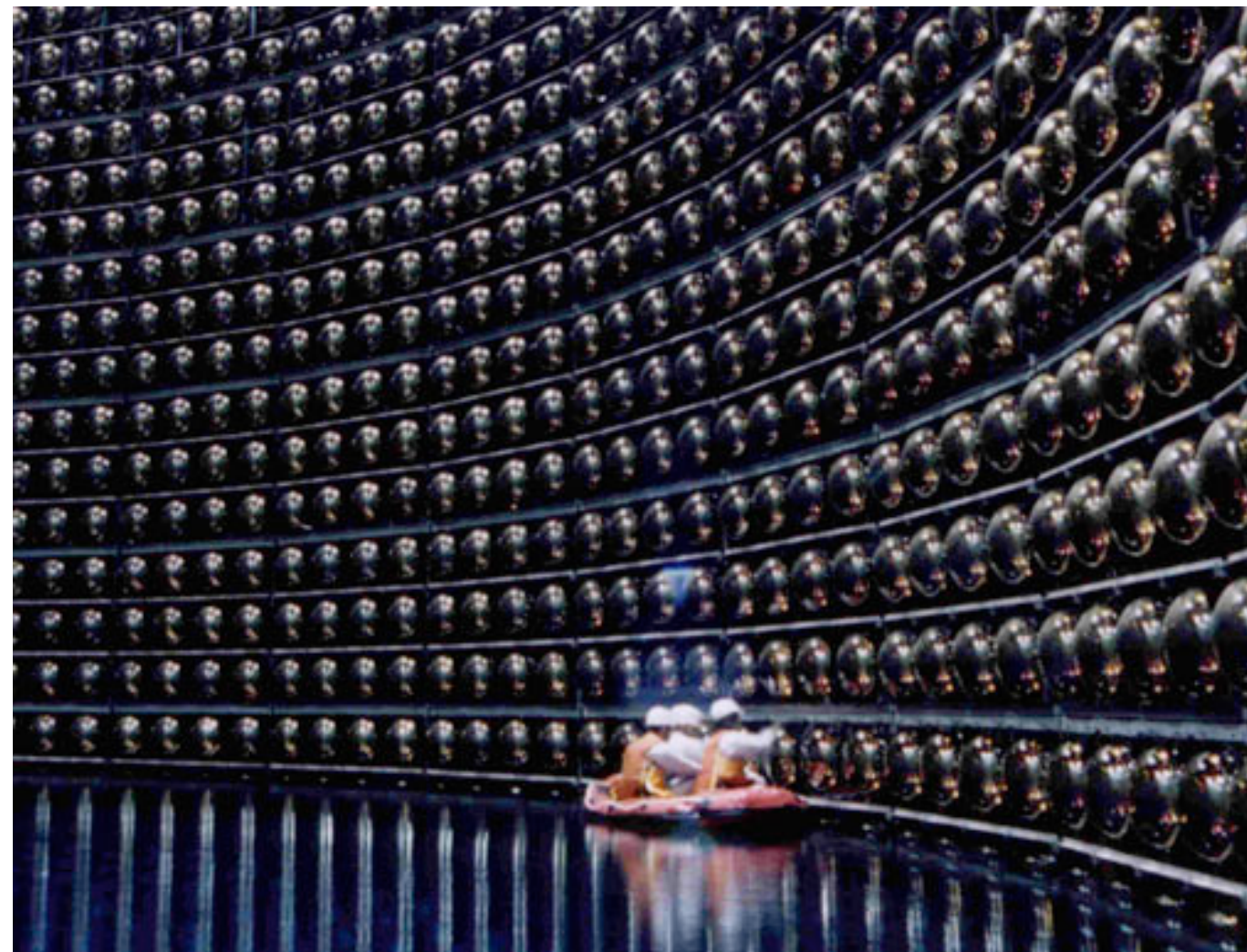
•  $\sin^2 2\theta_{13} = 0.085 \pm 0.005$



# BACK TO LONG BASELINE

ND280  
“near” detector J-PARC

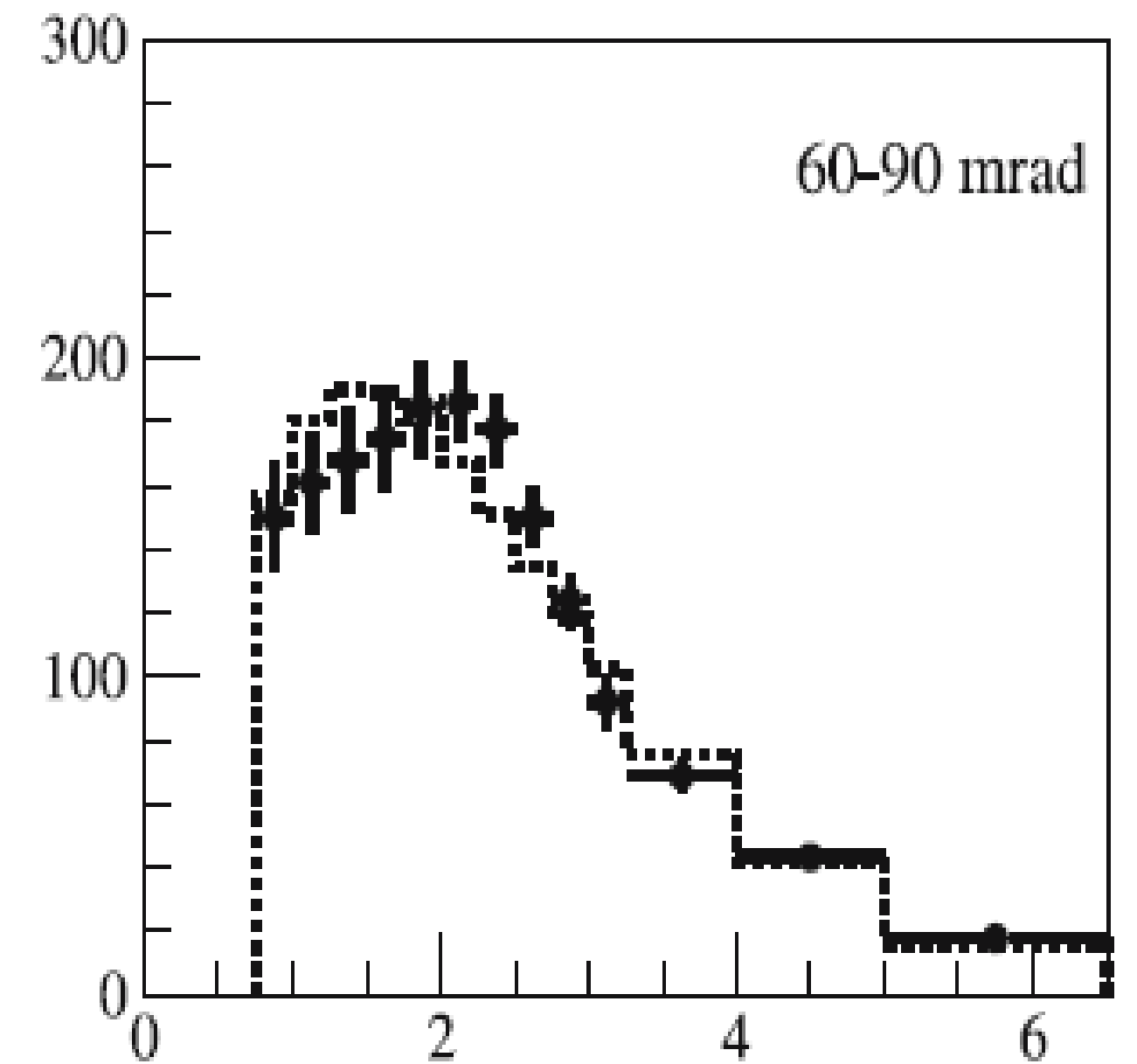
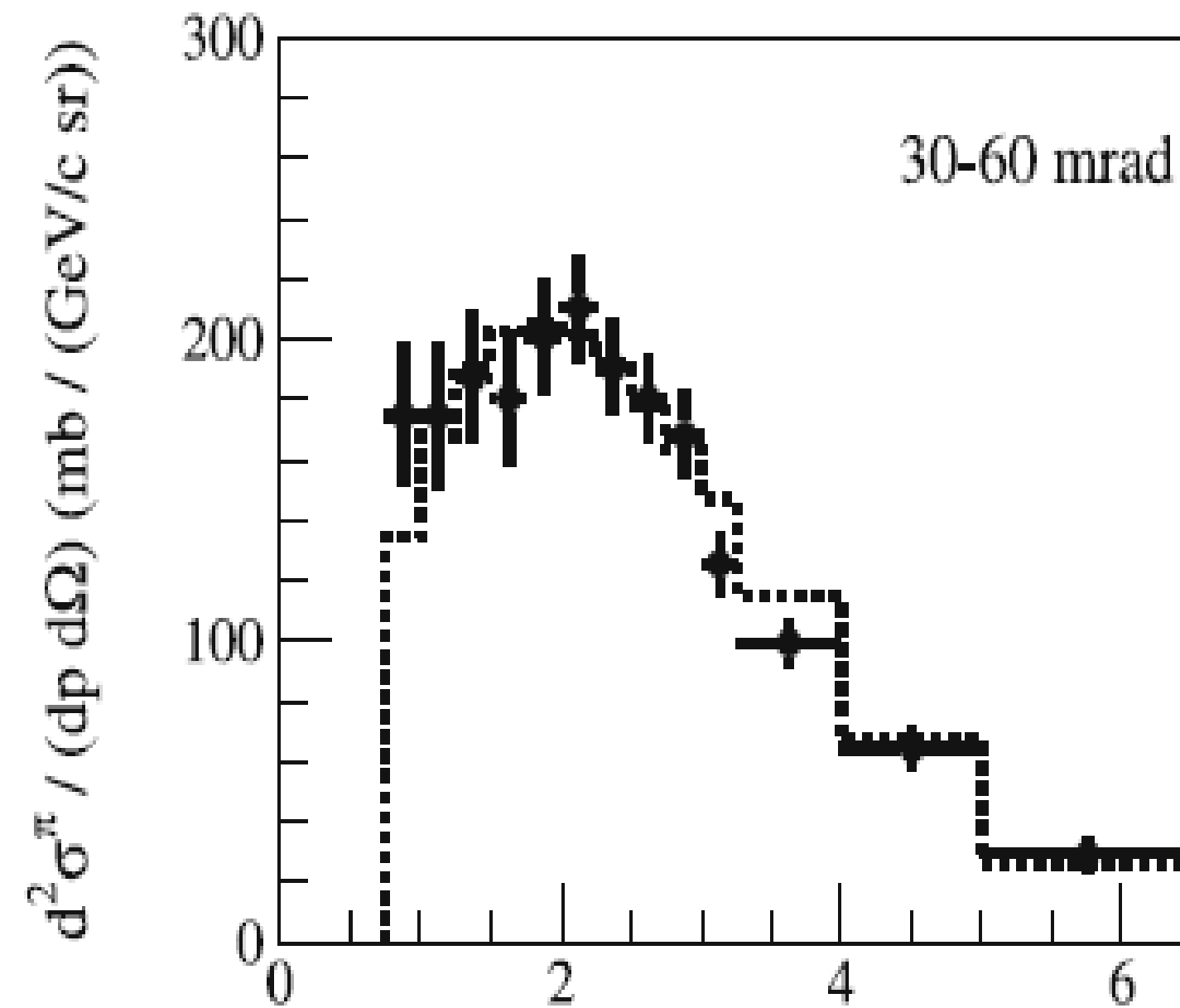
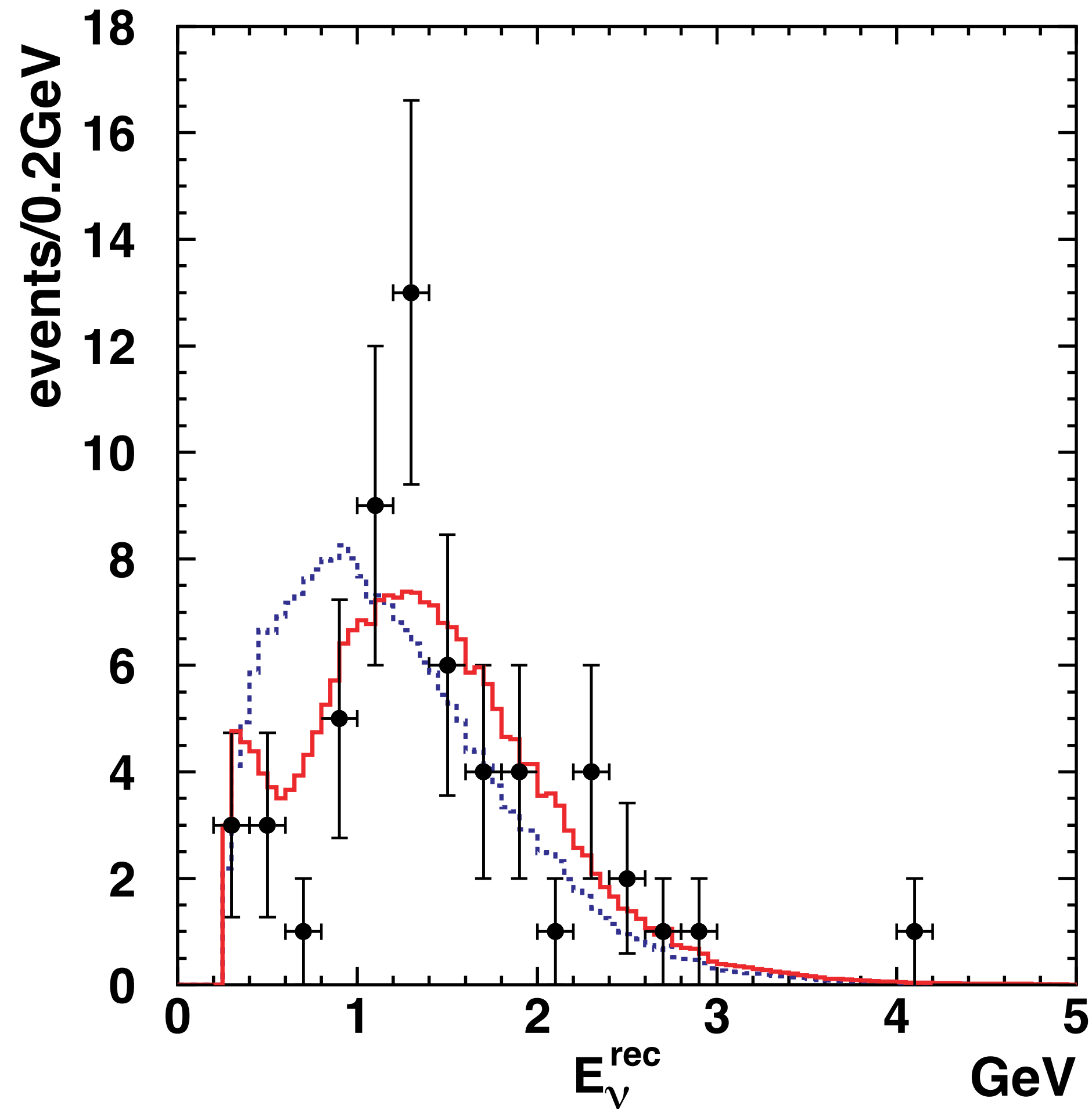
Super Kamiokande  
“far” detector



- New long baseline experiment
- accelerator-based neutrino beam using new J-PARC Main Ring
  - design power of 750 kW (50 times more intense than K2K)
- 295 km distance from J-PARC (in Tokai) to Kamioka



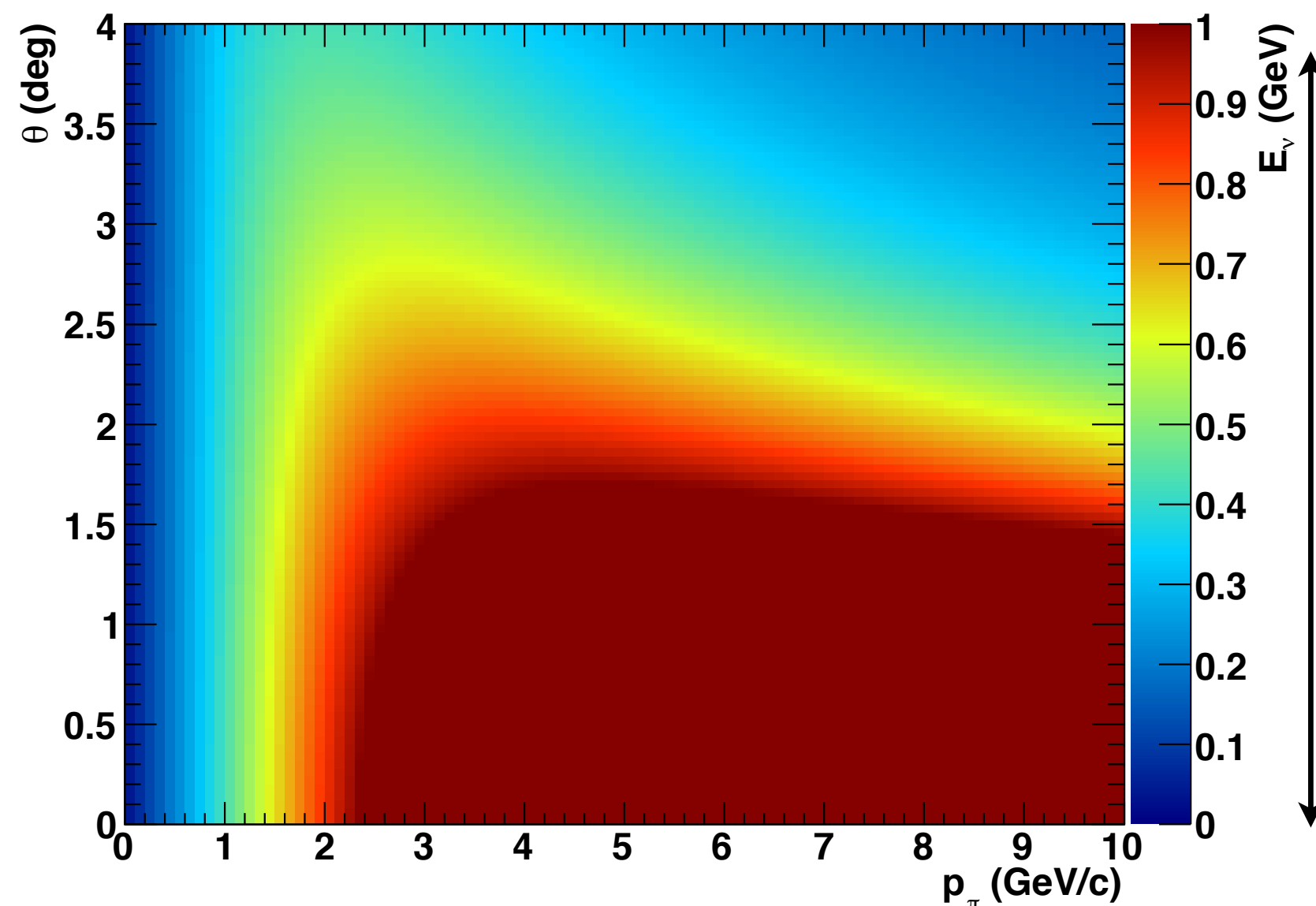
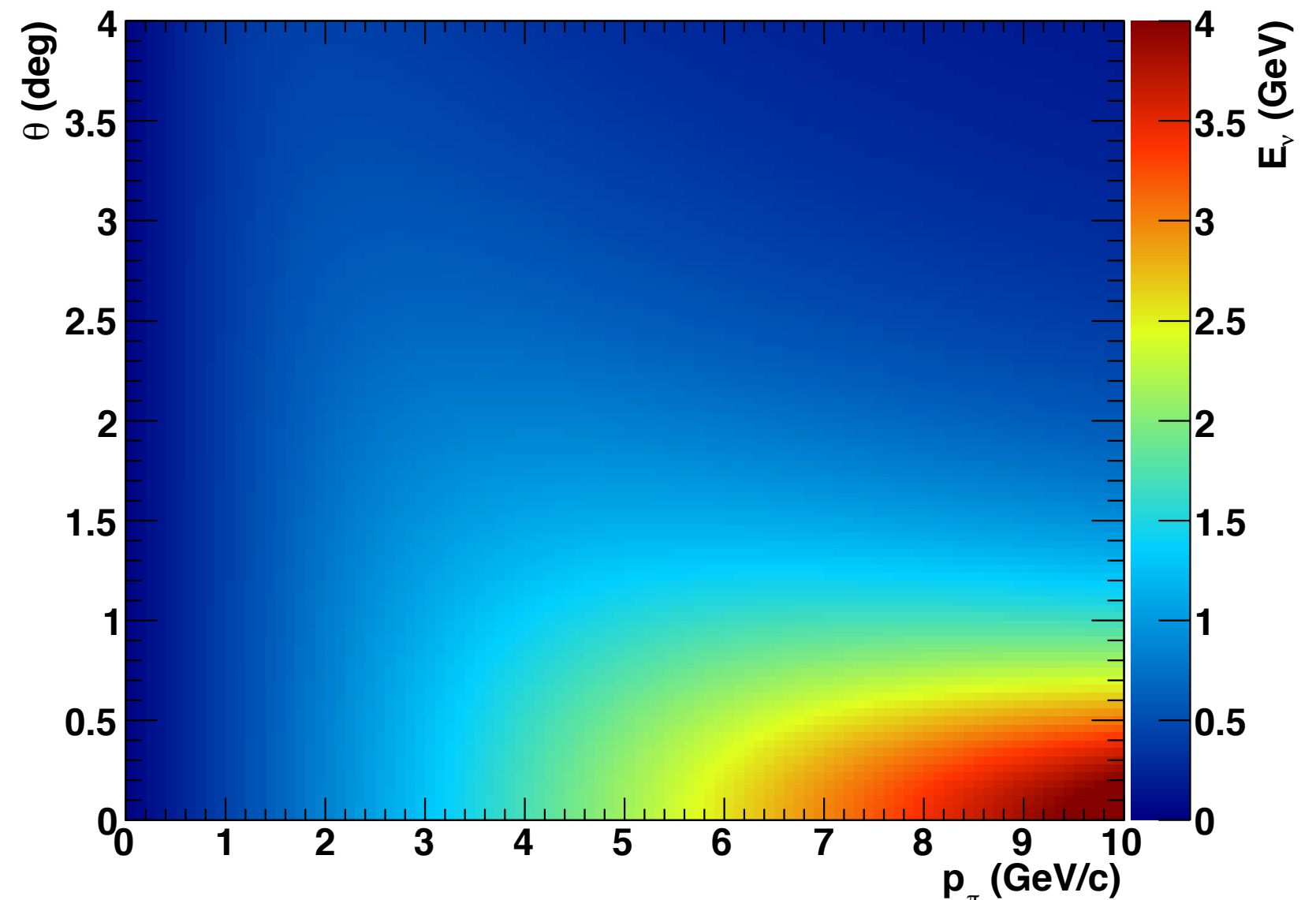
# IMPROVEMENT



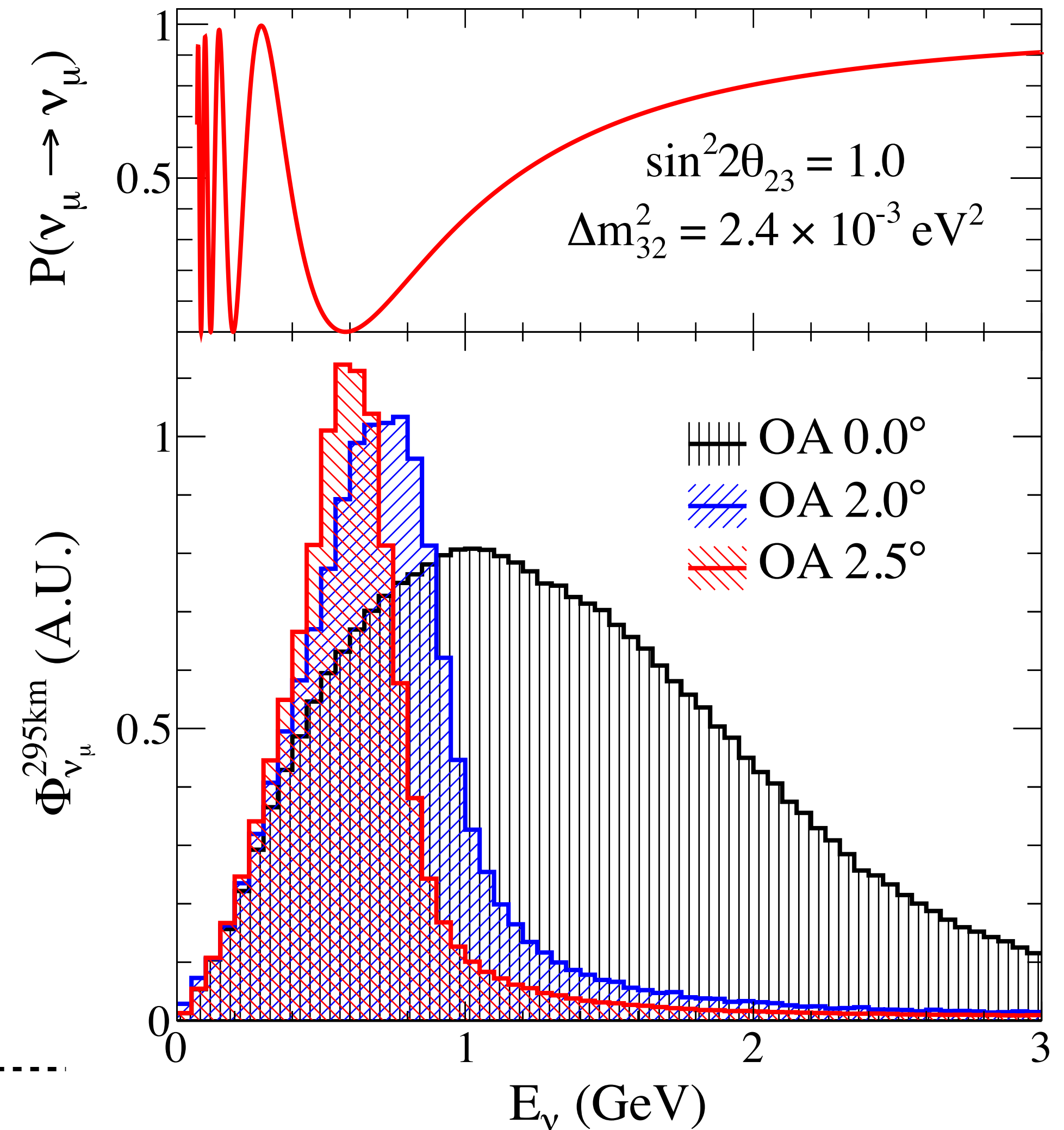
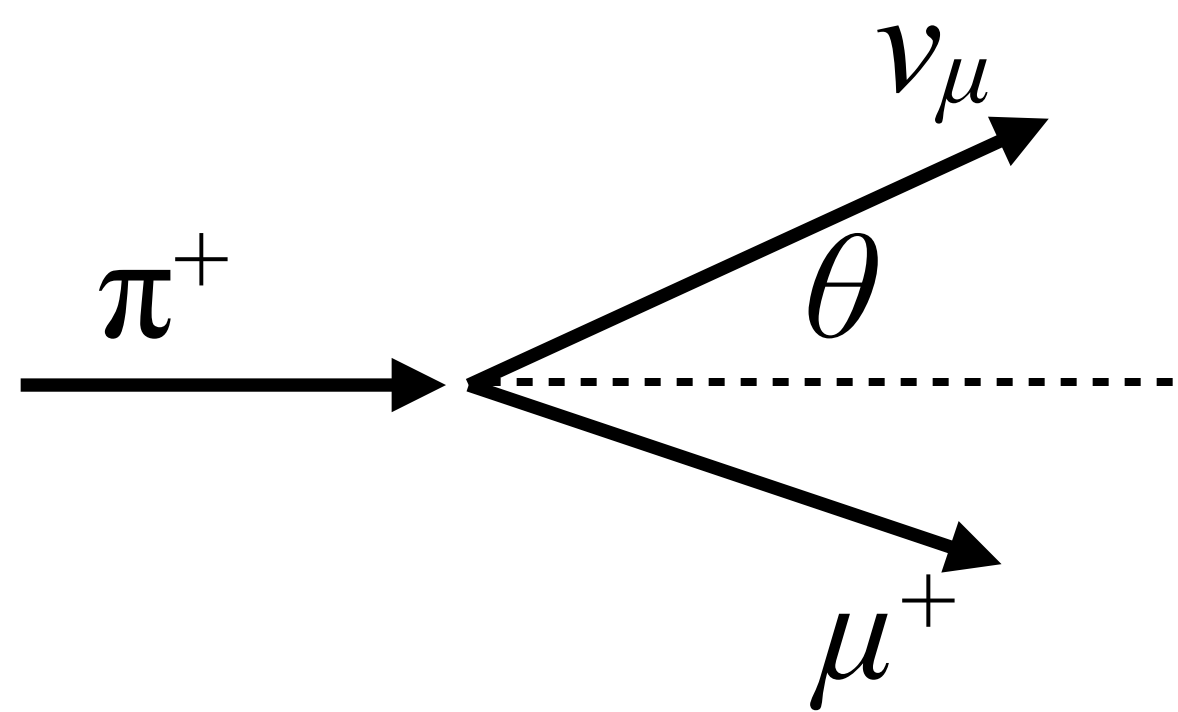
$$\pi^+ \rightarrow \nu_\mu + \mu^+$$

- For “forward” pion decays, neutrino energy is ~linear with pion energy
  - $E_\nu \sim 0.4 \times E_\pi$
- A neutrino beam aimed directly at a detector will have a broad energy spectrum that reflects the pion production spectrum

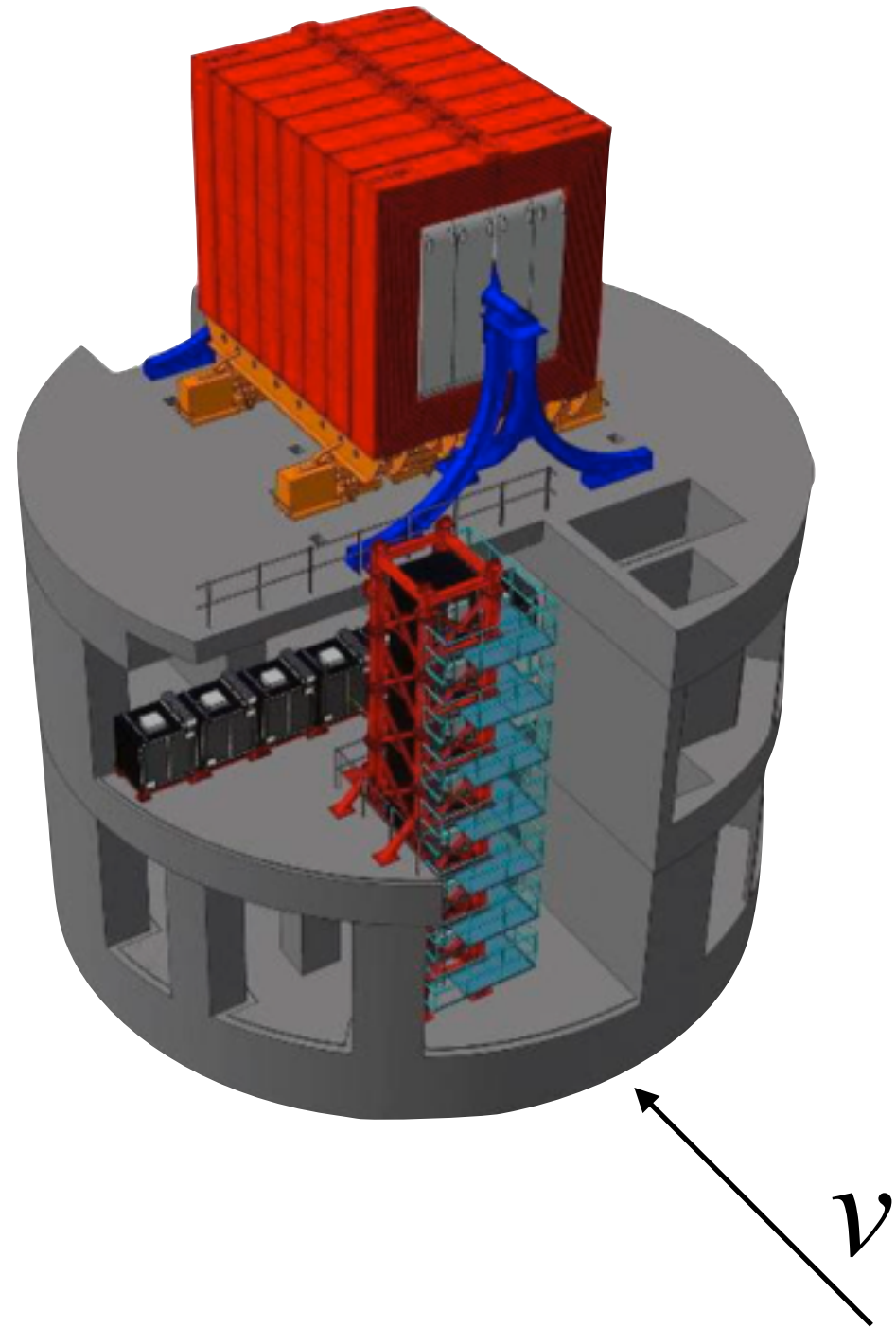
# OFF-AXIS BEAM CONCEPT



- Tune neutrino energy spectrum by pointing the beam away from your detector
- Also reduce "feeddown" backgrounds from higher energy

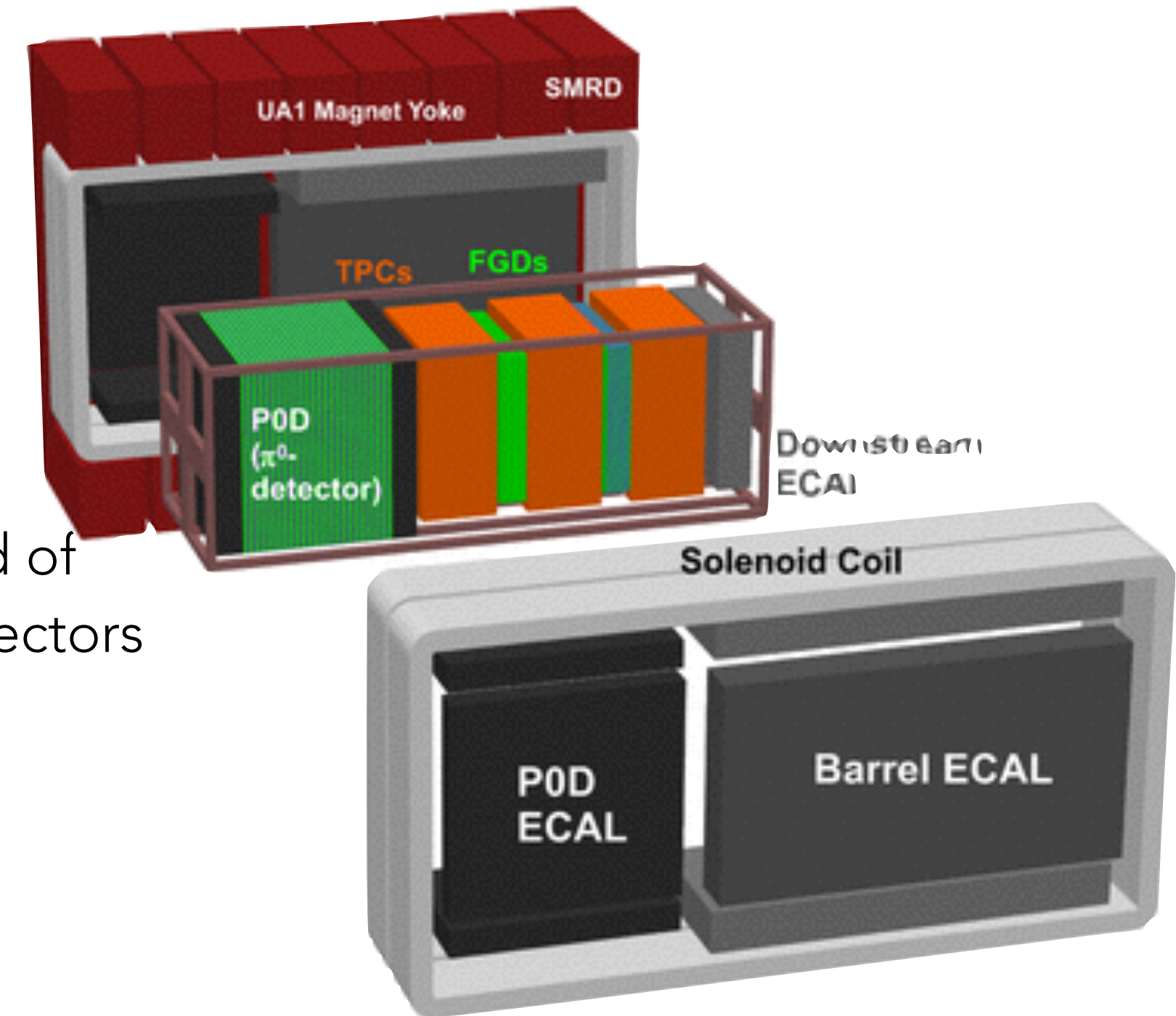


# NEAR DETECTORS



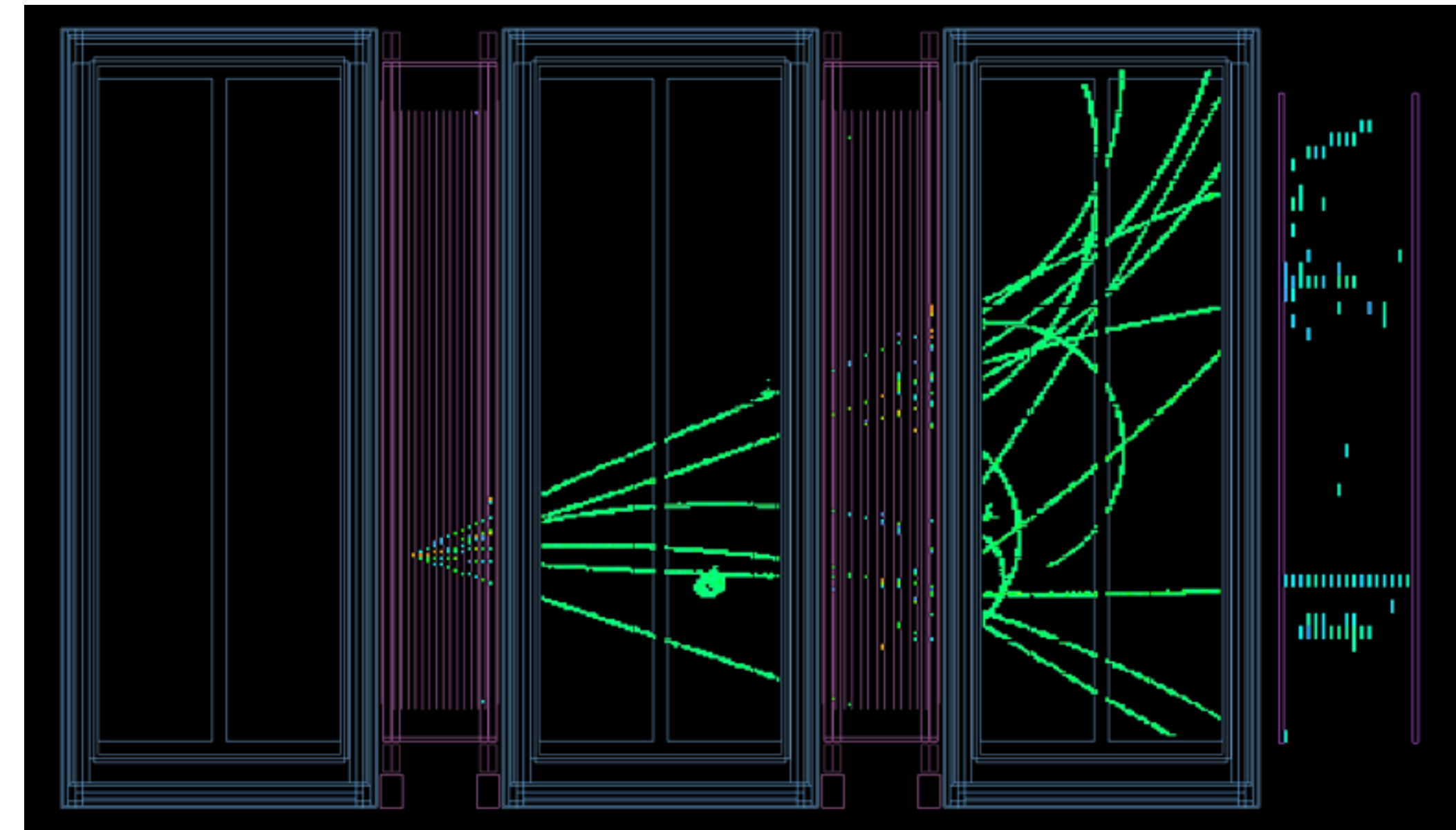
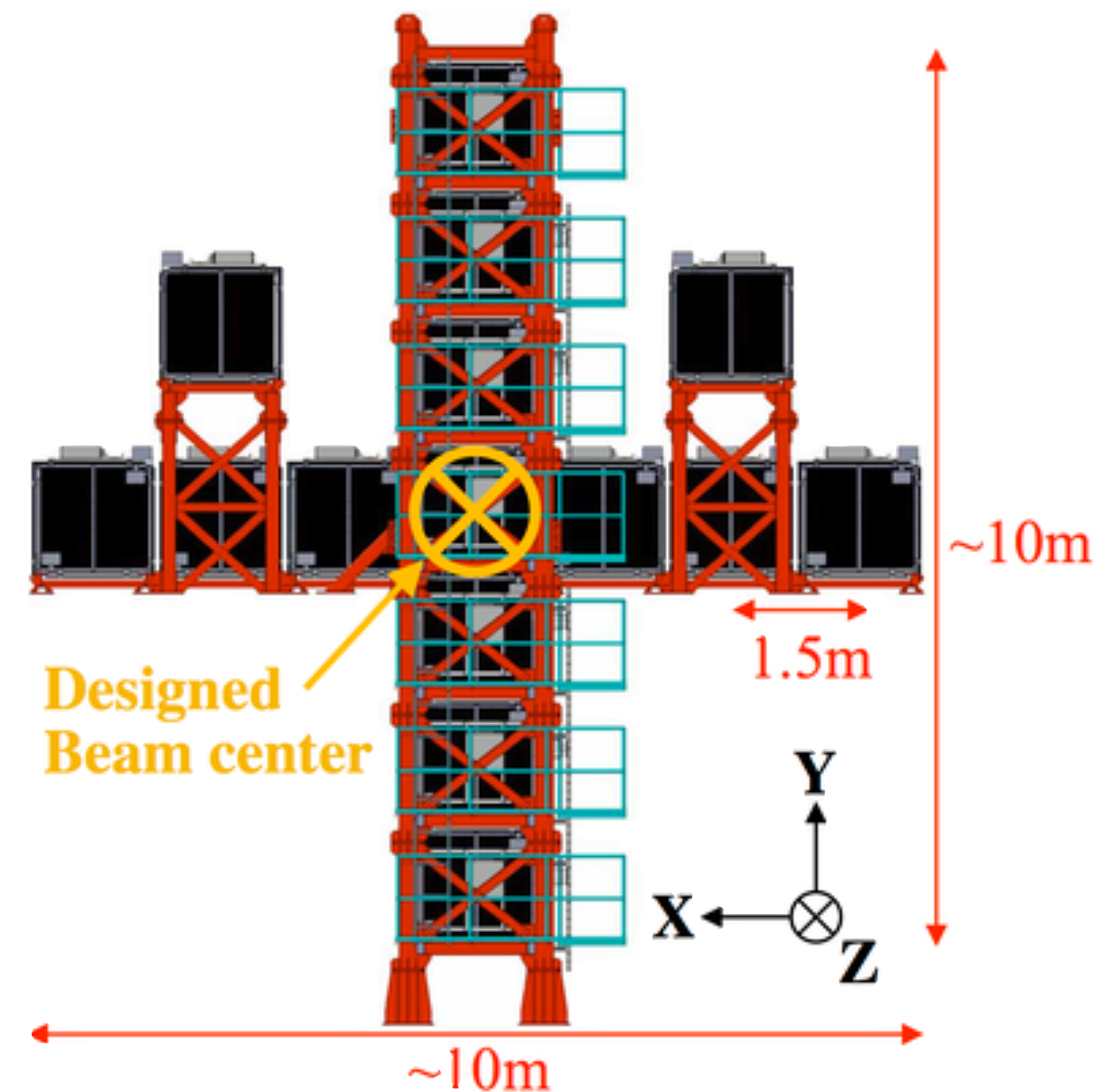
## ND280:

- off-axis detector systems comprised of tracking, calorimetry and muon detectors
- 0.20 T field from UA1 magnet
- scintillator and water targets



## INGRID

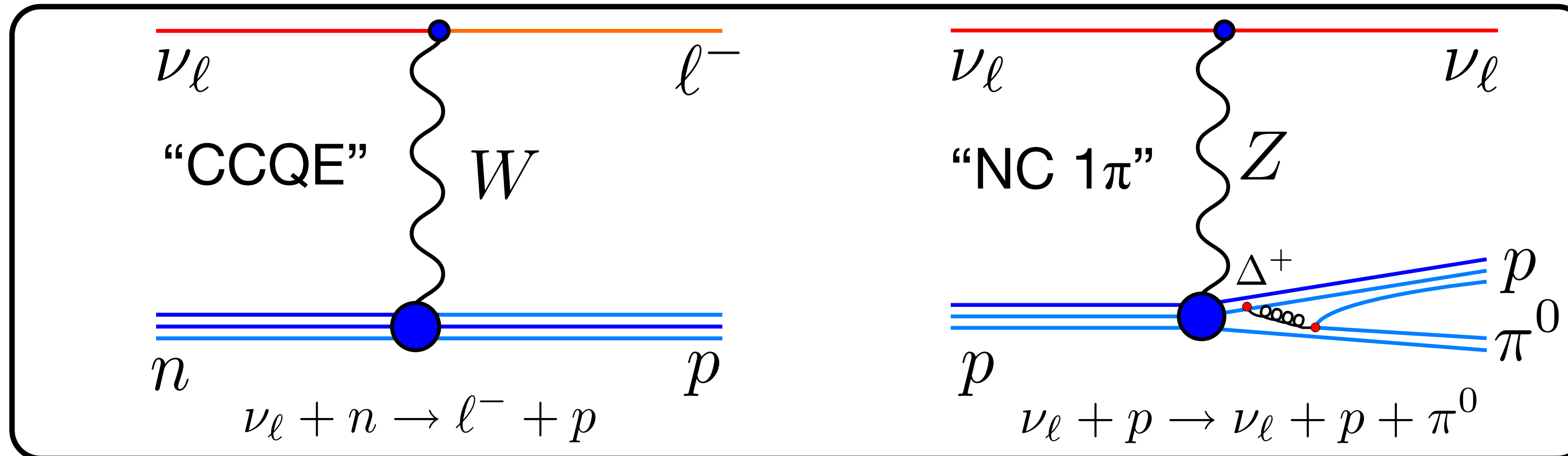
- 7x7 grid of scintillator/Fe neutrino detectors spanning beam axis
- monitor beam direction and rate





# NEAR INTERACTIONS AT T2K

T2K



$$\nu_\ell + n \rightarrow \ell^- + p$$

**Signal**

- Single  $\mu/e$ -like ring
- $E_\nu$  by energy/direction of lepton, 2-body kinematics

$$\nu_\ell + (n/p) \rightarrow \nu_\ell + (n/p) + \pi^0$$

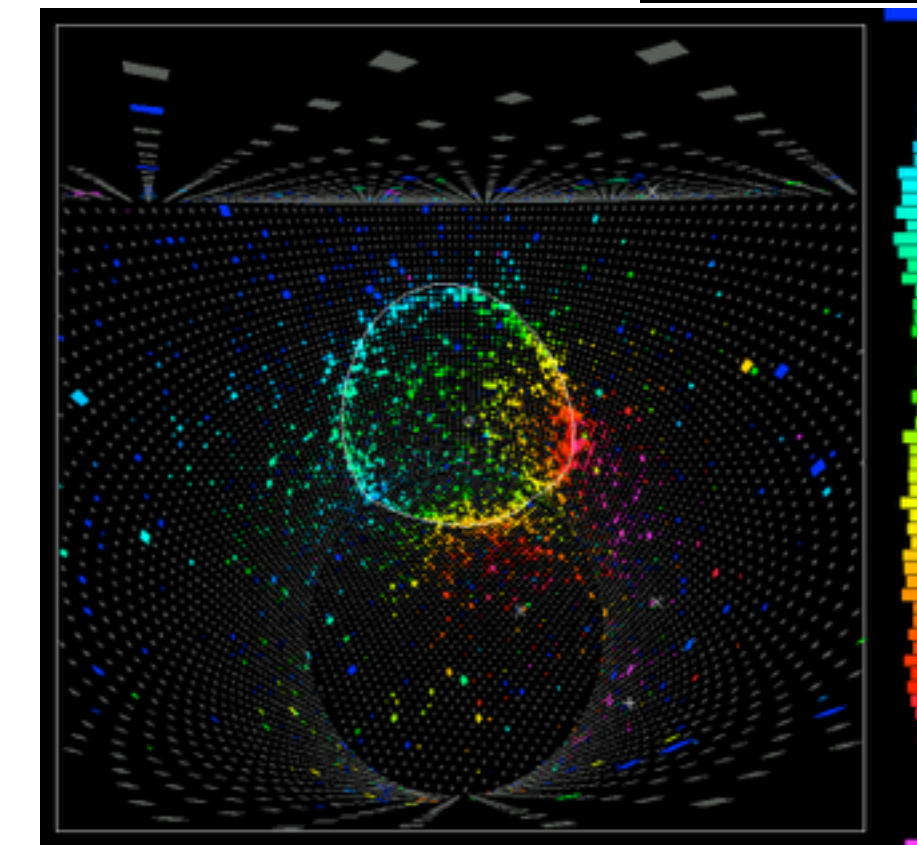
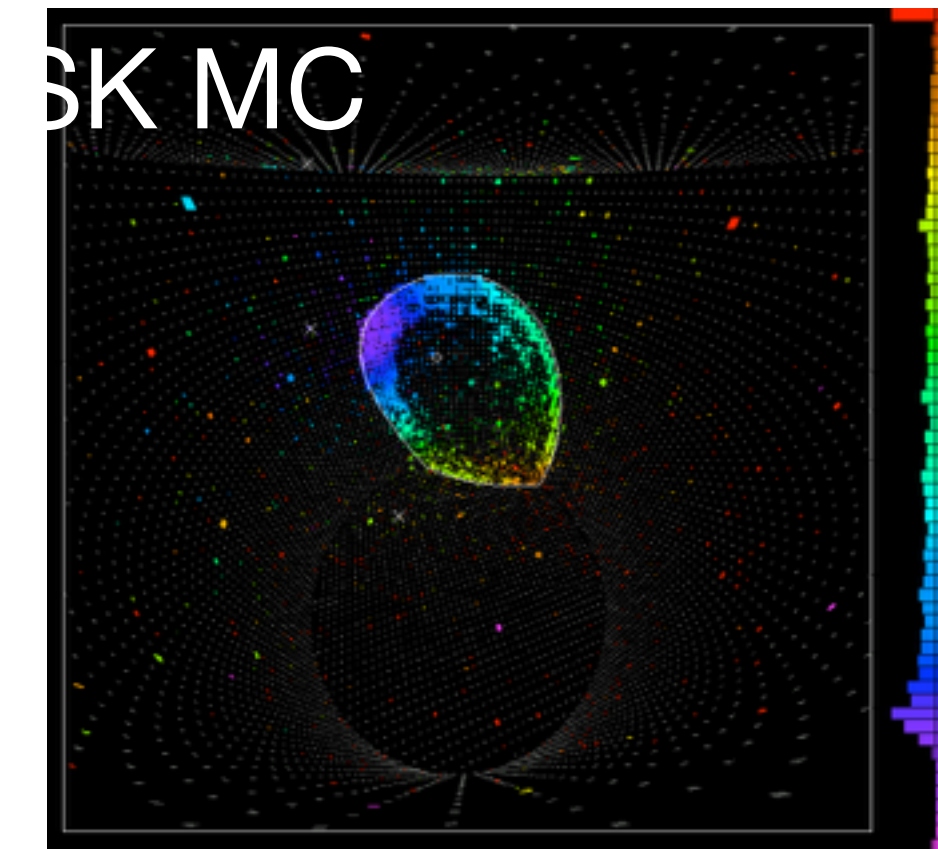
**Backgrounds**

$$\nu_\ell + (n/p) \rightarrow \ell^- + (n/p) + \pi$$

- $\pi^0 \rightarrow \gamma + \gamma$ : ring counting, 2-ring reconstruction
  - $\gamma$  misidentified as  $e$  from  $\nu_e$  CCQE
  - powerful rejection capabilities reduce this by  $O(10^2)$
- $\mu/\pi^+$ : ring counting, decay electron cut
- Pure  $\nu_e$  samples ( $S/B \sim 10$ ) obtained with high efficiency

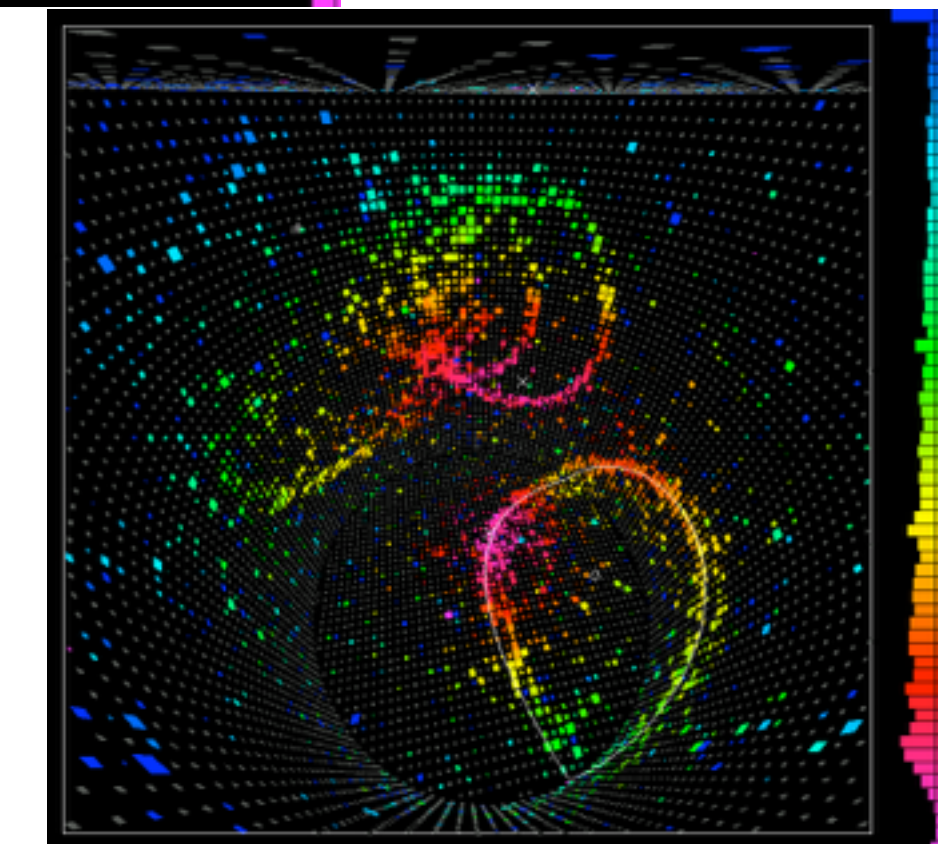
SK MC

$\mu$



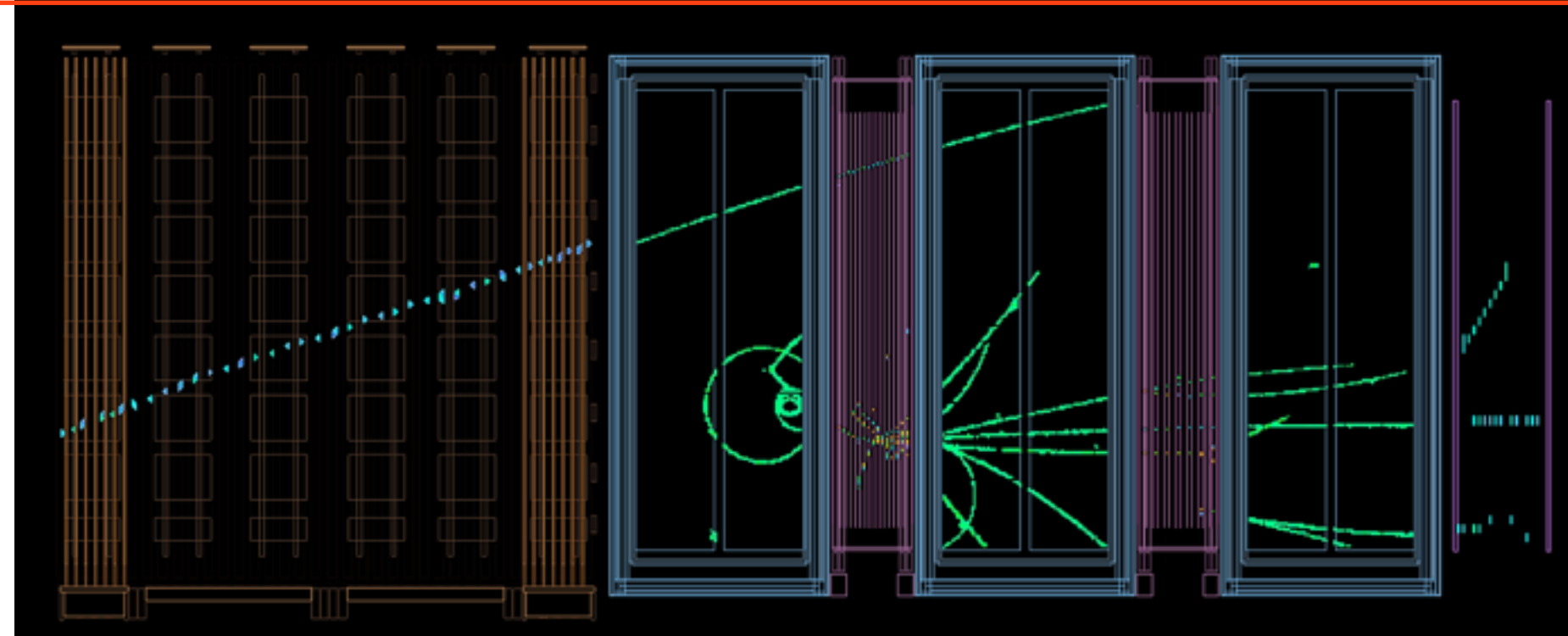
$e/\gamma$

multi ring





# ANALYSIS STRATEGY

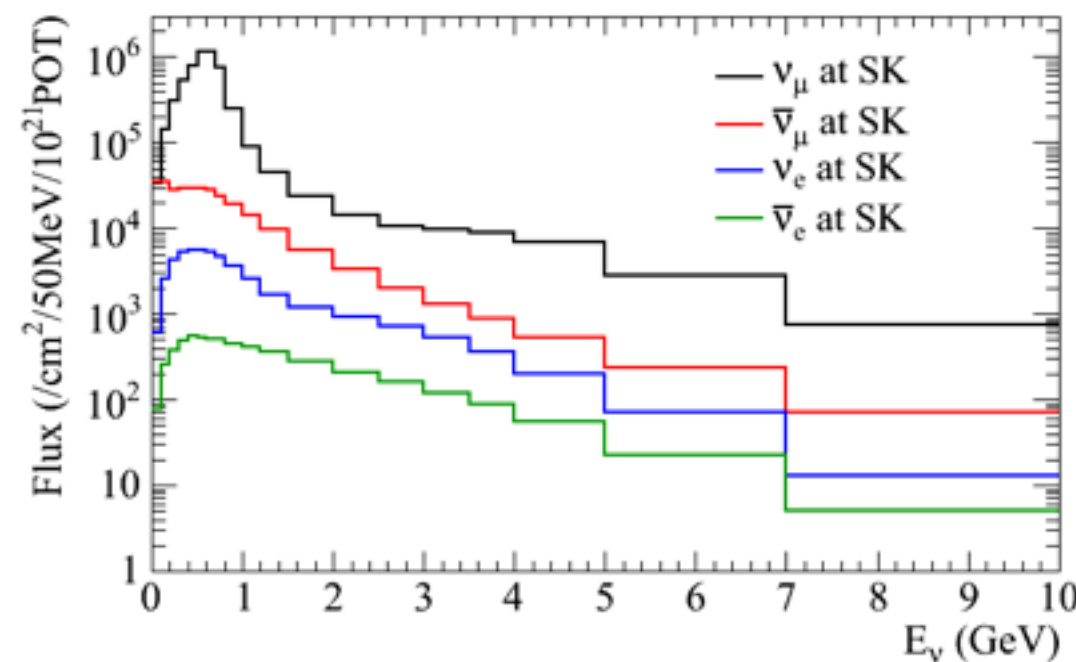


Near detectors observe the neutrinos prior to oscillations

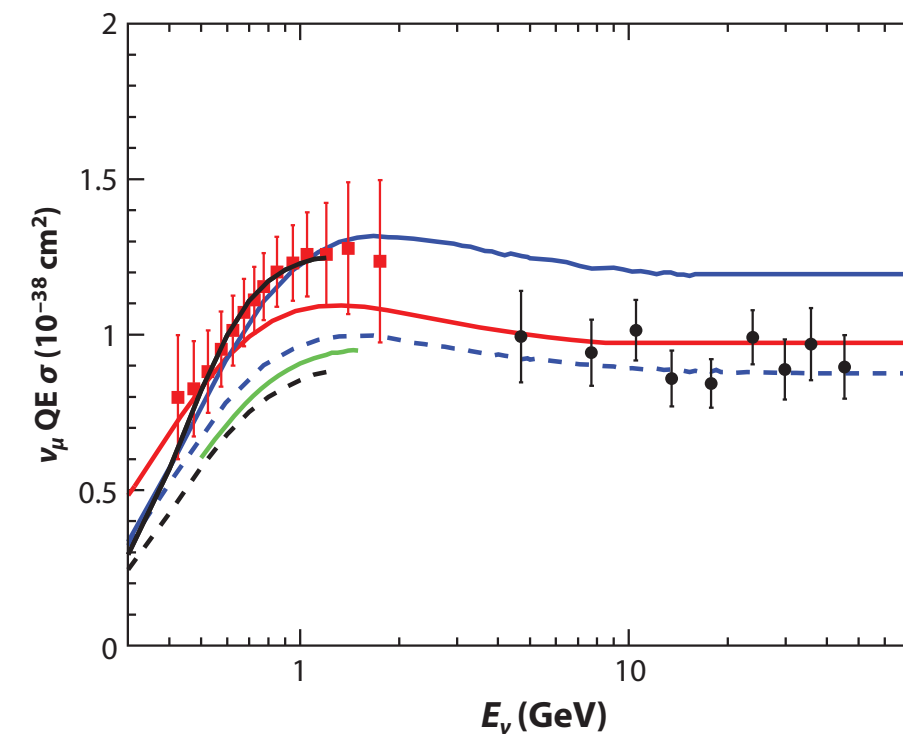
$\phi_\nu$

$\phi_\nu \cdot \sigma_\nu \cdot \epsilon_{\text{NEAR}}$

$\sigma_\nu$



MC simulation of neutrino beam line tuned with external data + operational parameters

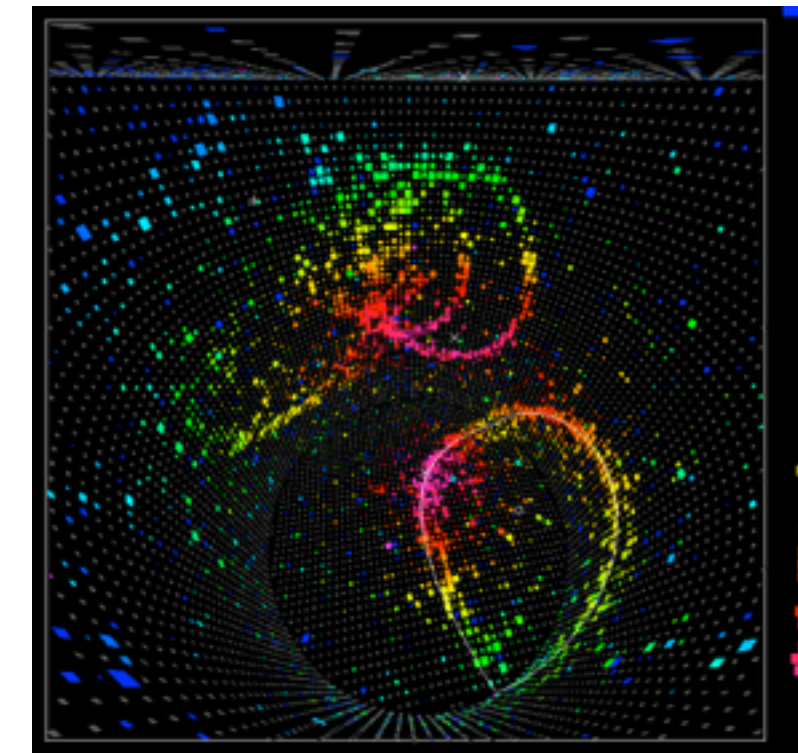


Neutrino cross section and interaction model tuned to external measurements

Far ( $L=295$  km)  
 $\nu_\mu \rightarrow \nu_e$  ( $\theta_{23}, \theta_{13}, \delta_{\text{CP}}$ )  
 $\nu_\mu \rightarrow \nu_{\mu/\tau}$  ( $2\theta_{23}, \Delta m^2_{32}$ )  
 $\nu_\mu, \nu_e$  backgrounds

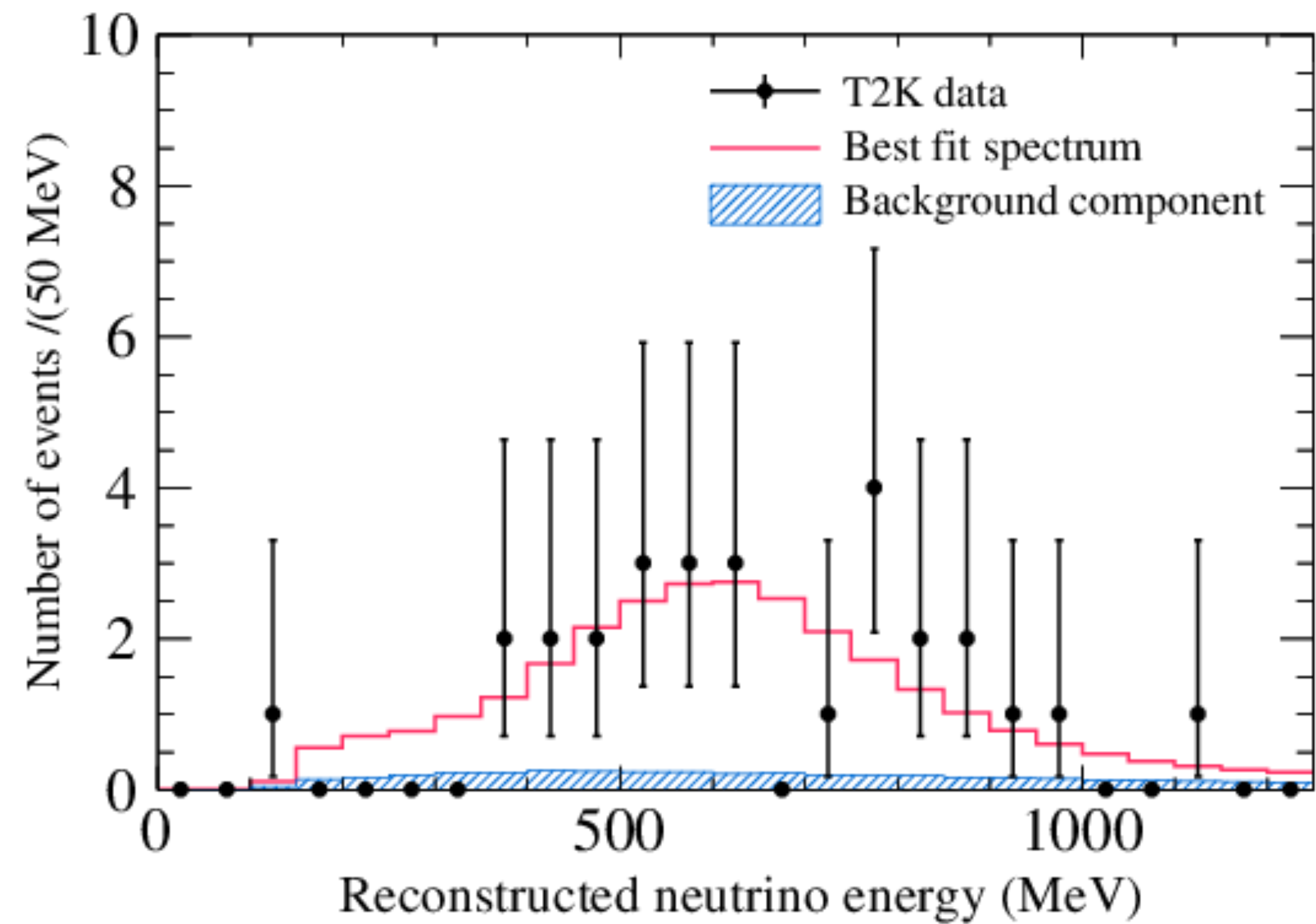
$\phi_\nu \cdot \sigma_\nu \cdot \epsilon_{\text{FAR}} \cdot P_{\text{osc}}$

$\epsilon_{\text{FAR}}$



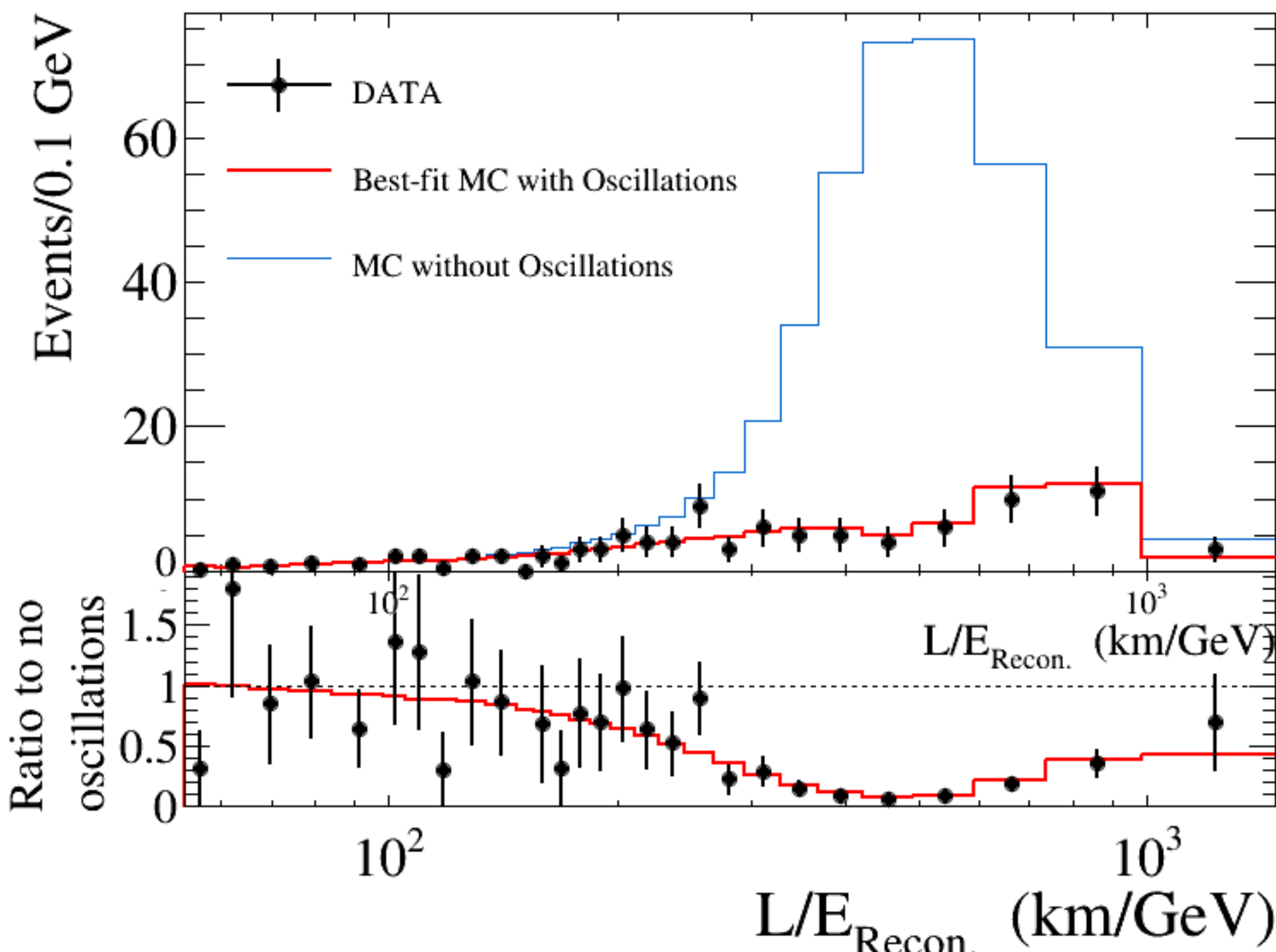
Detector simulation to determine efficiencies/backgrounds

# OSCILLATION RESULTS



- 28  $\nu_e$  candidates
    - 5.0 expected in absence of oscillation effects
    - definitive observation of  $\nu_\mu \rightarrow \nu_e$  oscillations
- expected number of  $\nu_e$  candidates for  $\delta_{CP} = 0$ ,  $\sin^2 \theta_{23} = 0.5$ , NH

	Osc.	No osc.
$\nu_\mu$	0.9	1.4
$\bar{\nu}_\mu$	0.1	0.1
$\nu_e/\bar{\nu}_e$	3.3	3.5
$\nu_\mu \rightarrow \nu_e$	16.6	0.0
$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$	0.2	0.0
Total	21.1	5.0



- 120  $\nu_\mu$  candidates observed
  - 446 expected in absence of osc. effects
  - Most precise determination of  $\nu_\mu$  disappearance

$$\sin^2 \theta_{23} = 0.514^{+0.055}_{-0.056}$$

$$\Delta m_{32}^2 = (2.51 \pm 0.51) \times 10^{-3} \text{ eV}^2/c^4$$



# CONSEQUENCES

- Recall:

$$U = \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{+i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha_1/2} & 0 \\ 0 & 0 & e^{i\alpha_2/2} \end{pmatrix}$$

- $\theta_{13} \neq 0$ :
  - $\nu_\mu$  oscillating to  $\nu_e$  at the “atmospheric” scale
  - $\nu_e$  disappearing at the “atmospheric” scale
- We now have full 3-flavor mixing
- The world of neutrino oscillations is a lot more complicated and richer now.



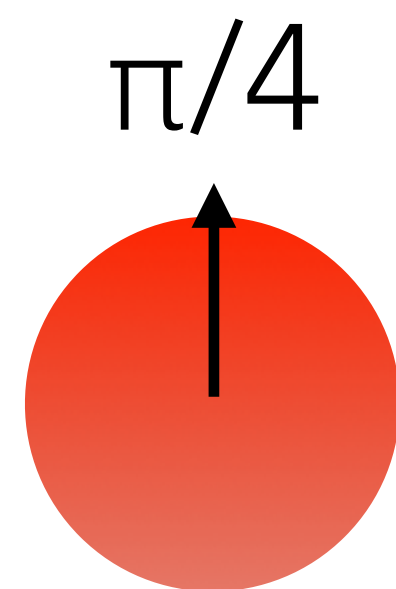
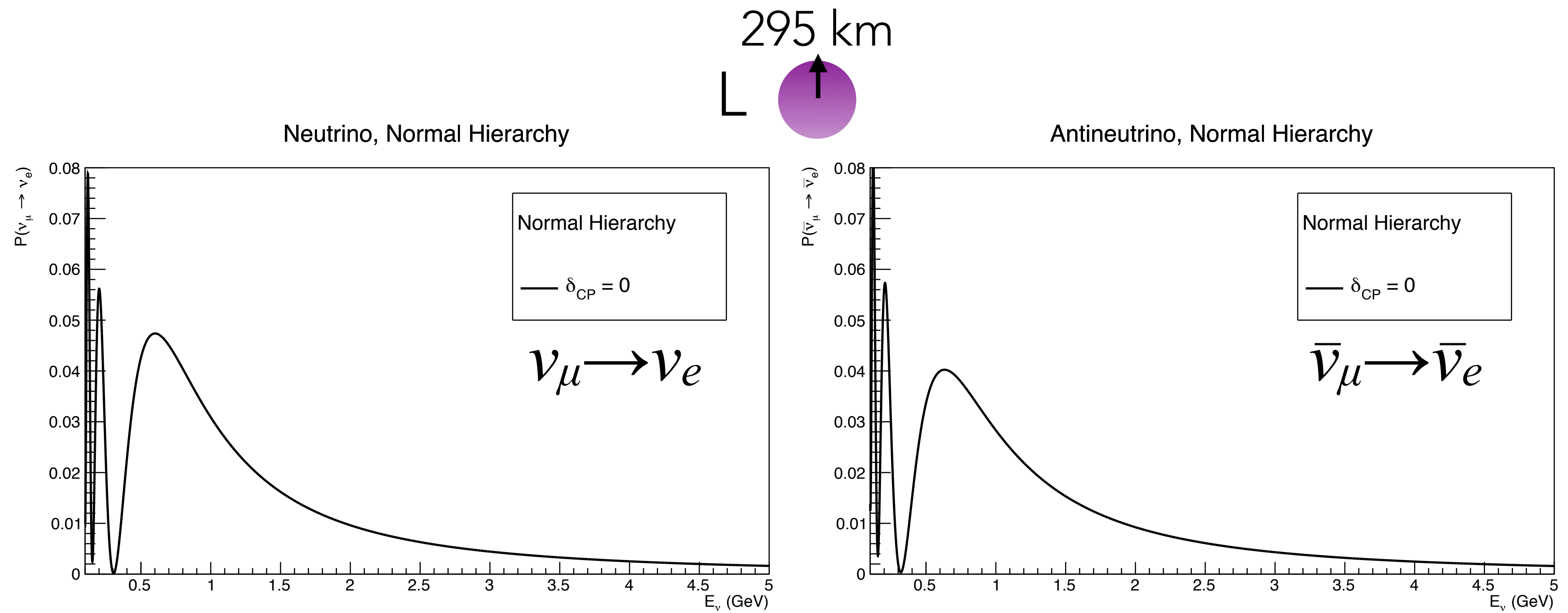
# $\nu_\mu \rightarrow \nu_e$ OSCILLATION PROBABILITY

$$P(\nu_\mu \rightarrow \nu_e) \sim \sin^2 2\theta_{13} \times \boxed{\sin^2 \theta_{23}} \times \boxed{\frac{\sin^2[(1-x)\Delta]}{(1-x)^2}} \\ \times \boxed{-\alpha \sin \delta} \times \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \times \sin \Delta \frac{\sin[x\Delta]}{x} \frac{\sin[(1-x)\Delta]}{(1-x)} \\ + \alpha \cos \delta \times \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \times \cos \Delta \frac{\sin[x\Delta]}{x} \frac{\sin[(1-x)\Delta]}{(1-x)} \\ + \mathcal{O}(\alpha^2)$$

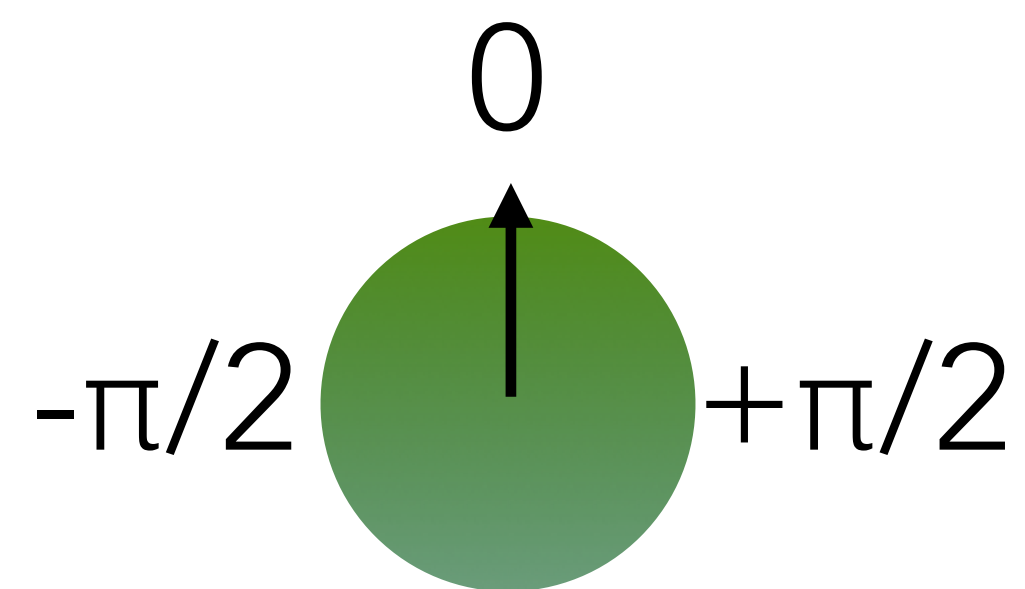
M. Freund, Phys.Rev. D64 (2001) 053003

$$\alpha = \left| \frac{\Delta m_{21}^2}{\Delta m_{31}^2} \right| \sim \frac{1}{30} \quad \Delta \equiv \frac{\Delta m_{31}^2 L}{4E} \quad x \equiv \frac{2\sqrt{2}G_F N_e E}{\Delta m_{31}^2}$$

- CP odd phase  $\delta$  can result in
  - asymmetry of oscillation probabilities  $P(\nu_\mu \rightarrow \nu_e) \neq P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$
  - distortion of  $\nu_e/\bar{\nu}_e$  appearance spectrum
- $\theta_{23}$  (as opposed to  $2\theta_{23}$ ) dependence allows "octant" resolution if  $\theta_{23} \neq 45^\circ$
- Mass hierarchy sensitivity through  $x$ :  $\nu_e/\bar{\nu}_e$  enhanced in normal/inverted hierarchy

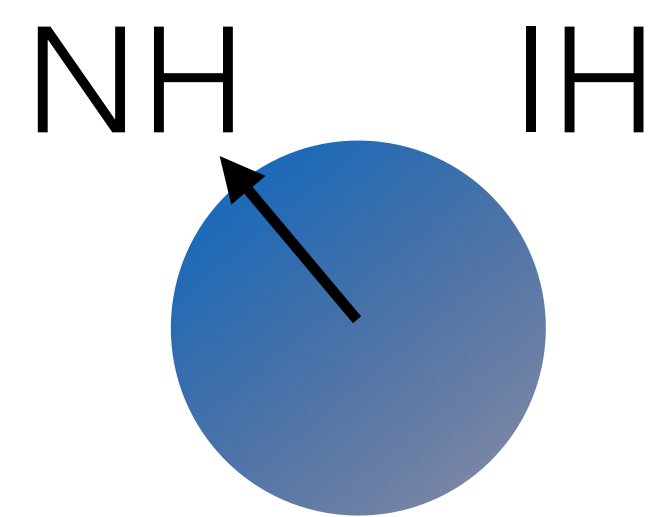


$\theta_{23}$

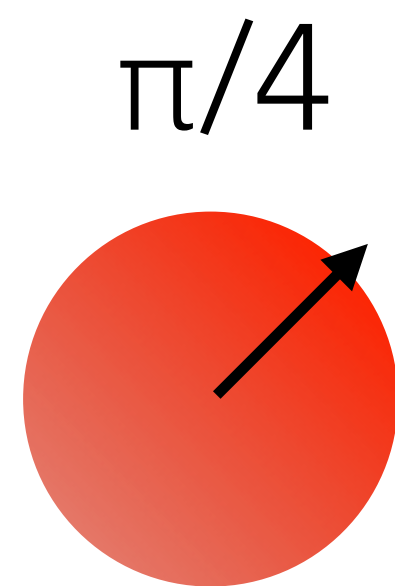
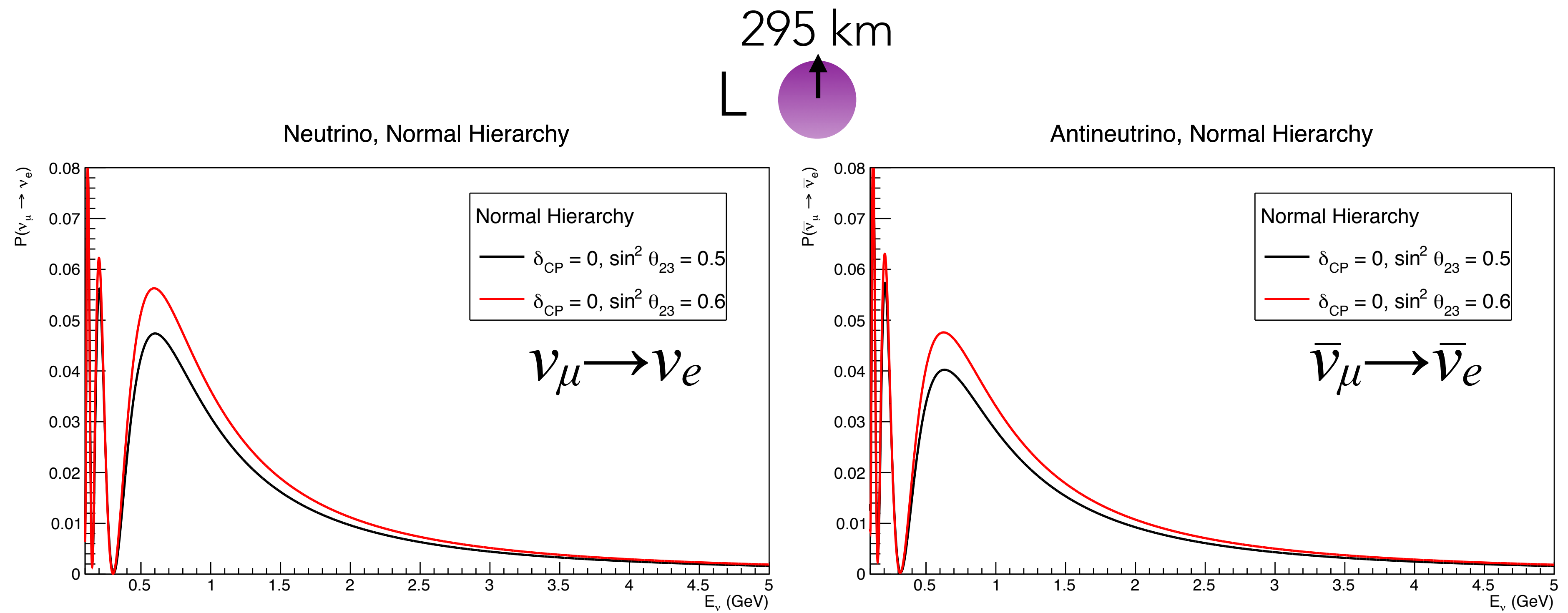


$\pi$

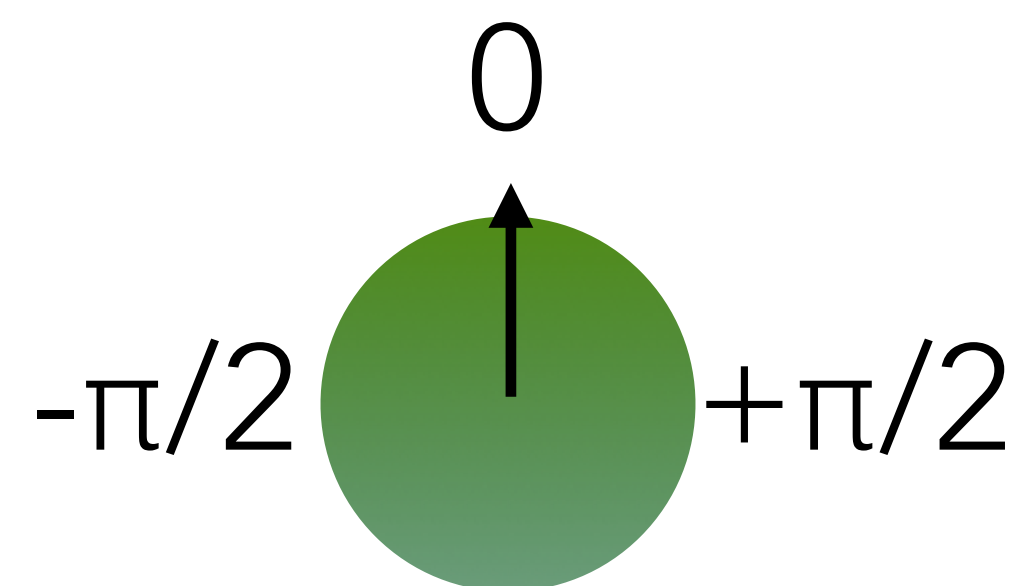
$\delta_{CP}$



Hierarchy

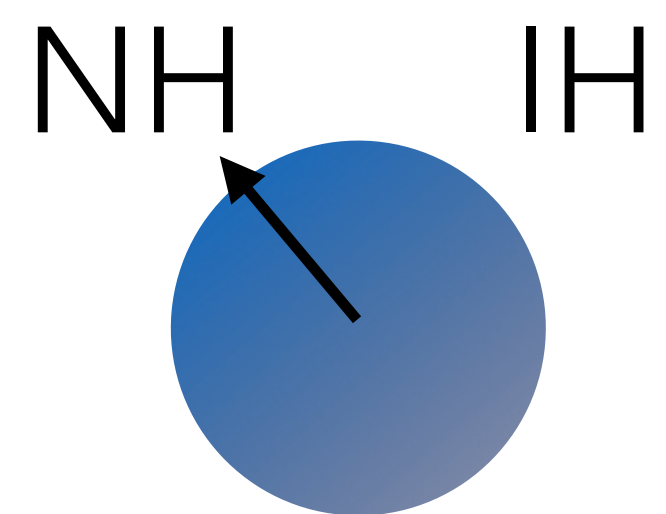


$\theta_{23}$

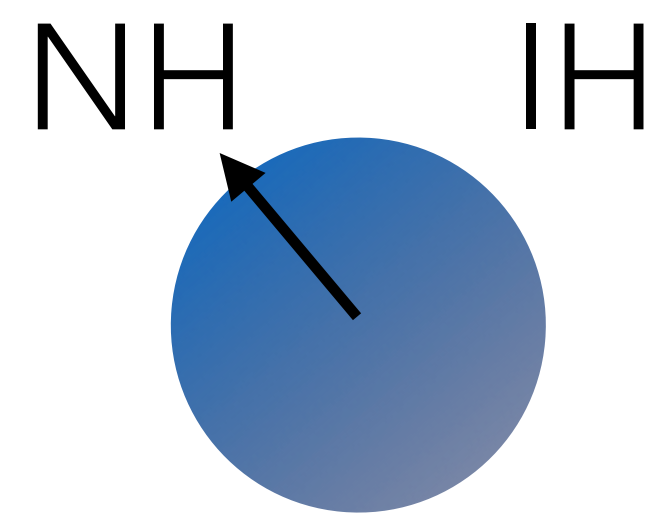
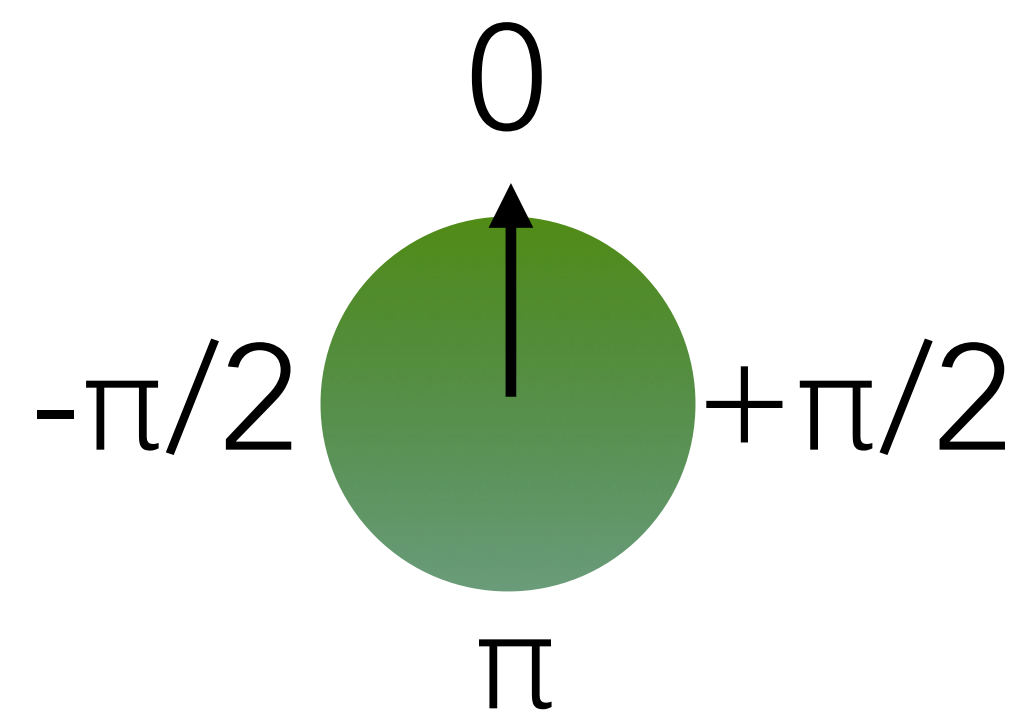
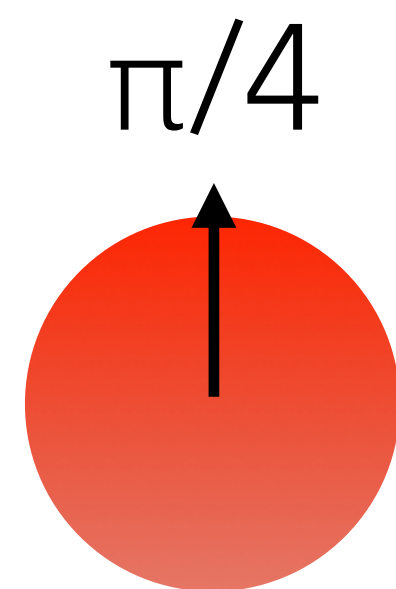
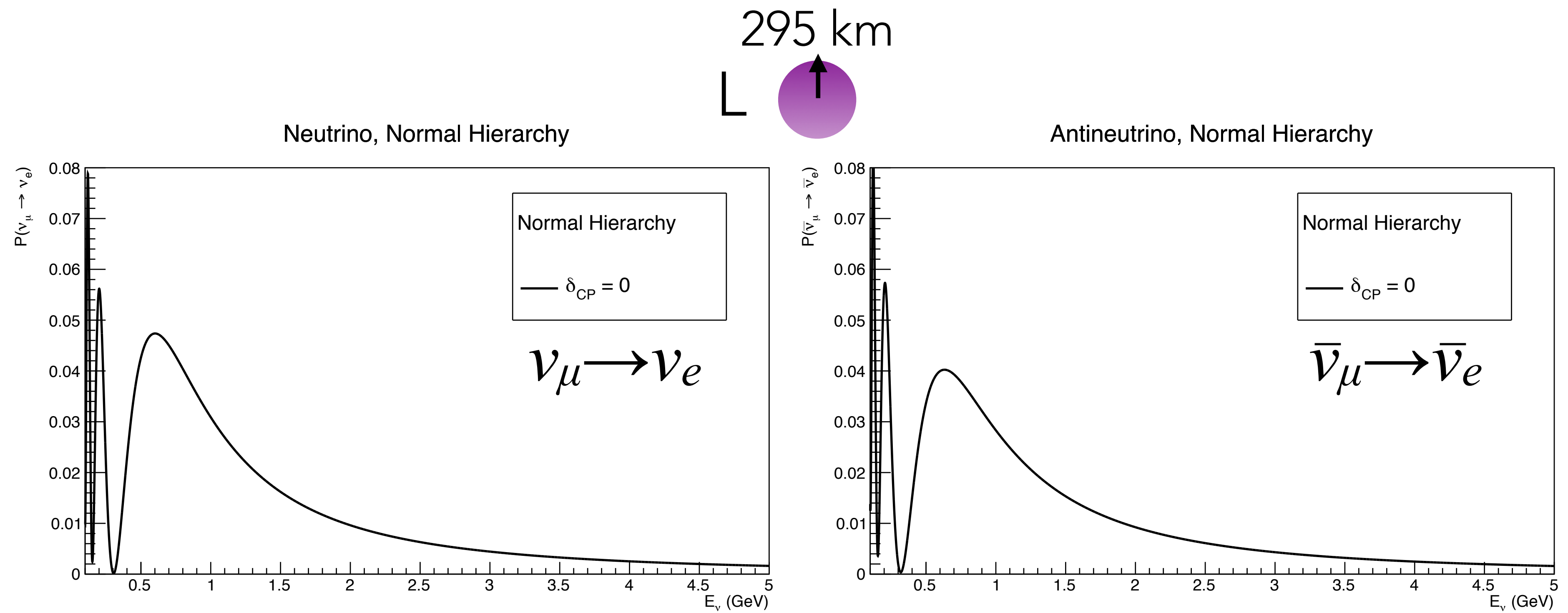


$\pi$

$\delta_{CP}$



Hierarchy



$\theta_{23}$

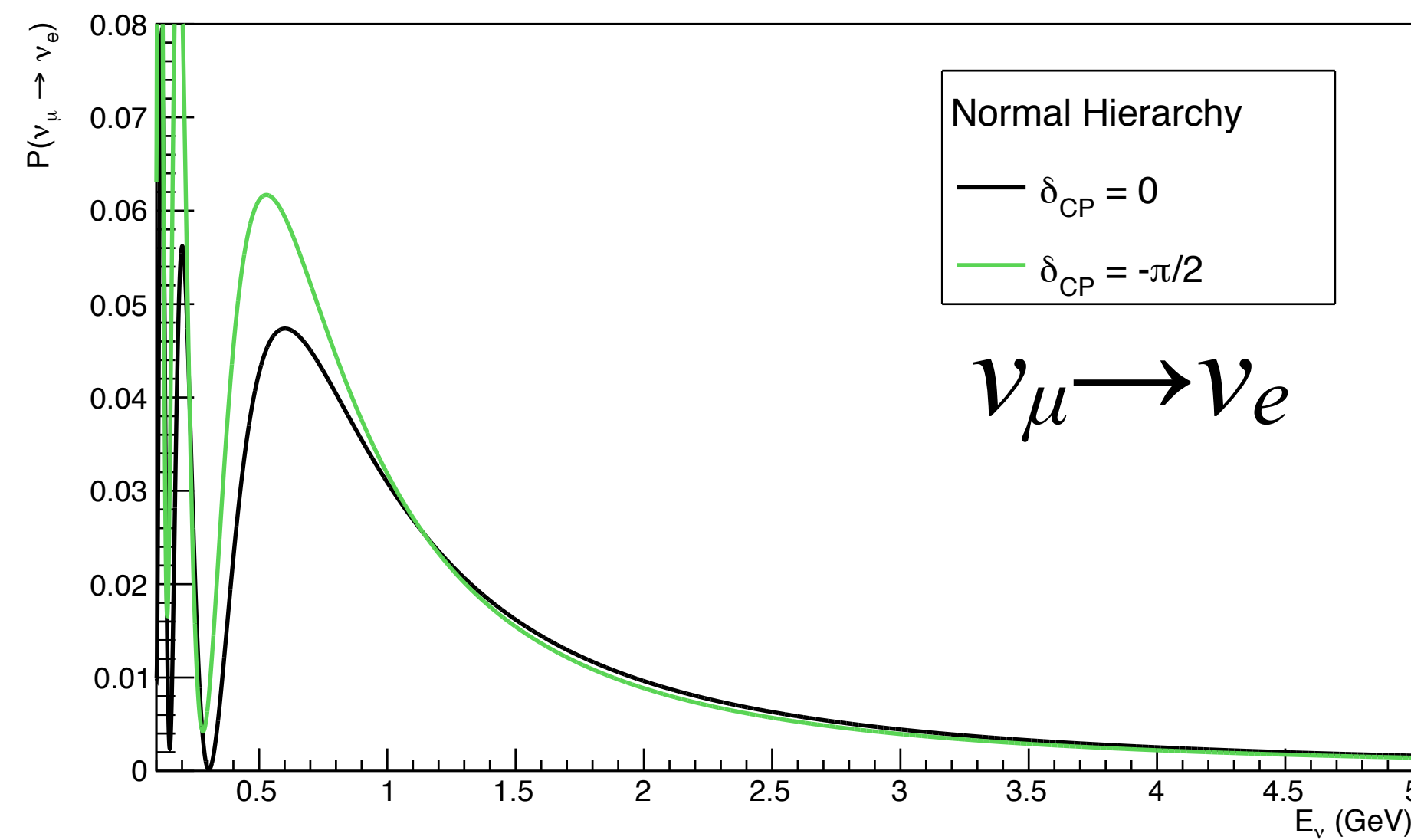
$\delta_{CP}$

Hierarchy

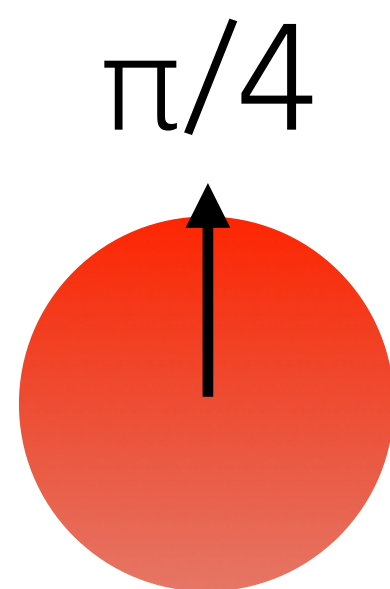
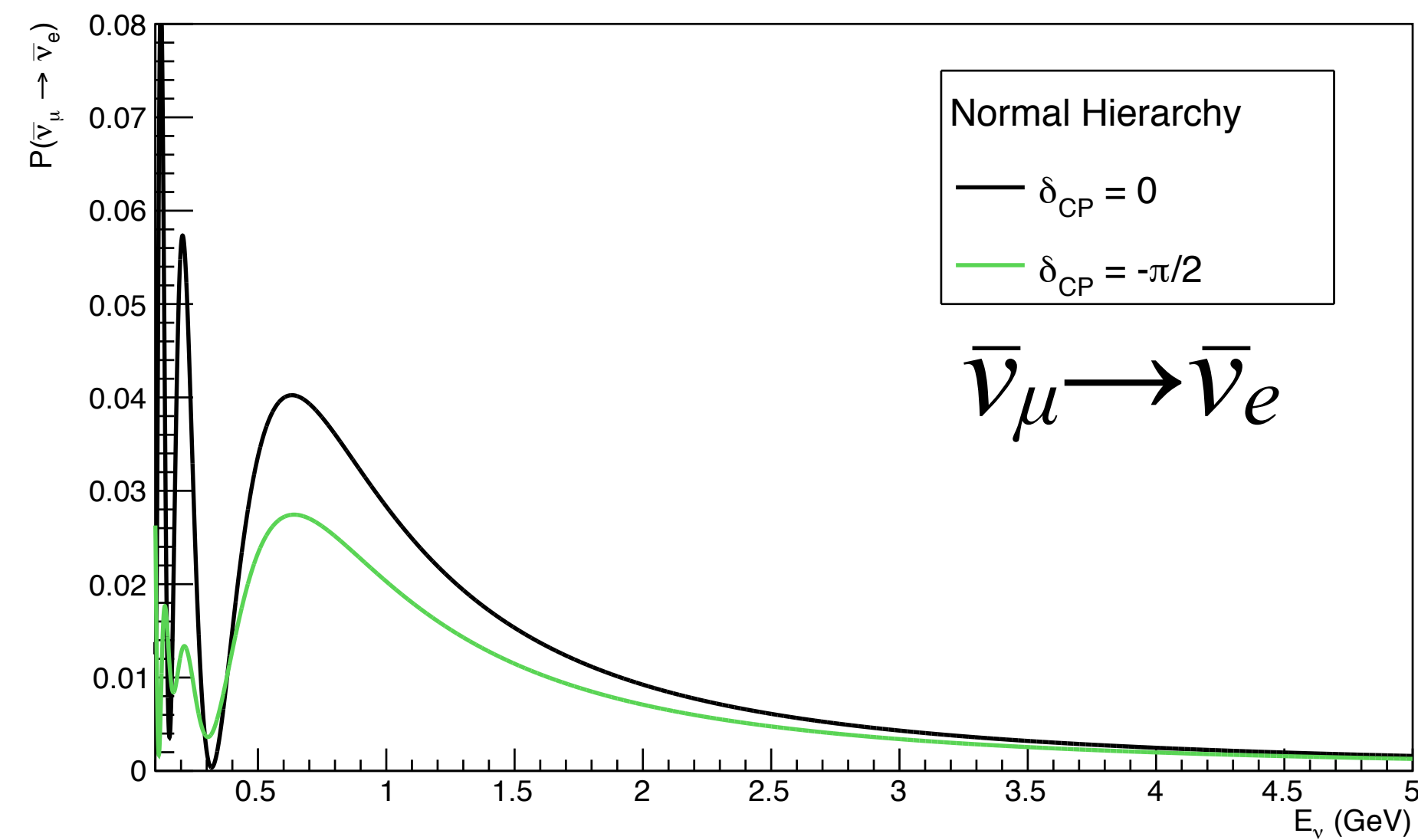


295 km  
L

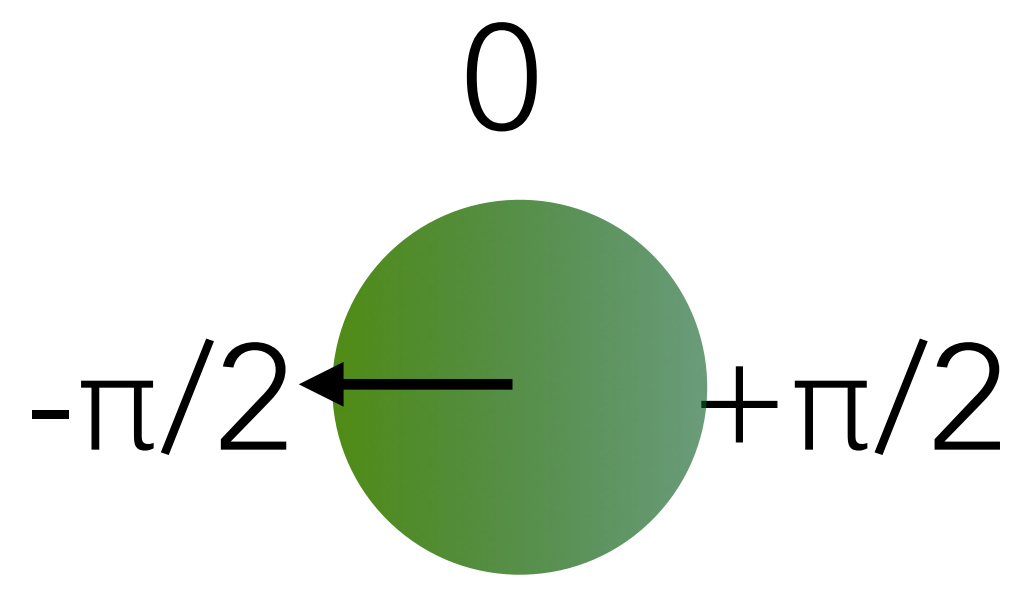
Neutrino, Normal Hierarchy



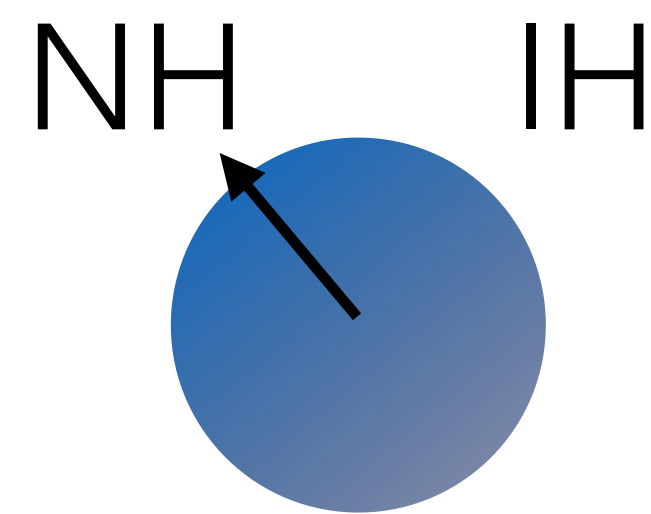
Antineutrino, Normal Hierarchy



$\theta_{23}$



$\delta_{\text{CP}}$

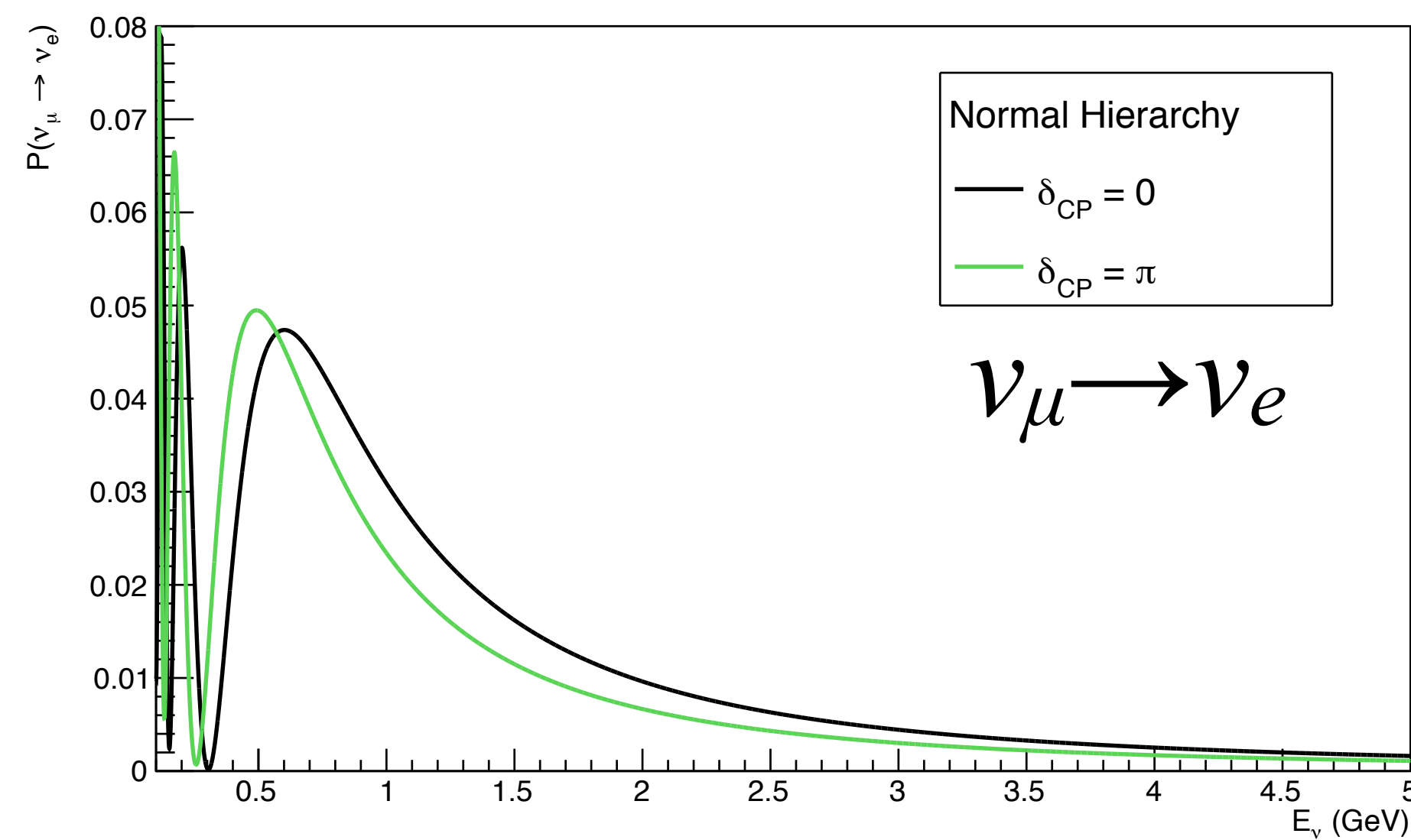


Hierarchy

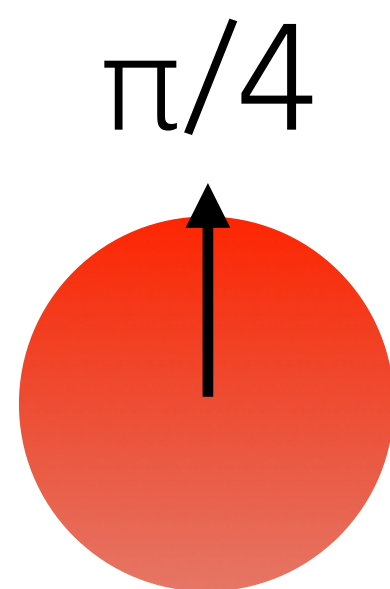
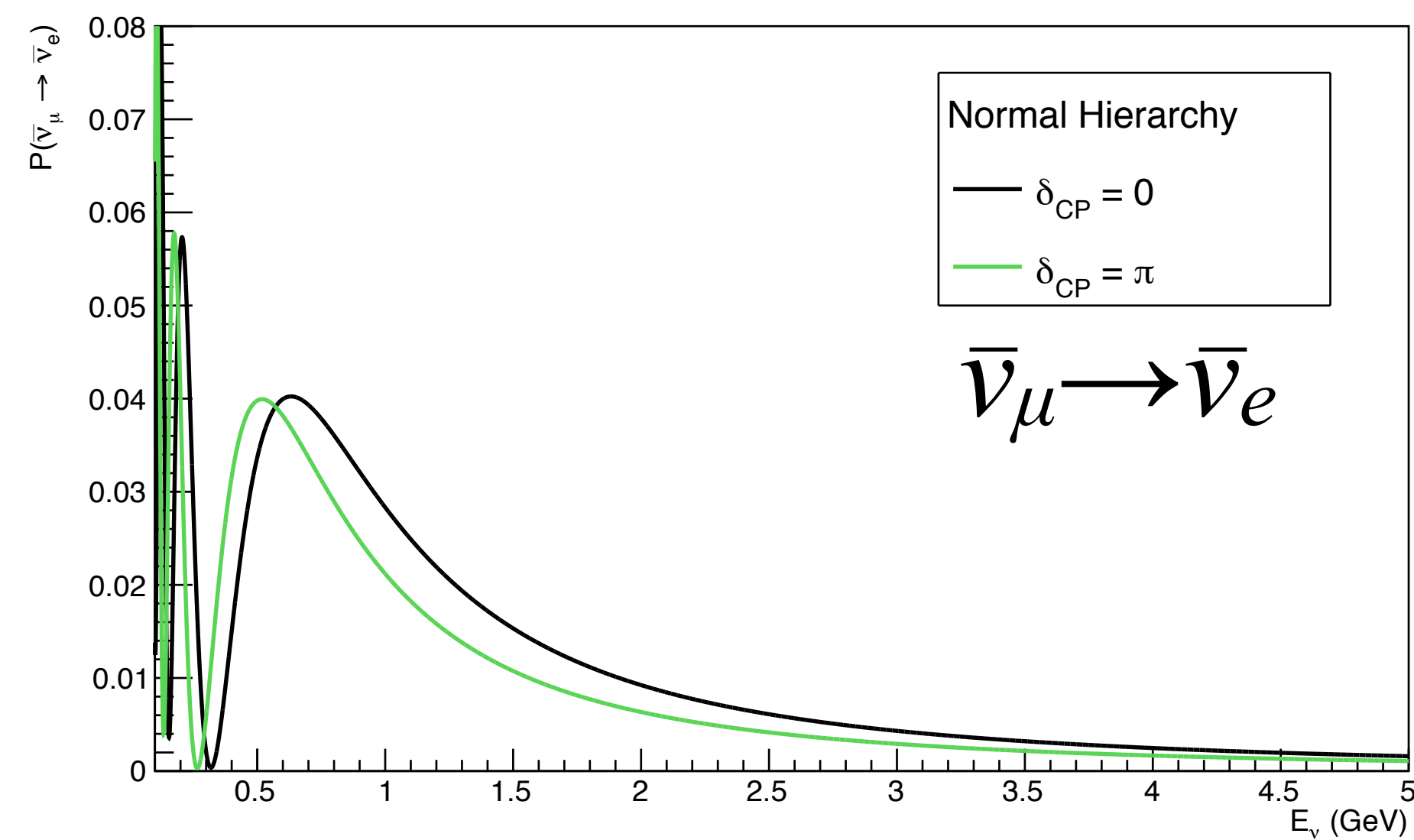


295 km  
L

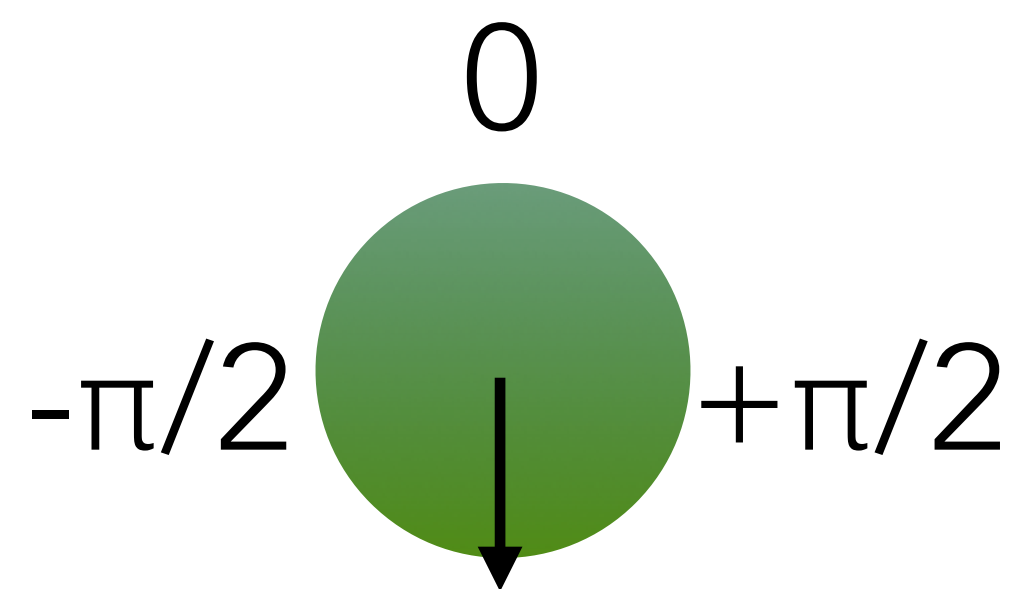
Neutrino, Normal Hierarchy



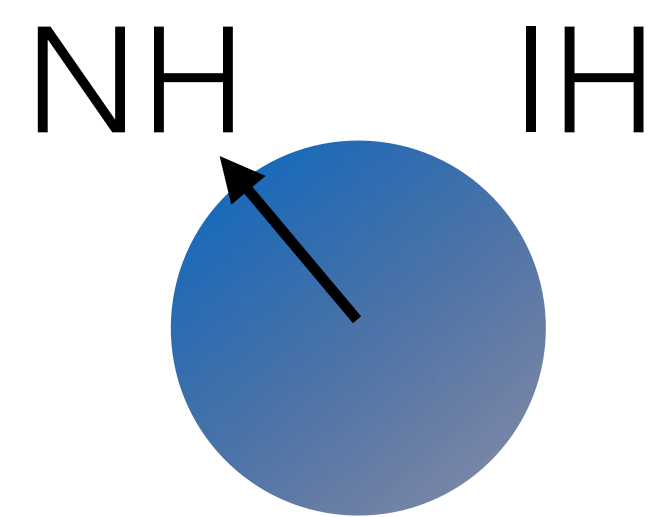
Antineutrino, Normal Hierarchy



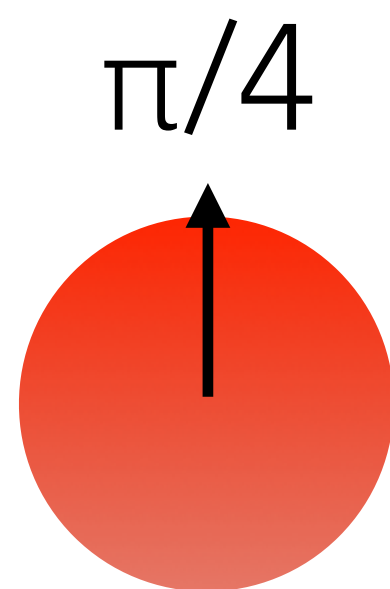
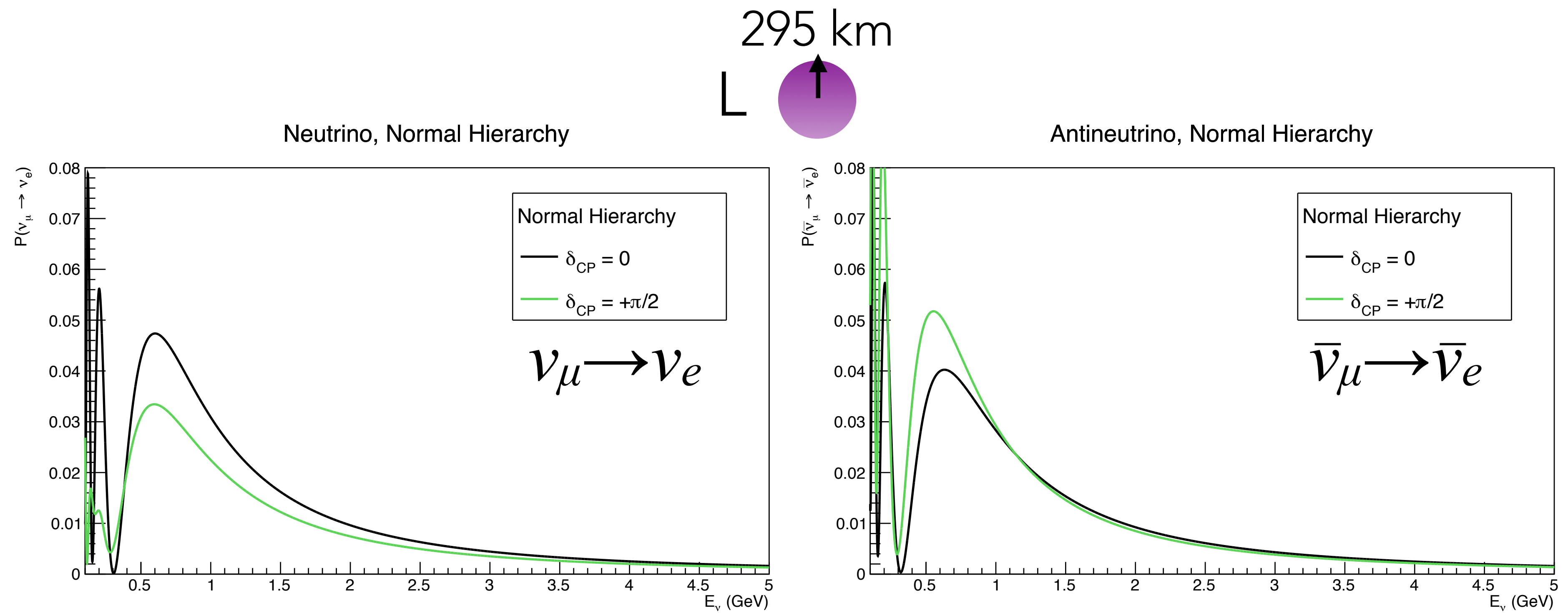
$\theta_{23}$



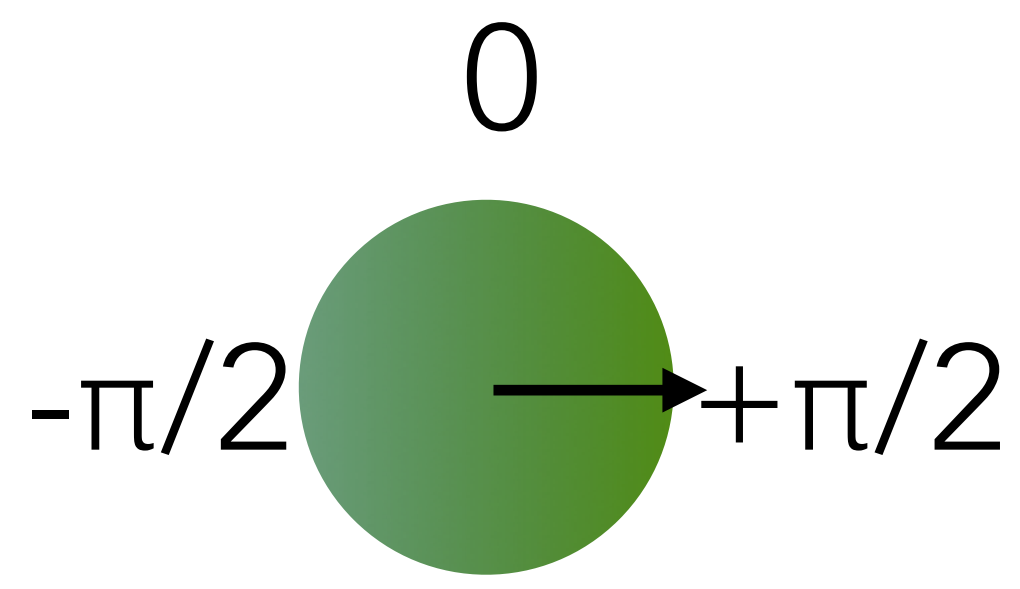
$\delta_{CP}$



Hierarchy

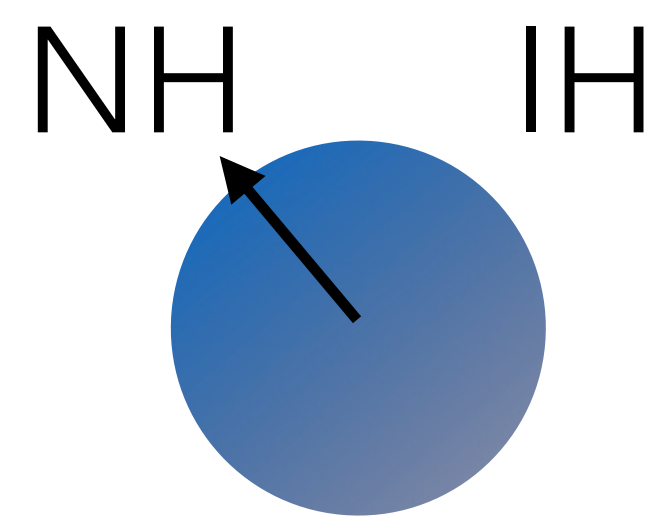


$\theta_{23}$



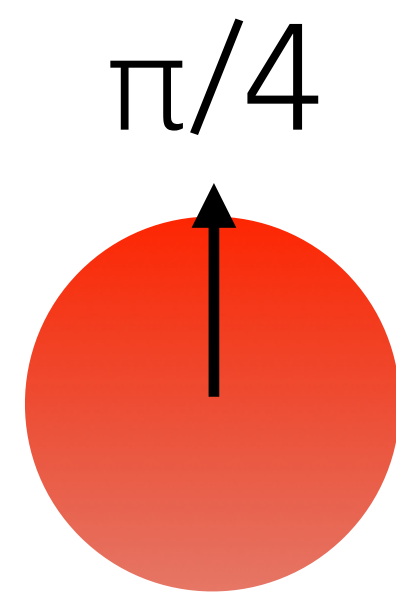
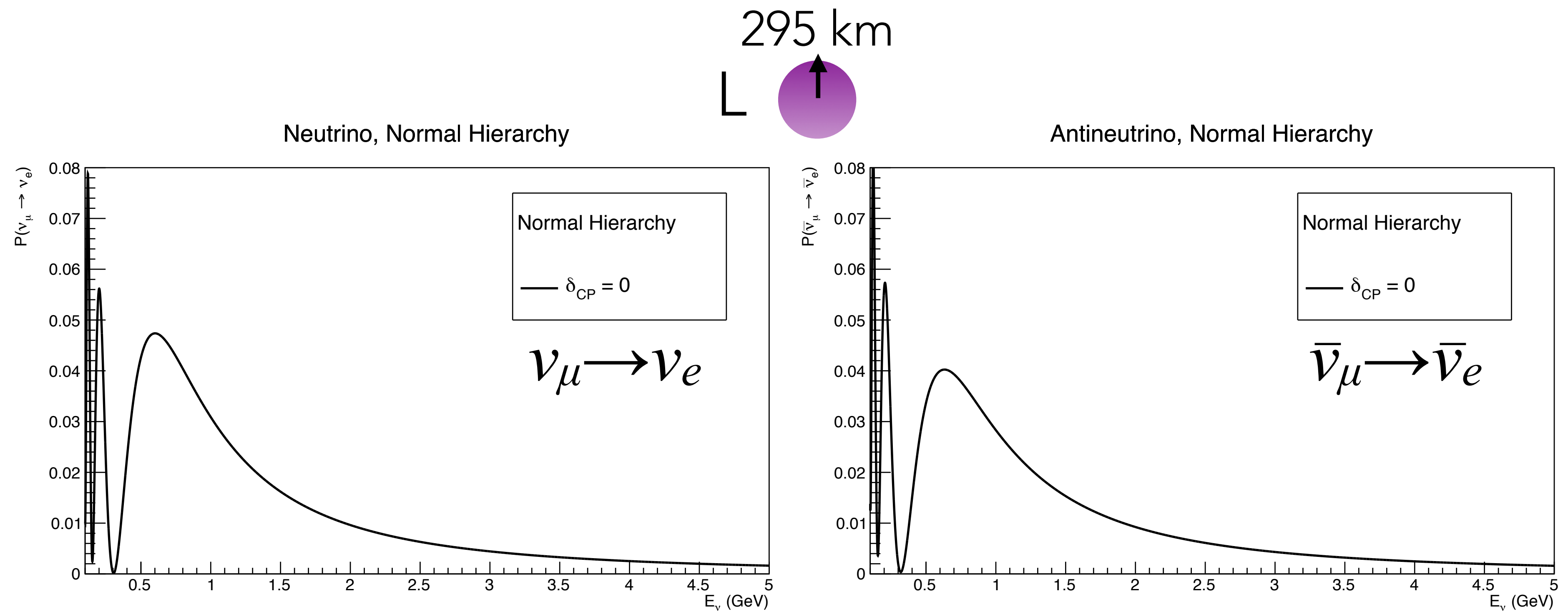
$\pi$

$\delta_{CP}$

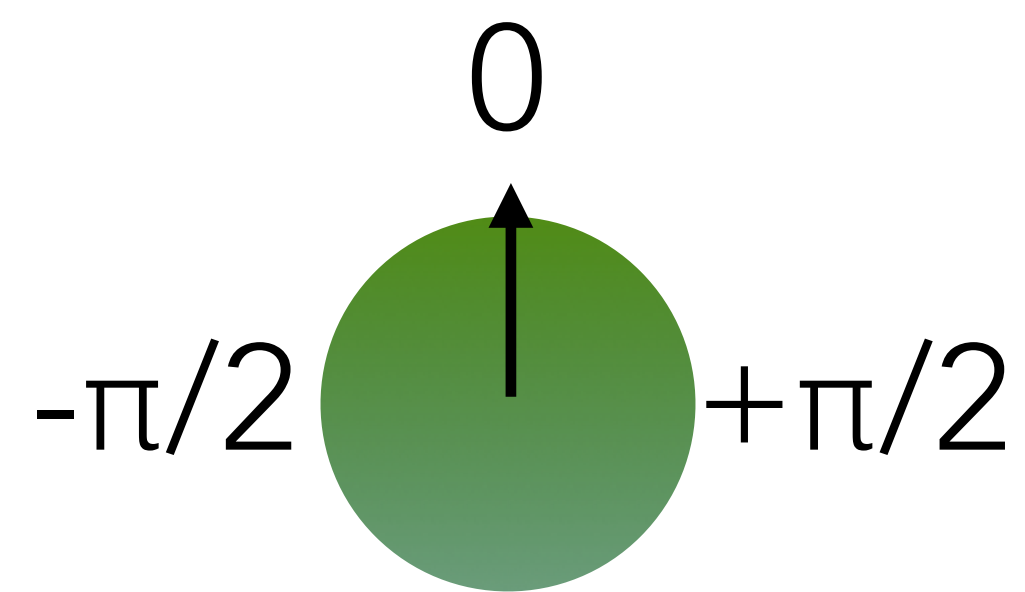


Hierarchy



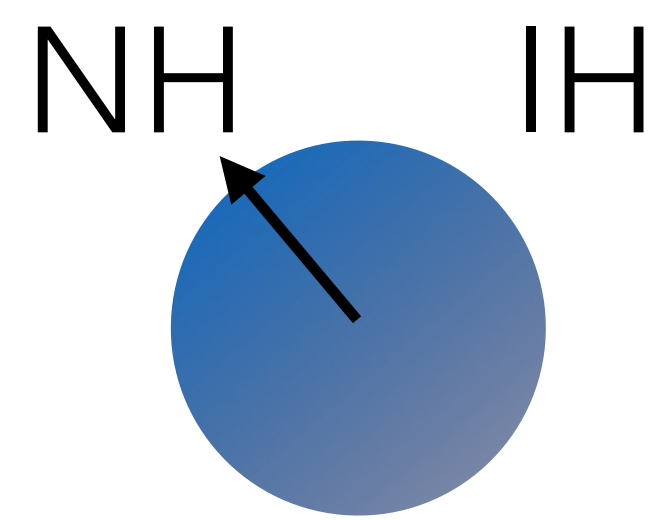


$\theta_{23}$



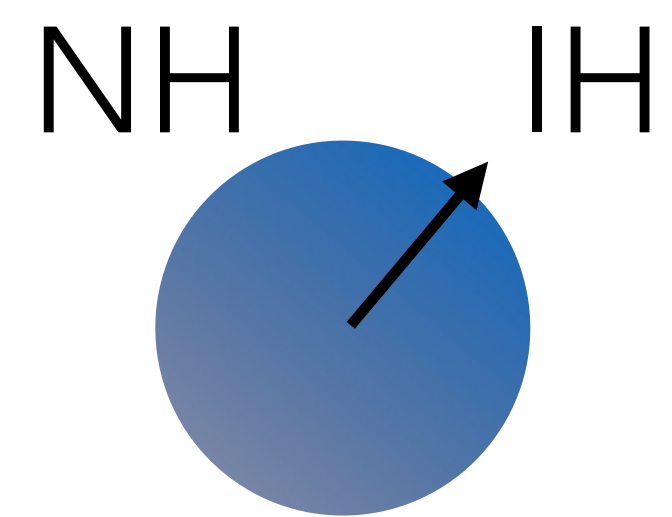
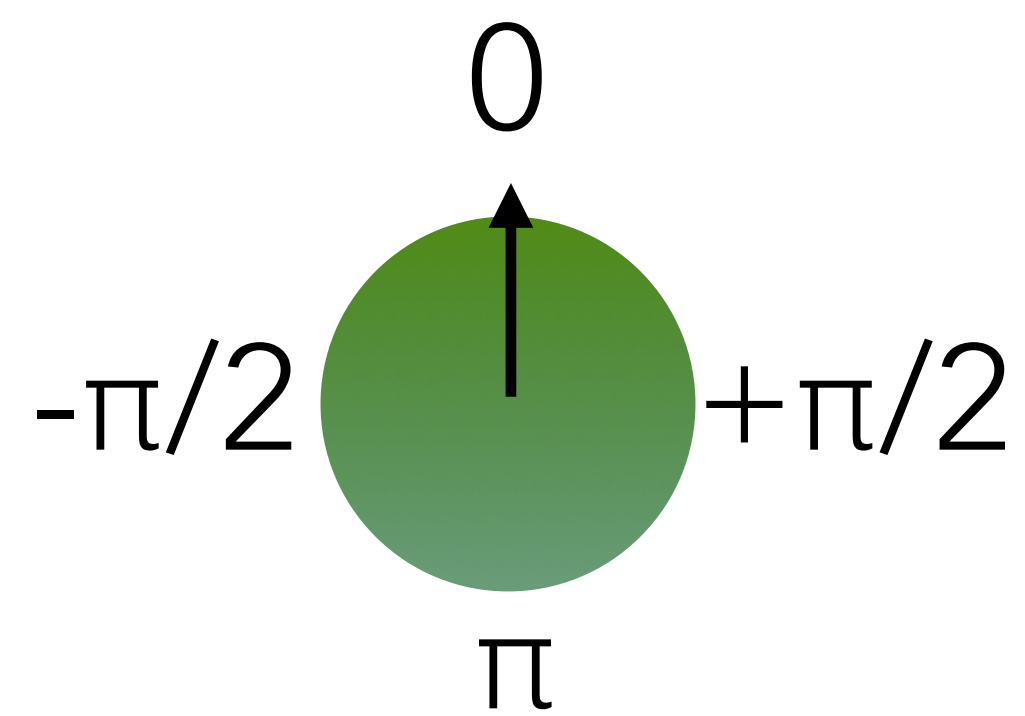
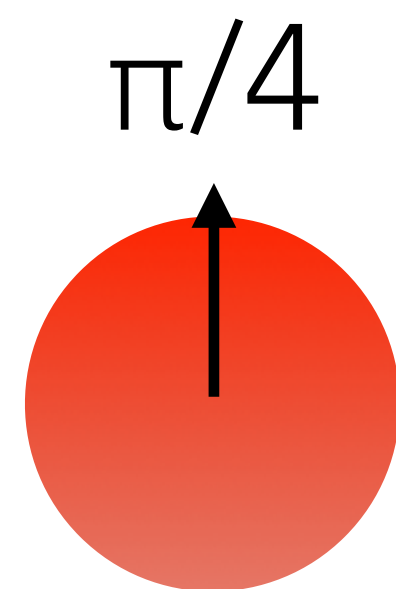
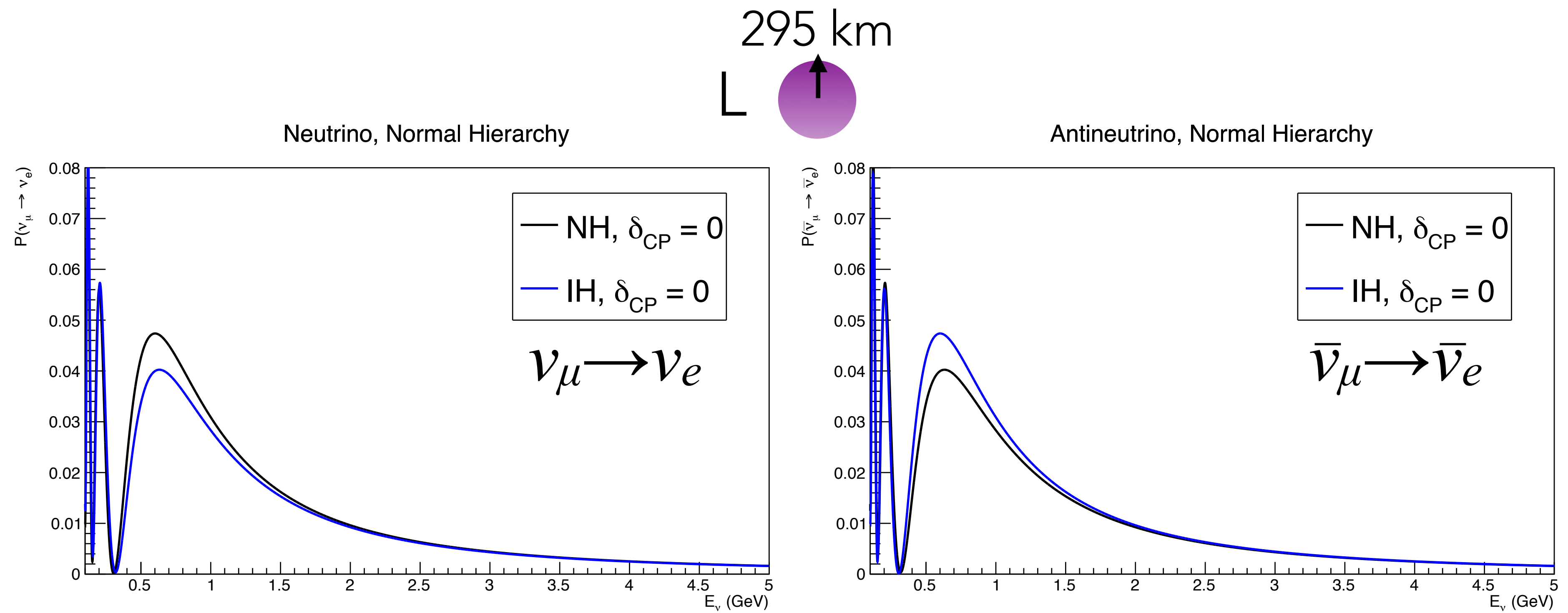
$\pi$

$\delta_{\text{CP}}$



Hierarchy





$\theta_{23}$

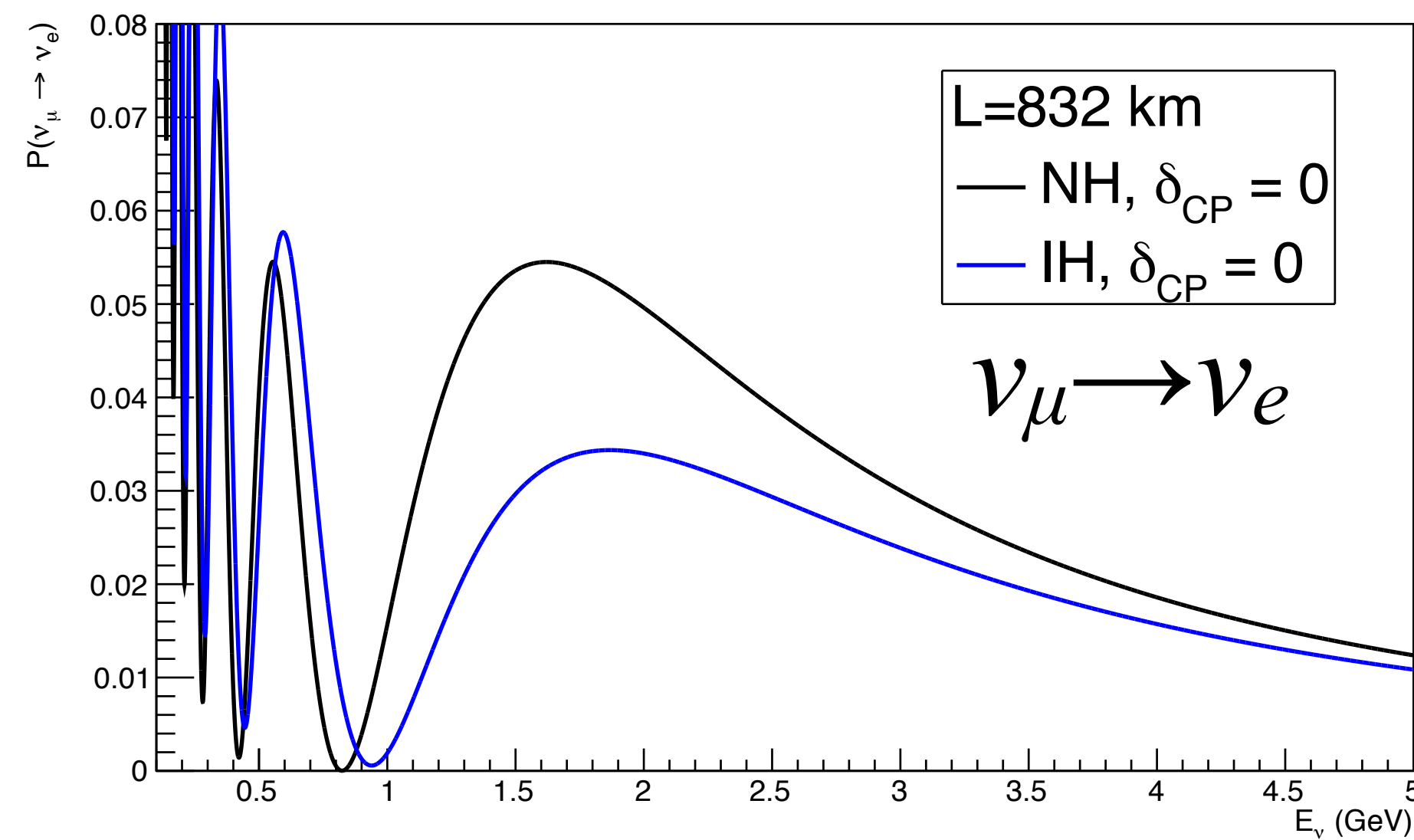
$\delta_{CP}$

Hierarchy

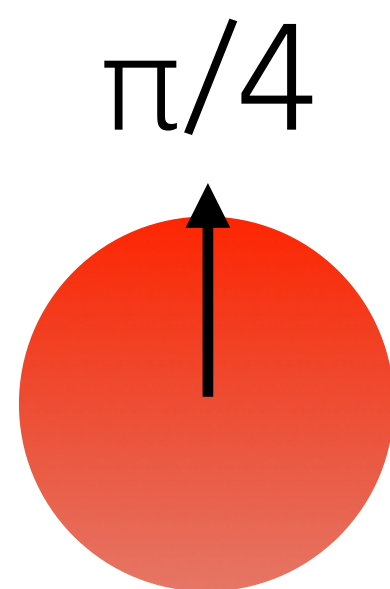
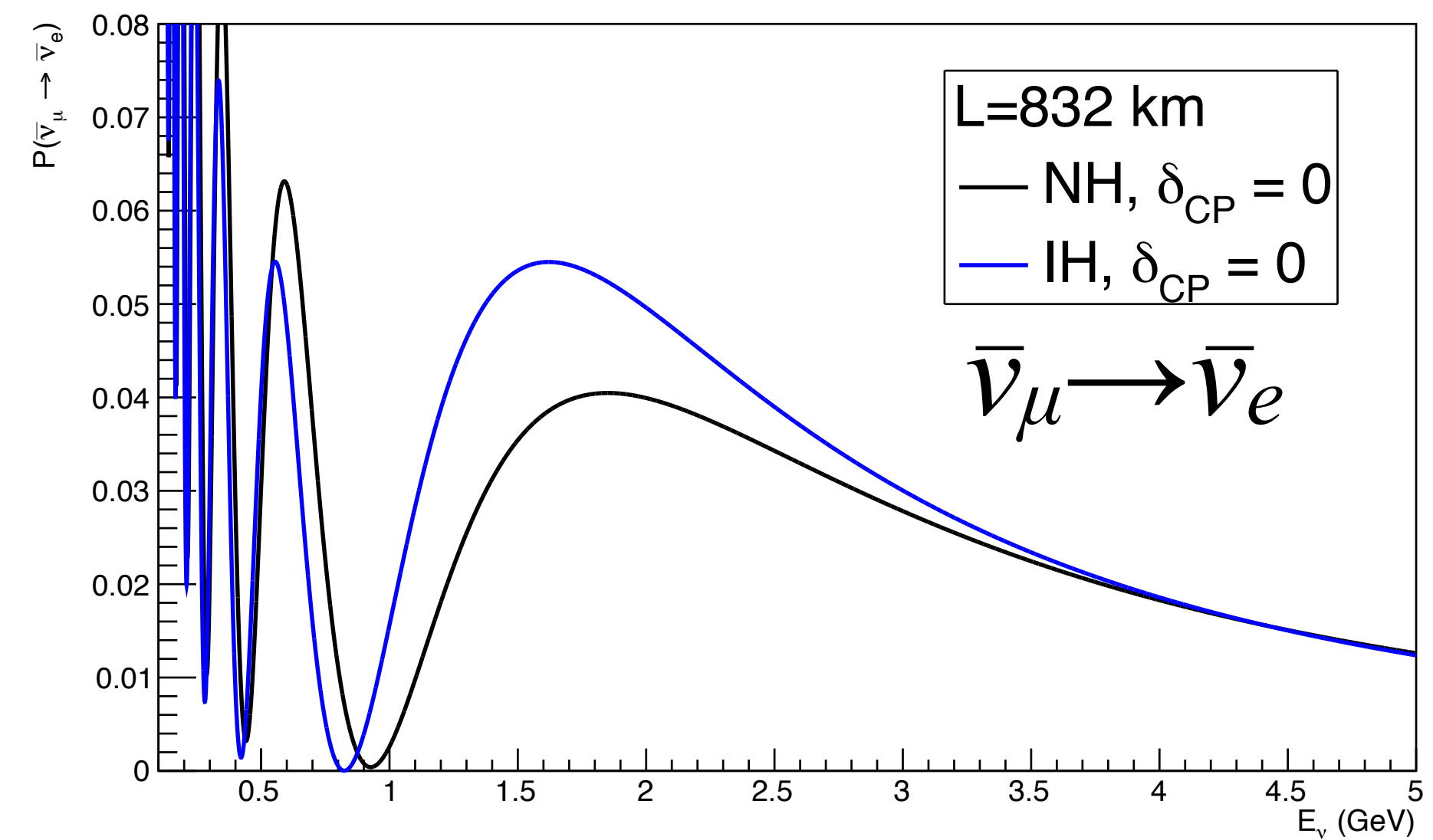


295 km  
 $L \rightarrow$  810 km

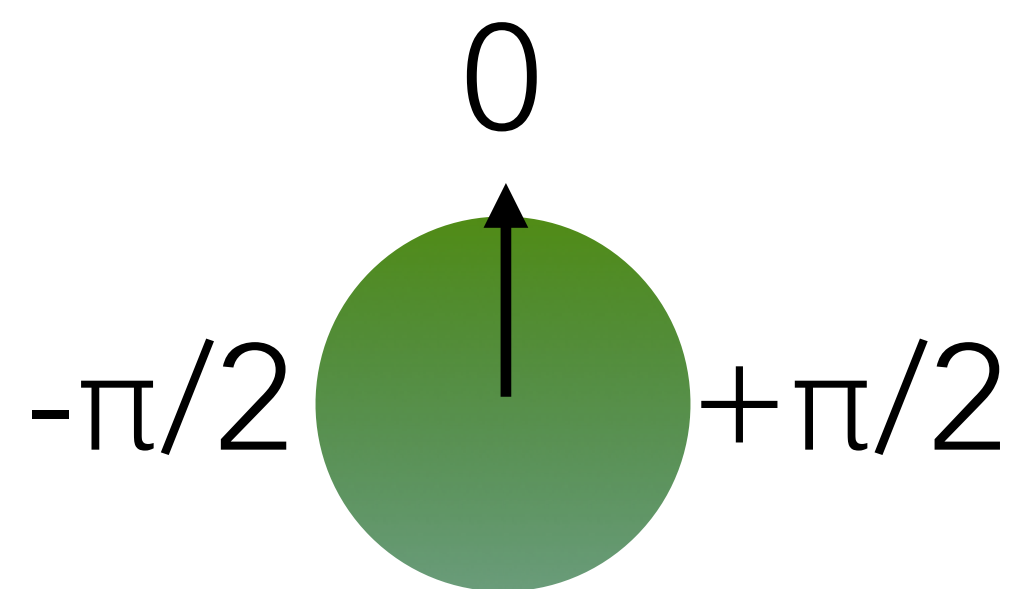
Neutrino, Normal Hierarchy



Antineutrino, Normal Hierarchy

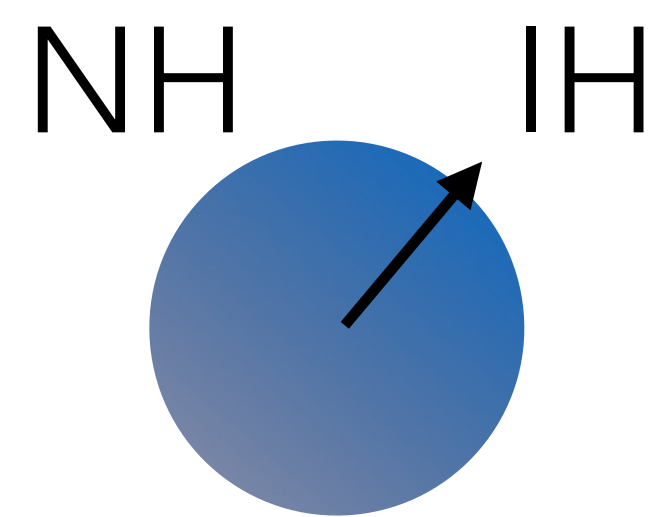


$\theta_{23}$



$\pi$

$\delta_{CP}$



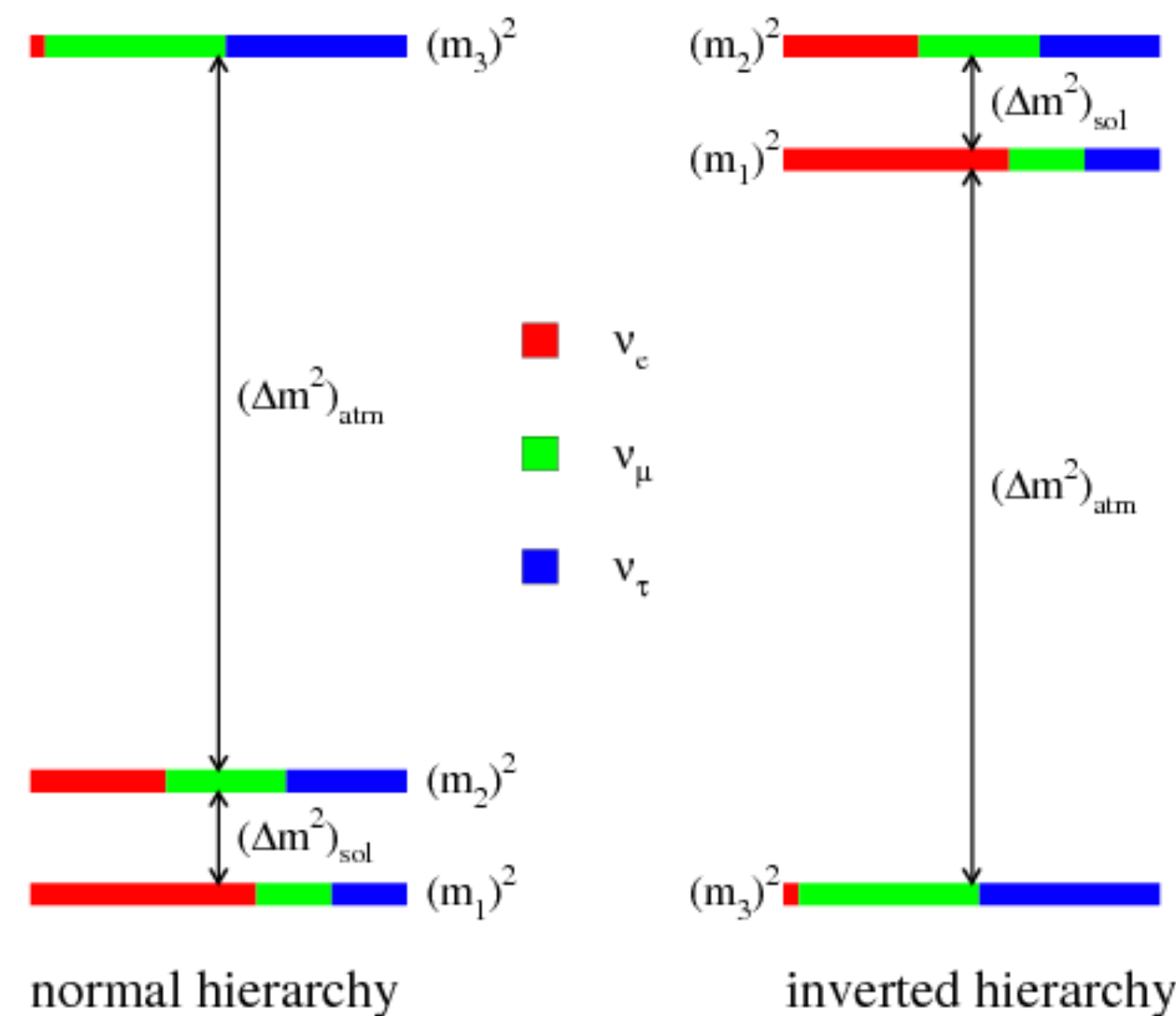
Hierarchy

# QUICK SUMMARY

- increase  $\sin^2\theta_{23}$ ,  $\sin^22\theta_{13}$ 
  - enhance both  $\nu_\mu \rightarrow \nu_e$  and  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$
- CP violating parameter  $\delta$ 
  - $\delta = 0, \pi$ : no CP violation: vacuum oscillation probabilities equal
  - $\delta \sim -\pi/2$ : enhance  $\nu_\mu \rightarrow \nu_e$ , suppress  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$
  - $\delta \sim +\pi/2$ : suppress  $\nu_\mu \rightarrow \nu_e$ , enhance  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$

- “normal” hierarchy:

- enhance  $\nu_\mu \rightarrow \nu_e$
- suppresses  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$

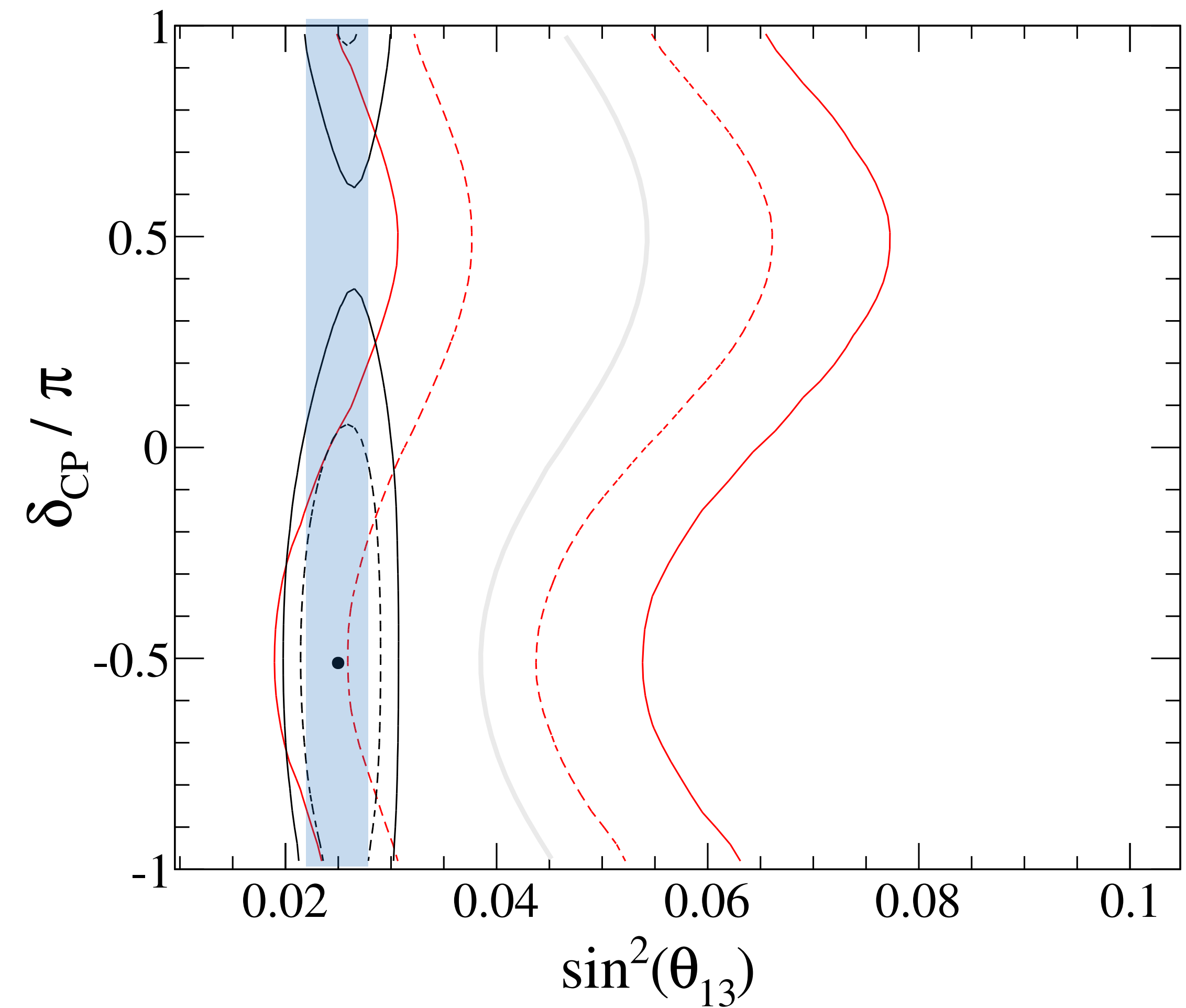


- “inverted” hierarchy:

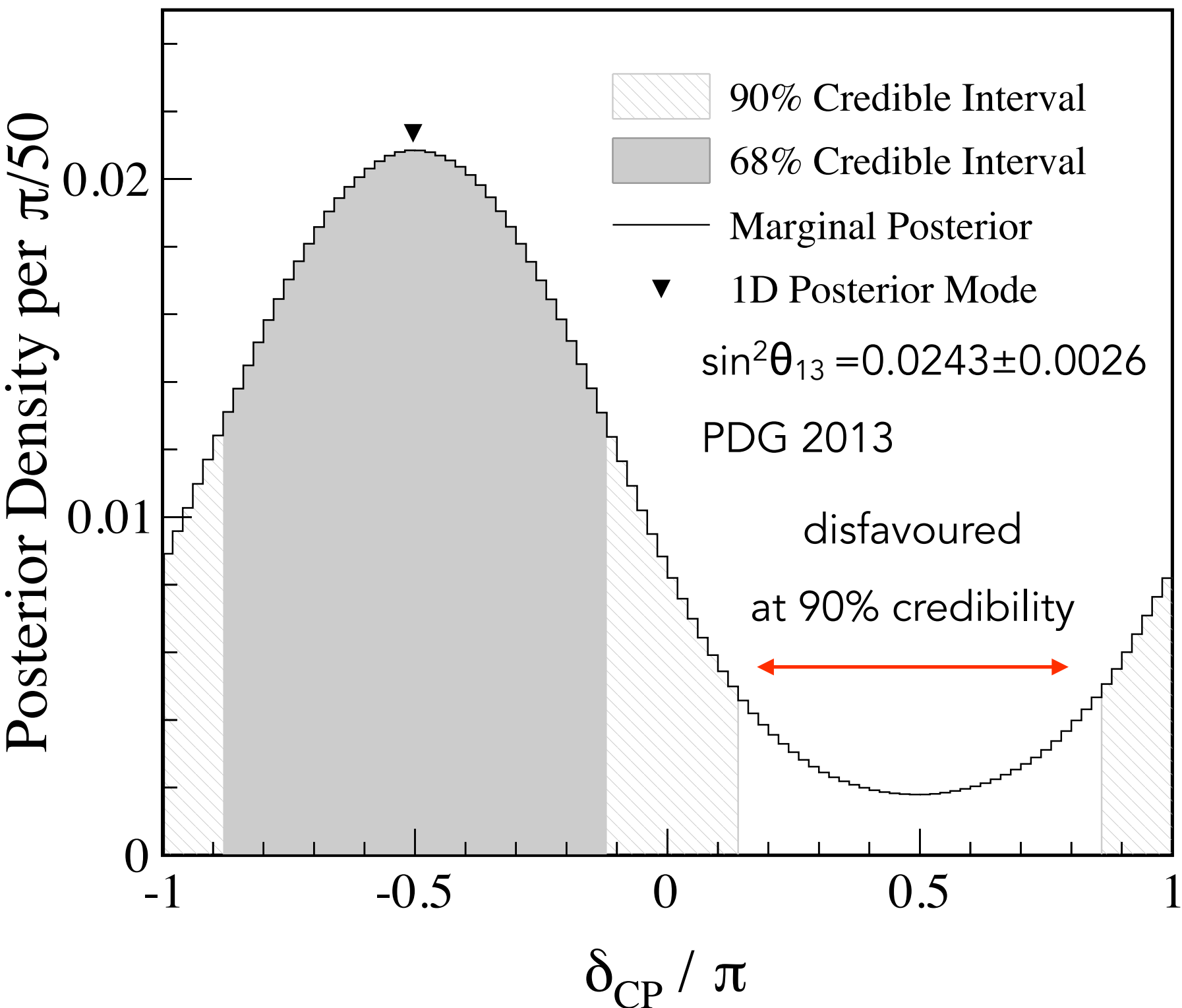
- suppress  $\nu_\mu \rightarrow \nu_e$
- enhance  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$



# T2K RESULTS



- - - - - T2K+Reactor 68% Credible Region      - - - - - T2K Only 68% Credible Region  
 ——— T2K+Reactor 90% Credible Region      ——— T2K Only 90% Credible Region  
 ● T2K+Reactor Best Fit Point      ——— T2K Only Best Fit Line



	NH	IH	SUM
$\sin^2\theta_{23} \leq 0.5$	0.179	0.078	0.257
$\sin^2\theta_{23} > 0.5$	0.505	0.238	0.743
SUM	0.684	0.316	1.000

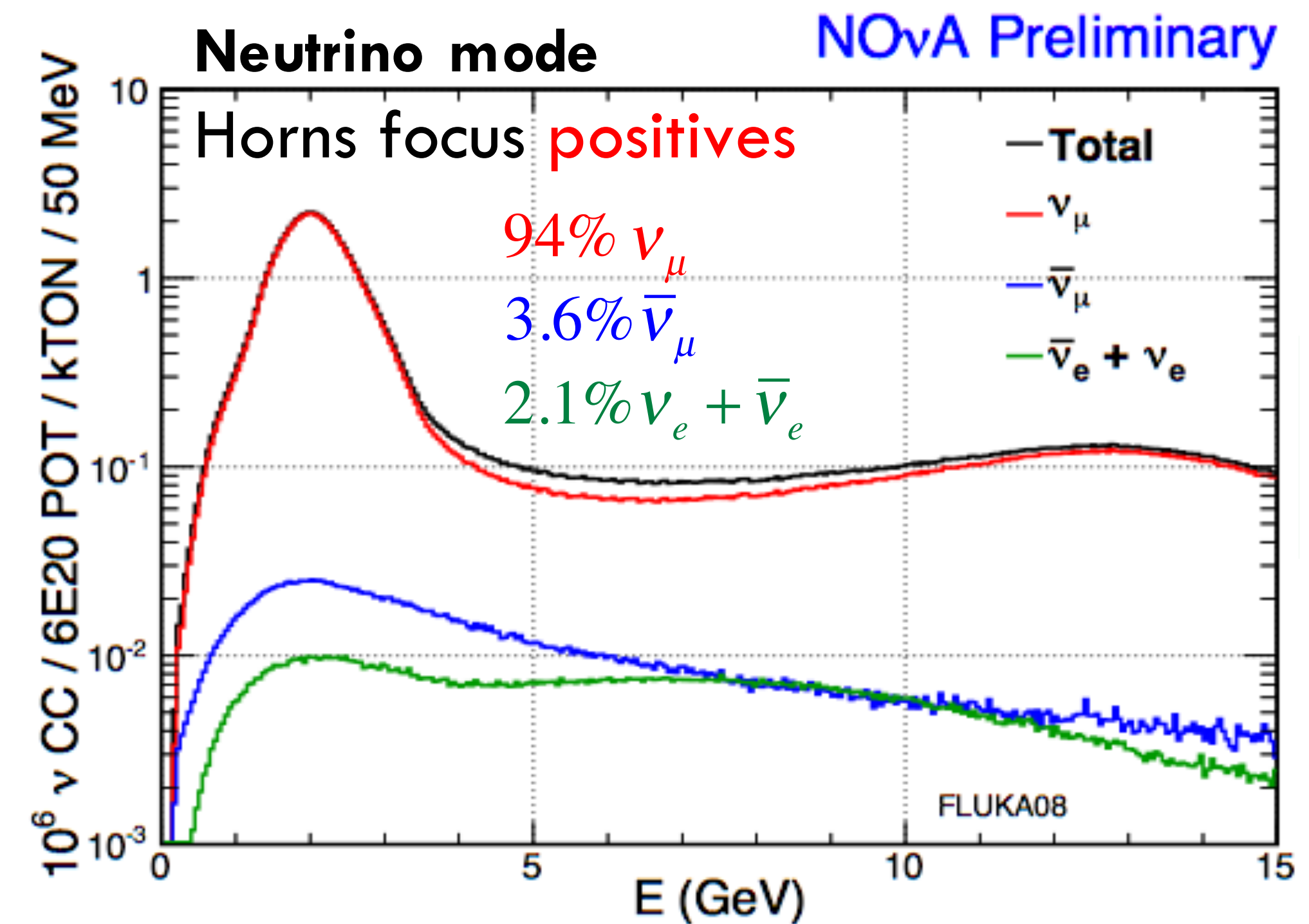
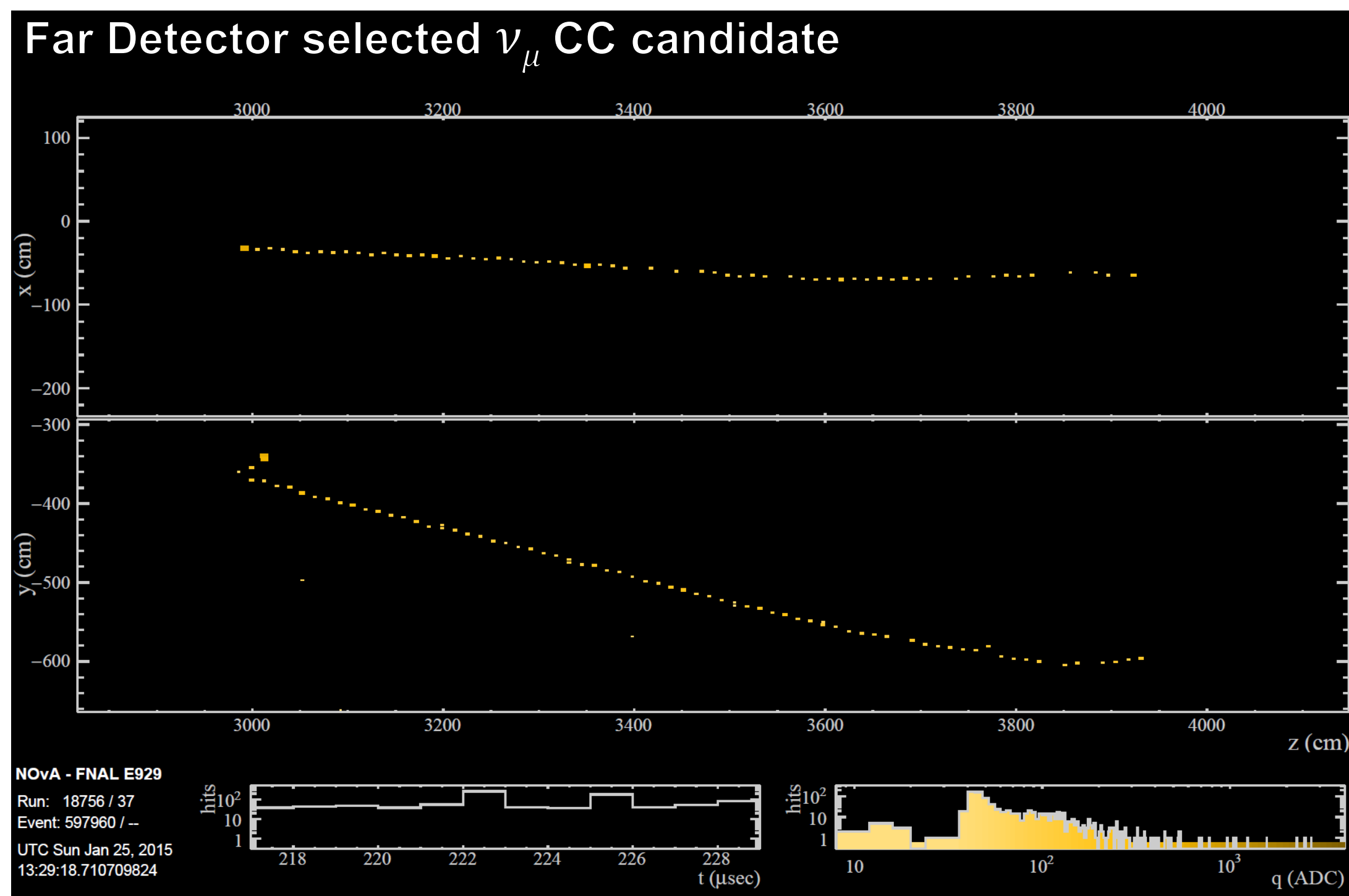
- With  $\theta_{13}$  from reactor experiment, large  $\nu_e$  appearance slightly prefers:
  - Normal Hierarchy,  $\theta_{23} > \pi/4$ ,  $\delta_{CP} \sim -\pi/2$



# NOvA:

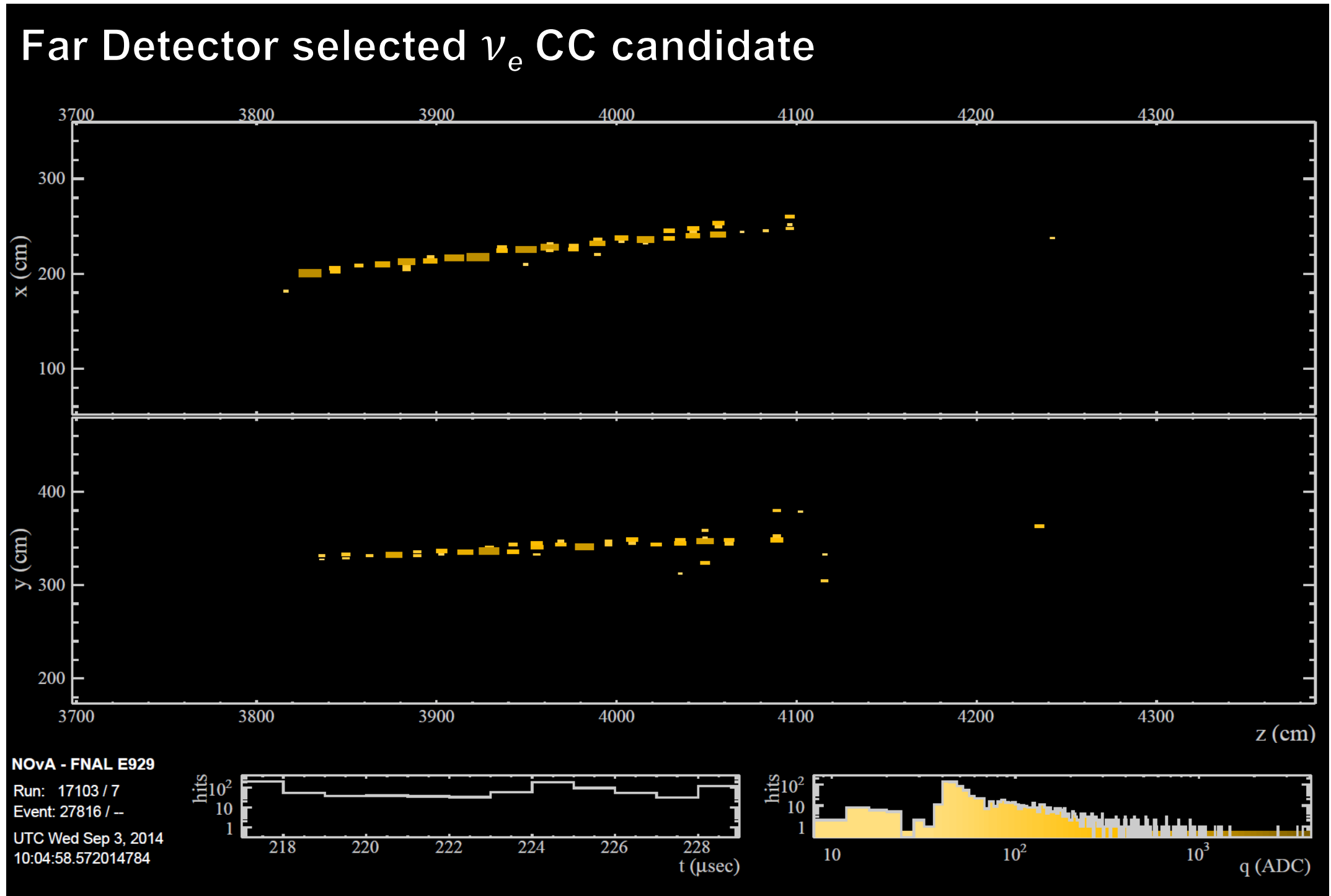


- Long baseline neutrino experiment from FNAL to Ash Hill with 810 km baseline
- higher neutrino energy
- larger matter effect and sensitivity to mass hierarchy
- 14kt fully active scintillating tracking detector

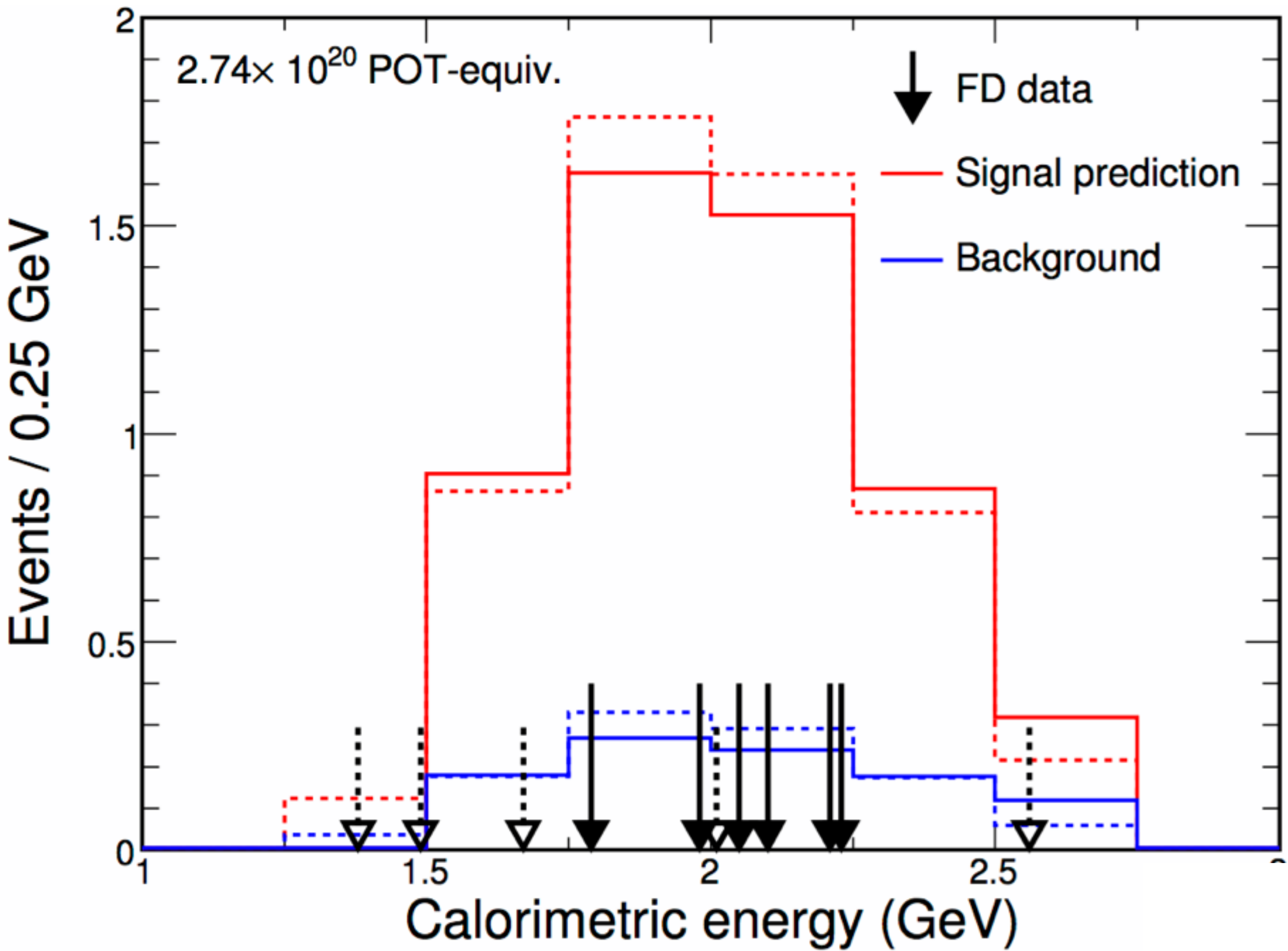




# NOvA: $\nu_e$ EVENTS



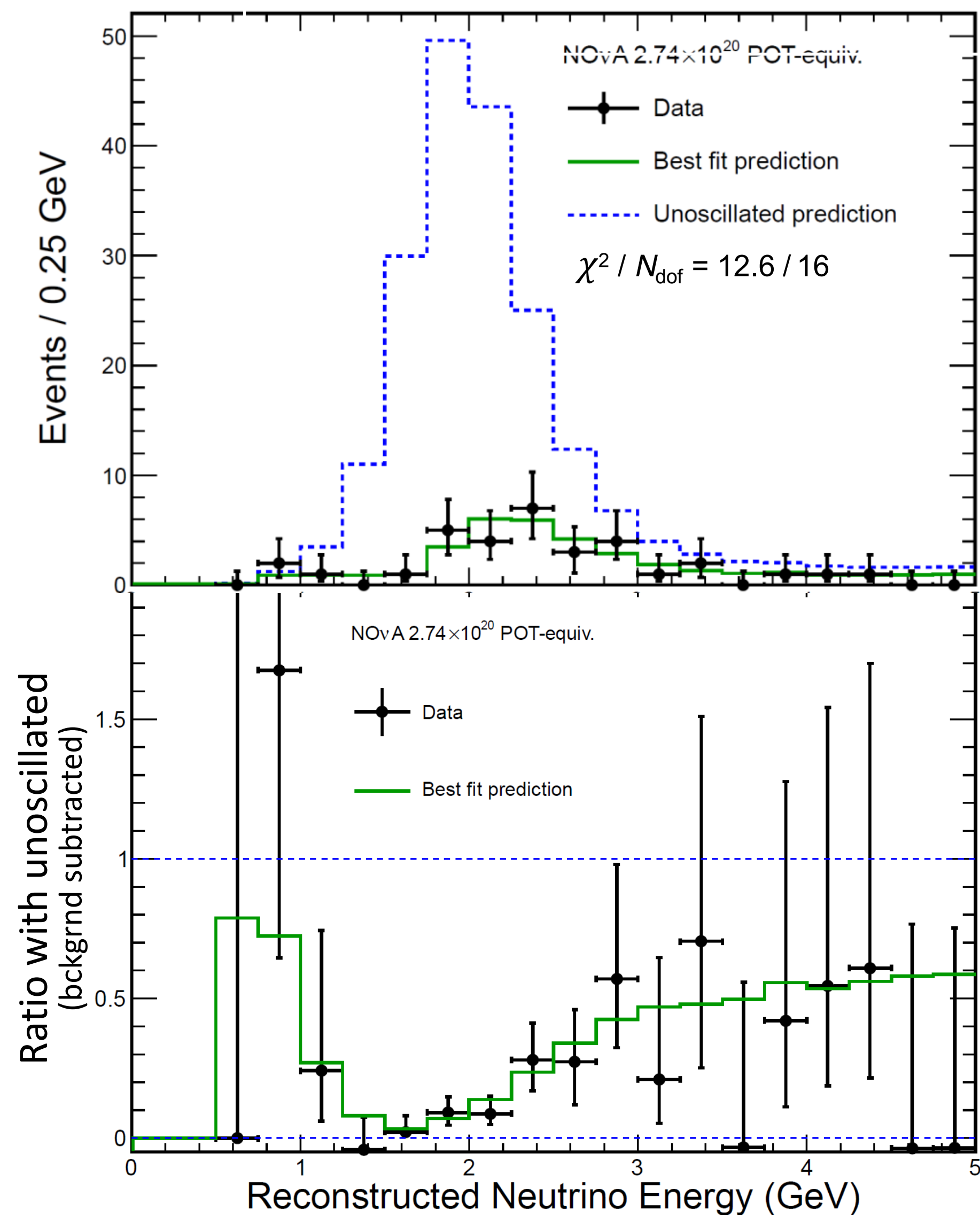
	NH $\delta_{cp} = -\pi/2$	IH $\delta_{CP} = +\pi/2$	EVENTS
LID	$5.62 \pm 0.72$	$2.24 \pm 0.29$	6
LEM	$5.91 \pm 0.59$	$2.34 \pm 0.23$	11



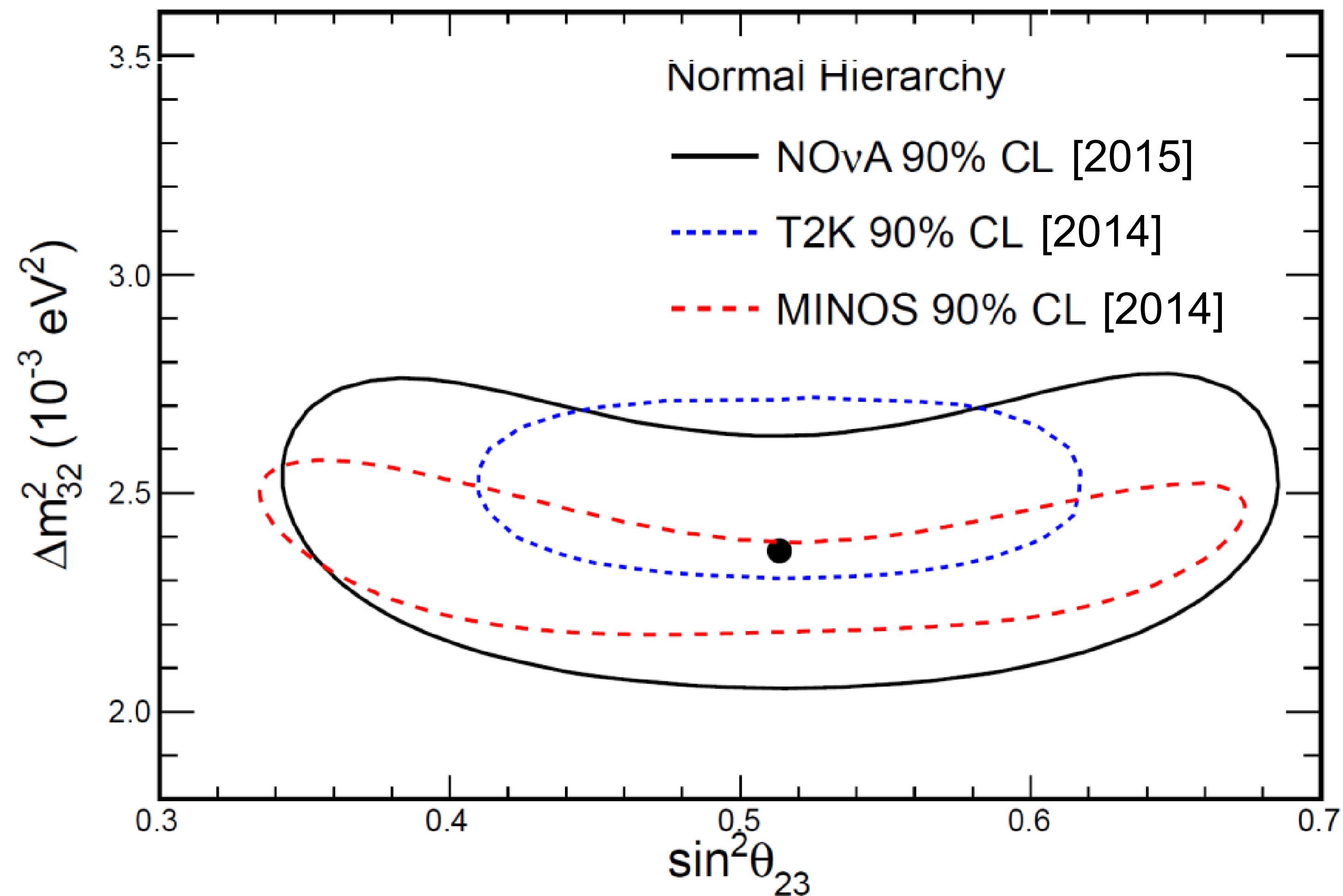
- "prefer normal hierarchy"
- "prefer  $\delta_{CP} \sim -\pi/2$ "

# $\Theta_{23}$ : MAXIMAL?

NOvA Preliminary

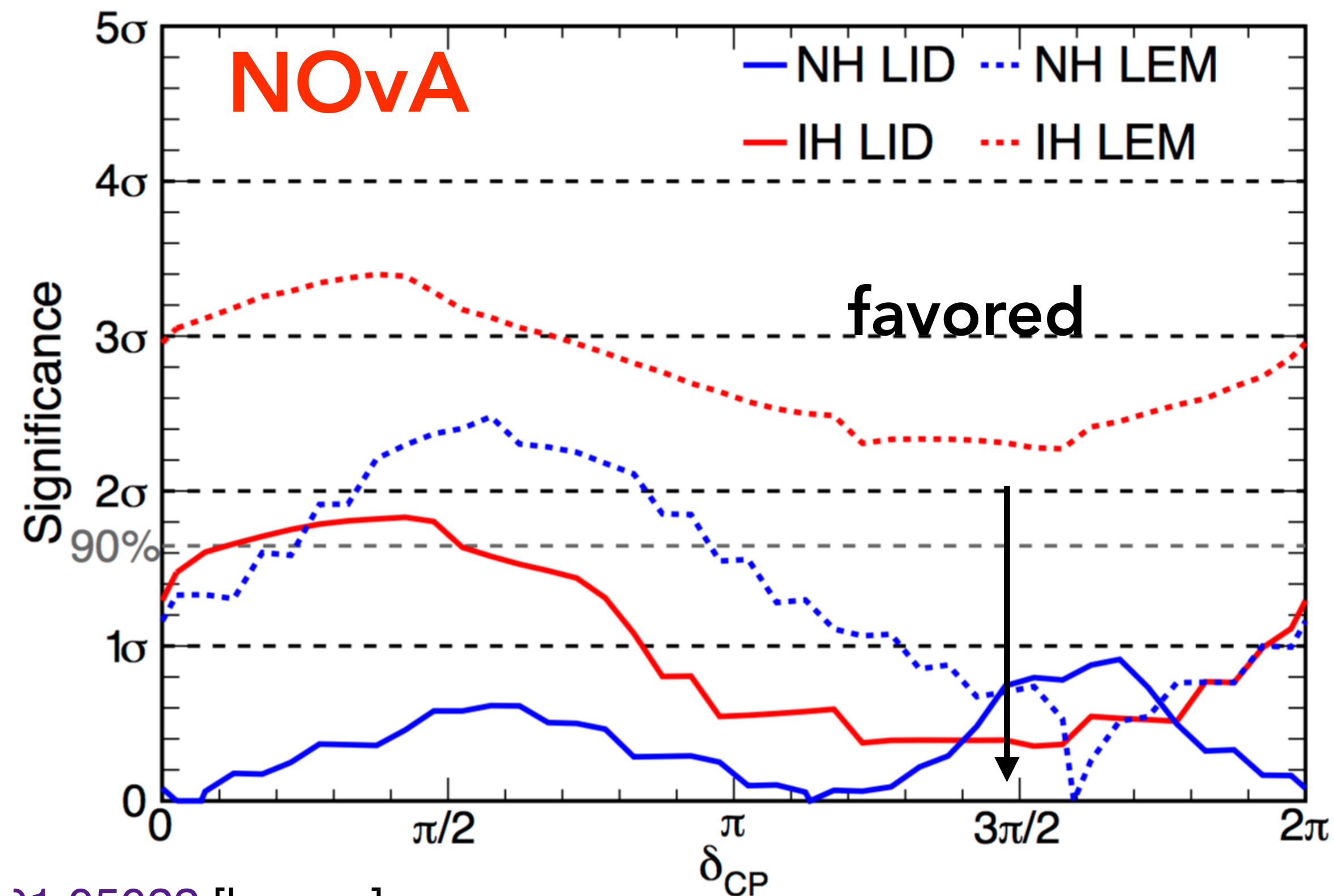
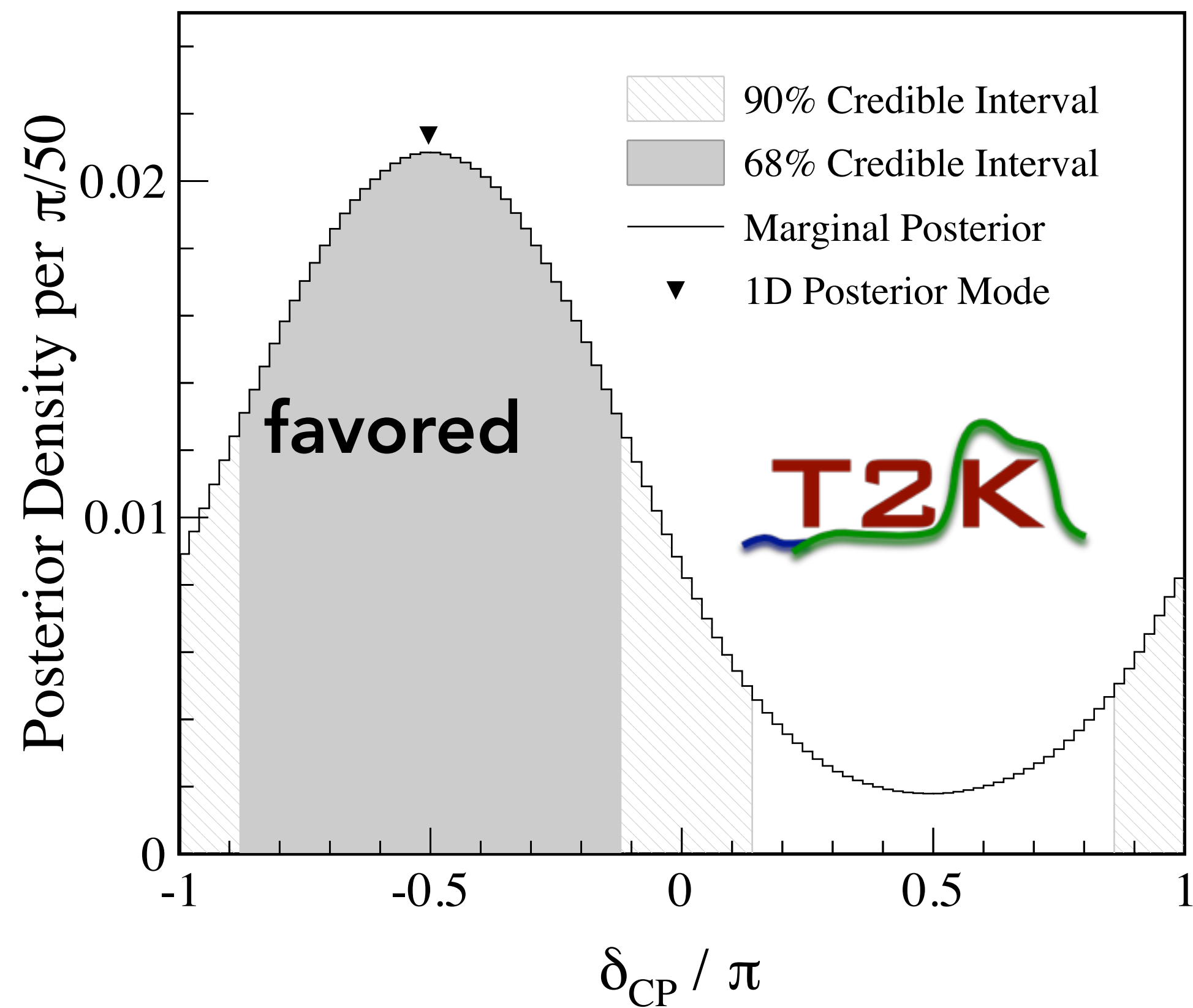


NOvA Preliminary

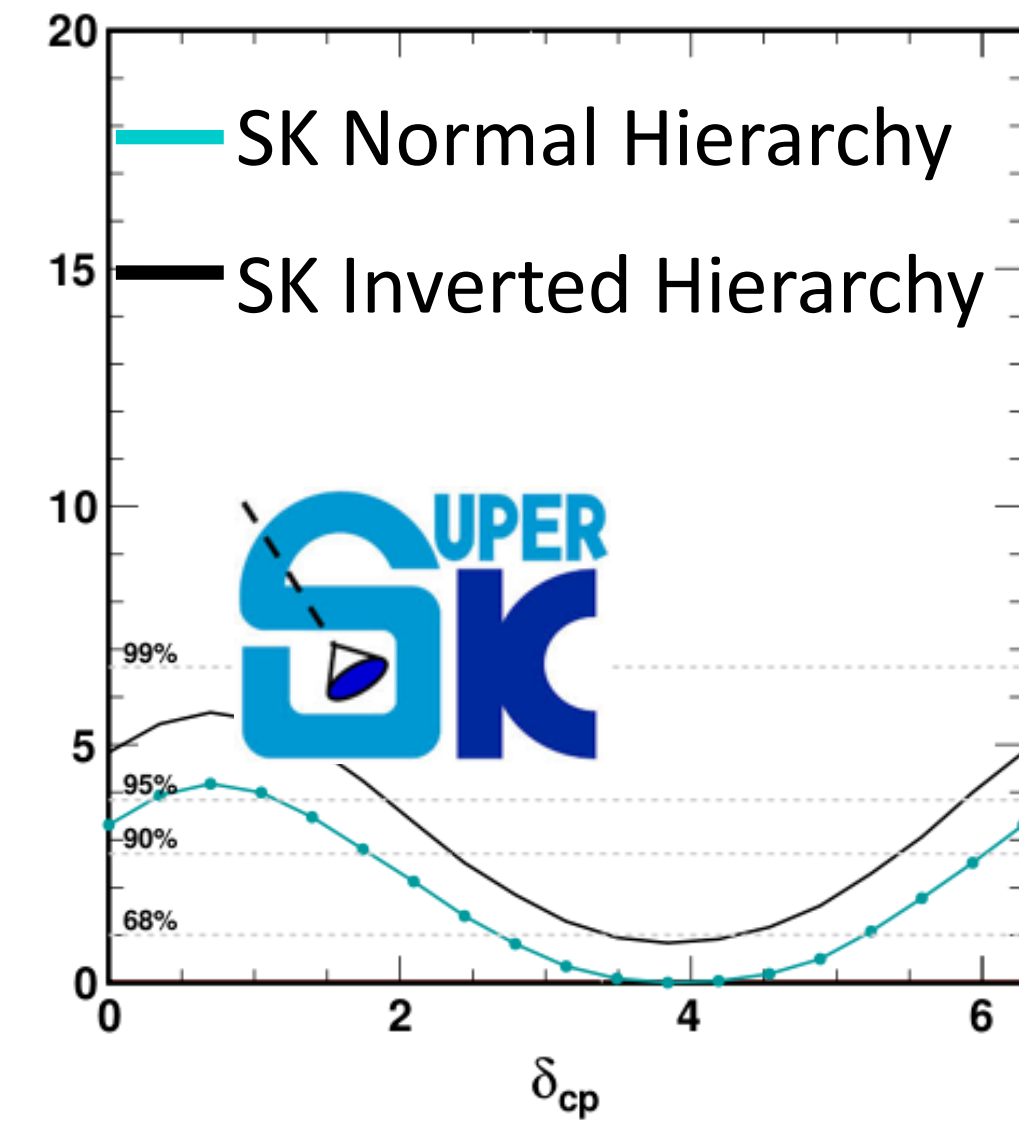
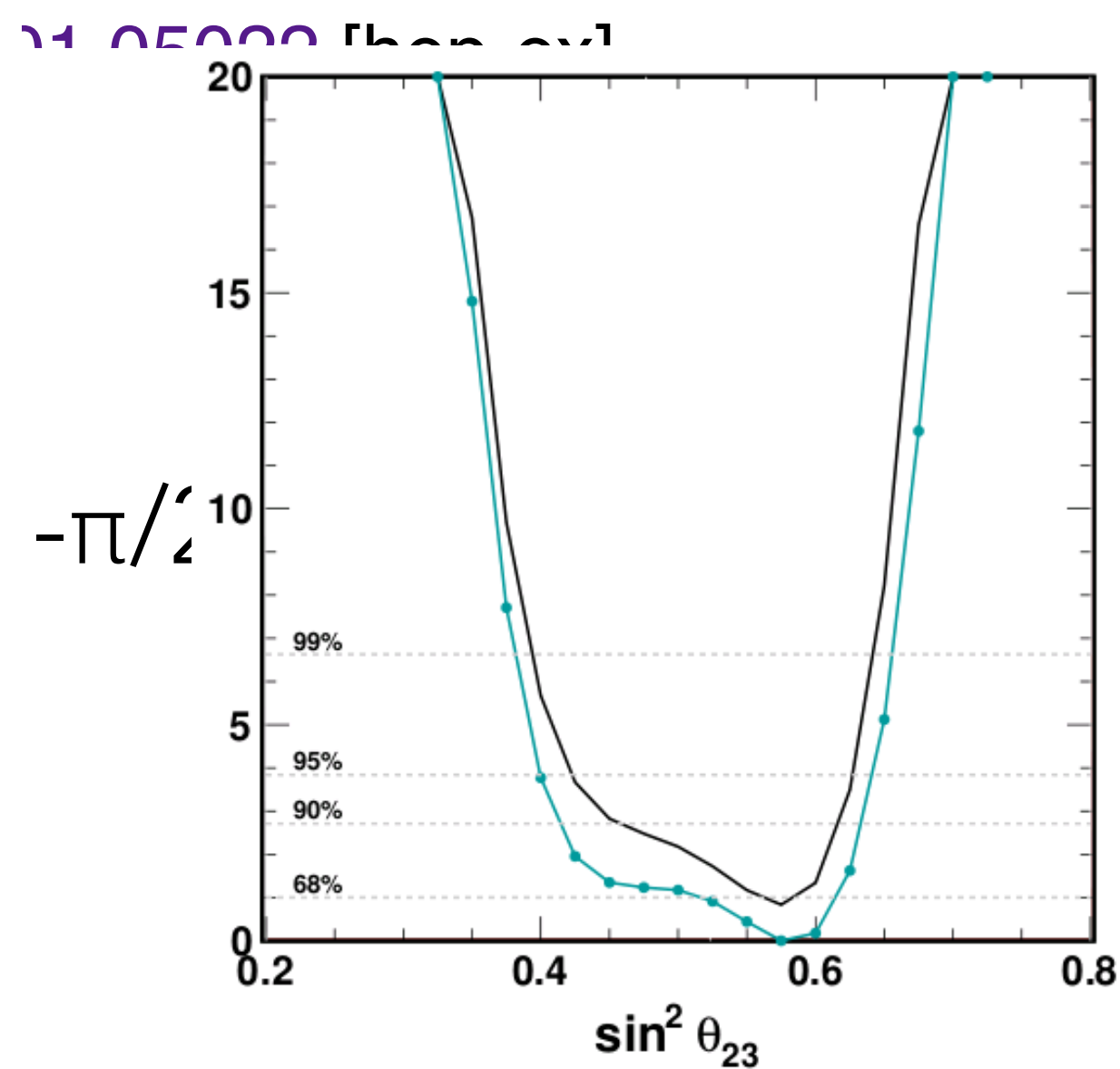
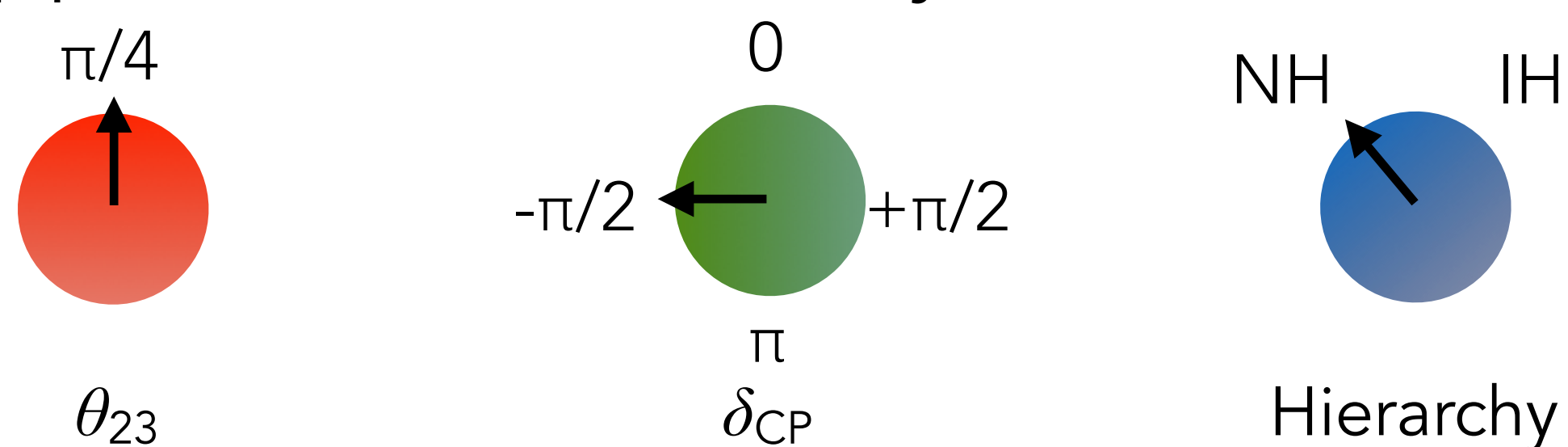




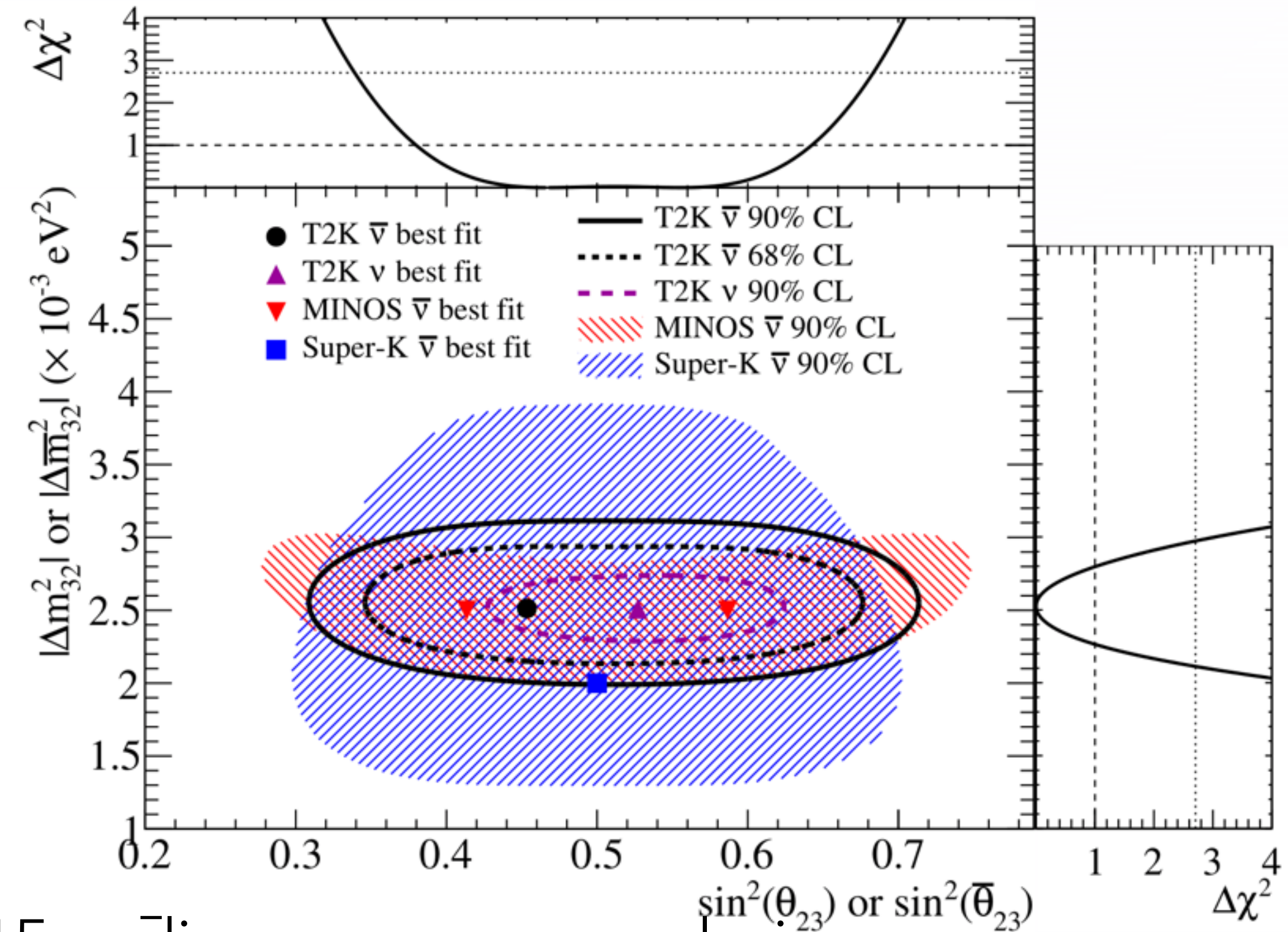
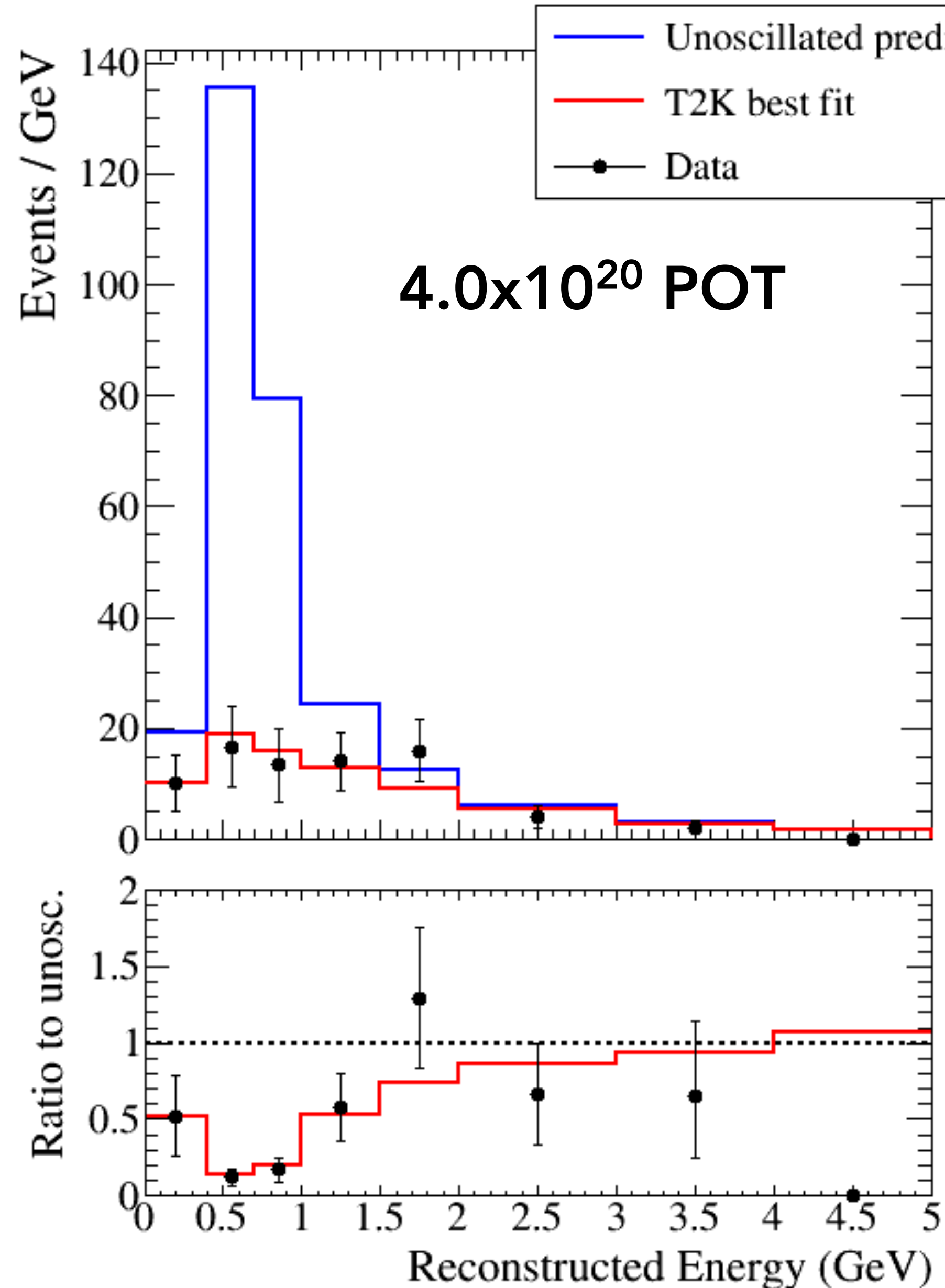
# SUMMARY:



- T2K, SK, and NOvA see large  $\nu_\mu \rightarrow \nu_e$  appearance that weakly favours NH,  $\delta_{CP} = -\pi/2$



# FIRST ANTINEUTRINO RESULTS FROM T2K



- 2015  $\nu_\mu$  disappearance analysis
- Competitive measurement with 1 year of data.
- $\nu_e$  appearance results
  - 3 events observed
  - 3.2 expected with current best-fit values ( $\delta_{CP} \sim -\pi/2$ )



# WHAT'S NEXT

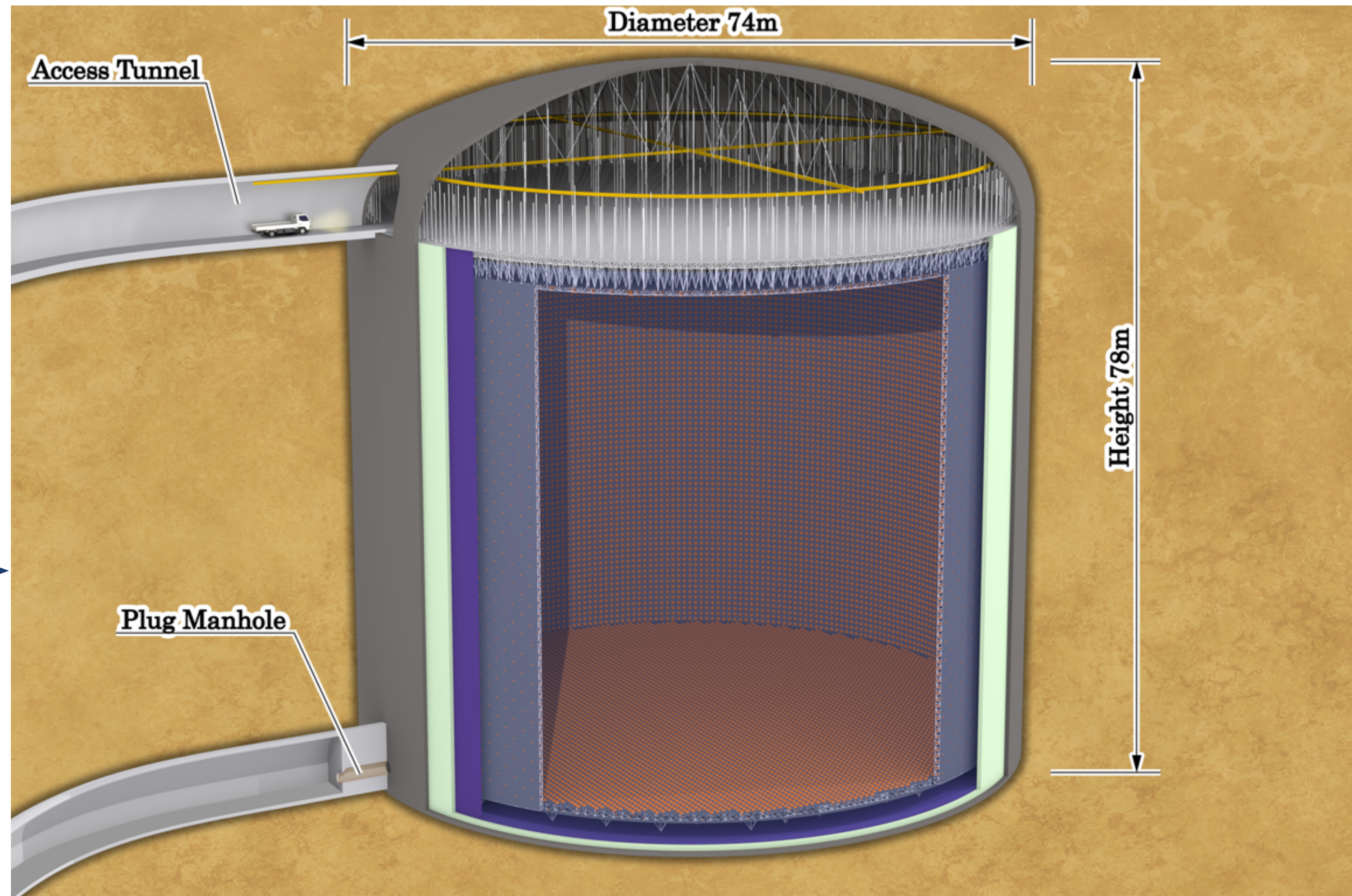
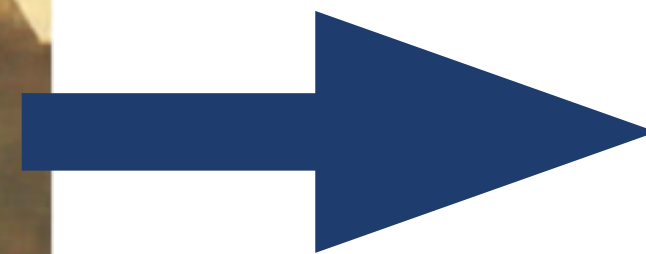
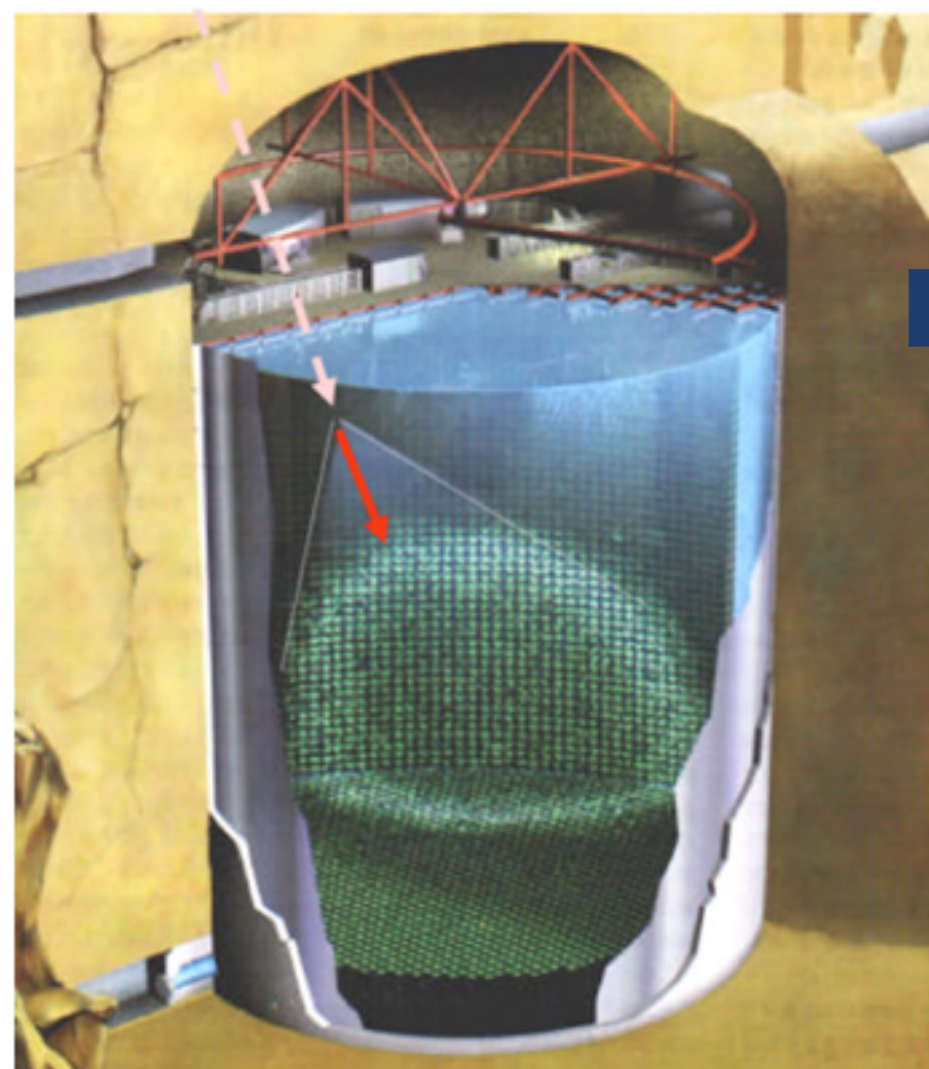
- Near term:
  - Continued T2K and NOvA running to study
    - mass hierarchy, CP violation
    - whether  $\theta_{23}$  is in fact maximal (if not its “octant”)
  - If we are lucky, we may get some indication of the situation with this current round of experiments
    - particularly if the parameters are near “maximal” values that produce the largest and unambiguous effects.
- It is very likely, however, that a new generation of experiments are needed to definitively resolve these questions.



# WHAT'S NEXT

## Detector upgrades

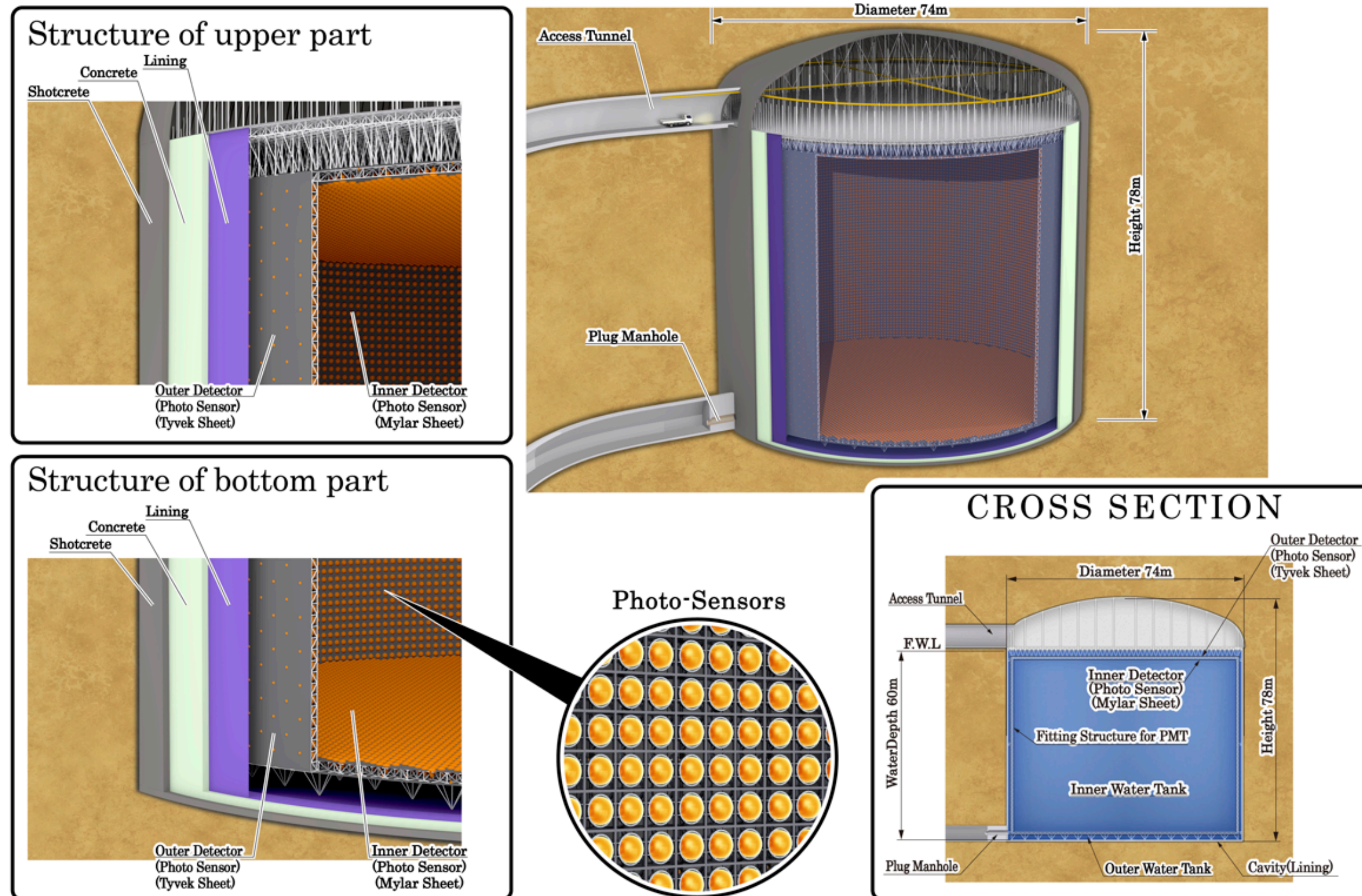
- Super-Kamiokande  
→ Hyper-Kamiokande



$$N \propto \Phi_{\nu} \times \boxed{V \times \rho \times \epsilon} \times \sigma$$

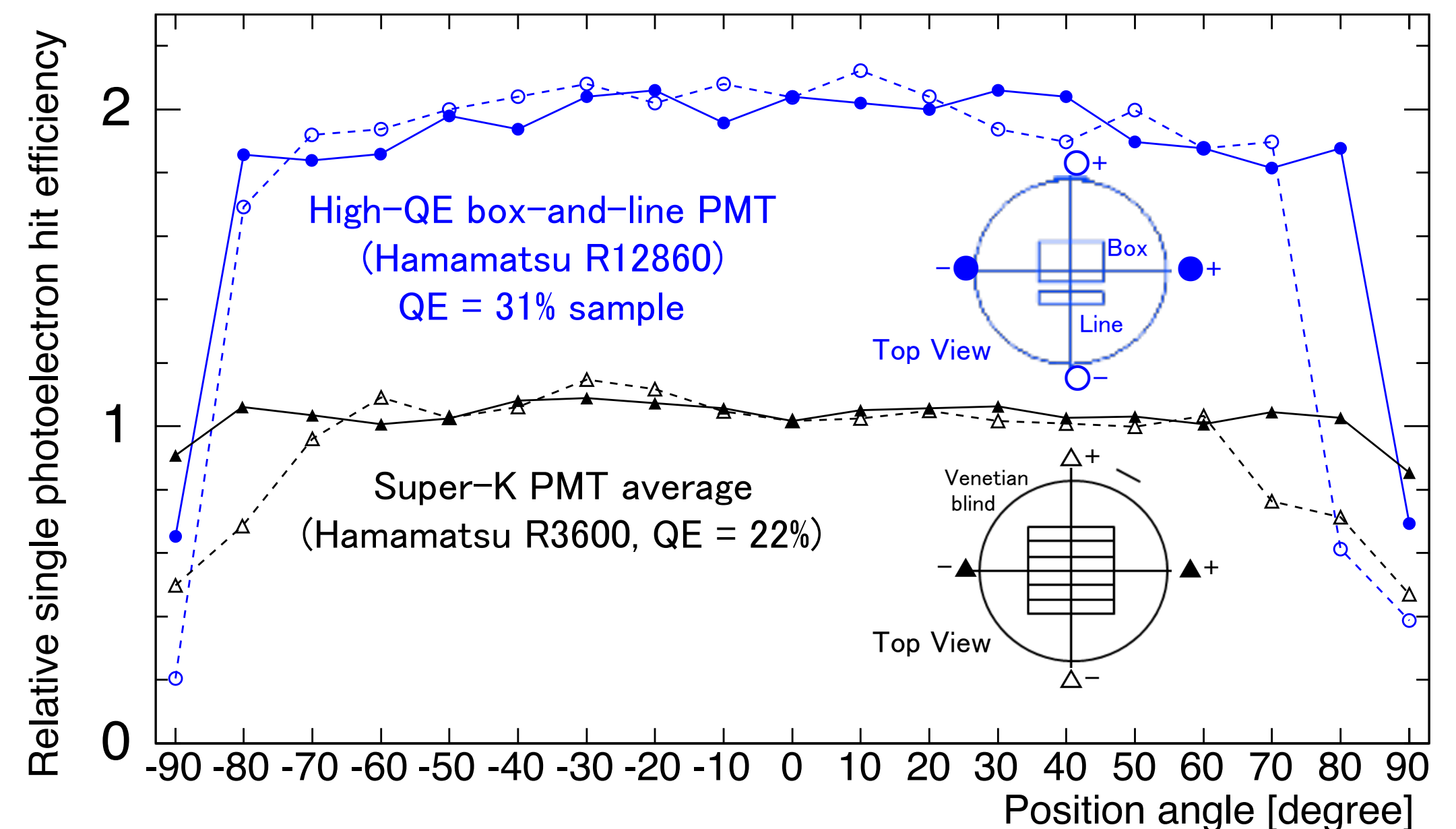


# HYPER-KAMIOKANDE



- “High Density” photosensor development:
  - same photocathode area as SK (40%)
  - large improvements in detection efficiency

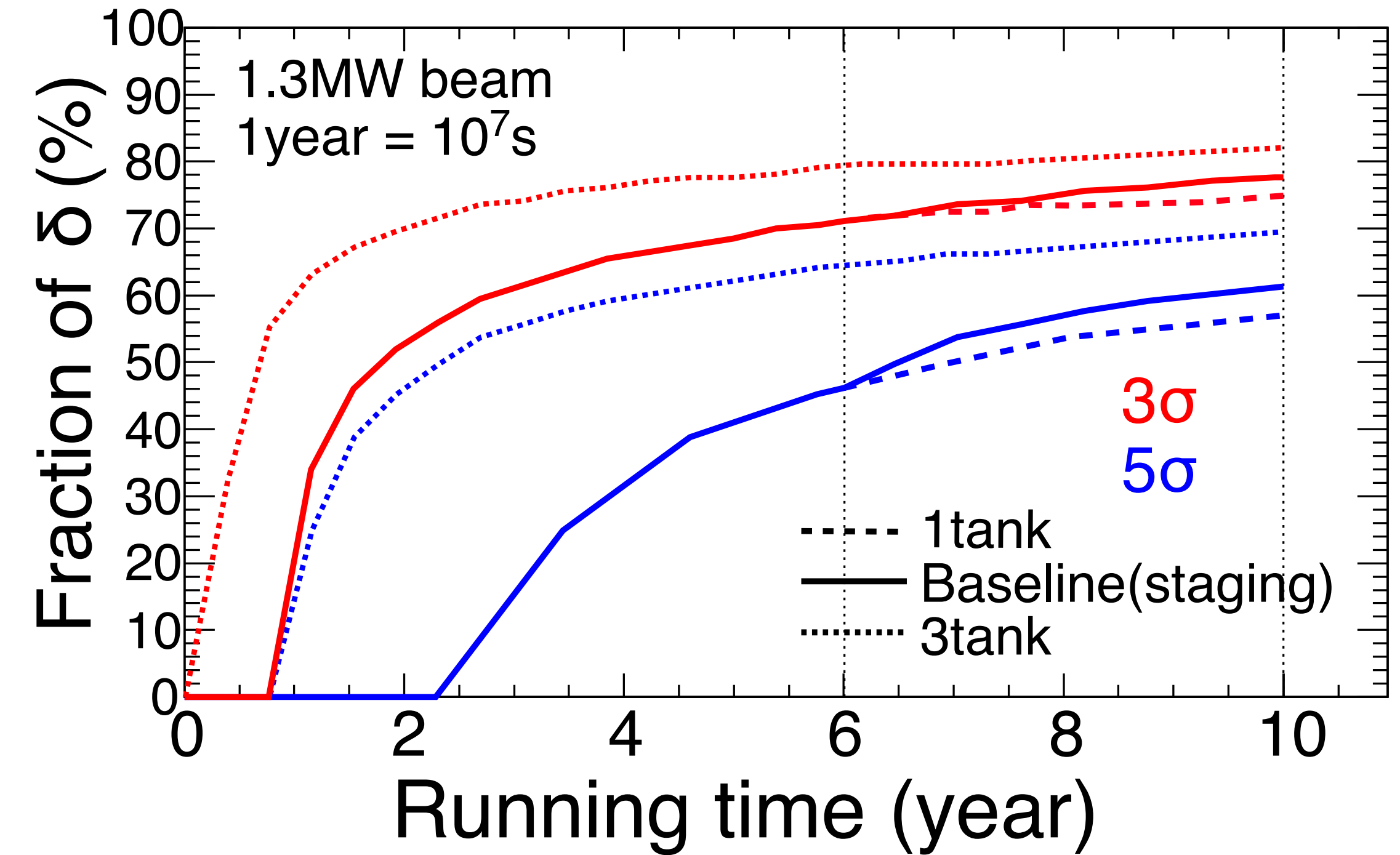
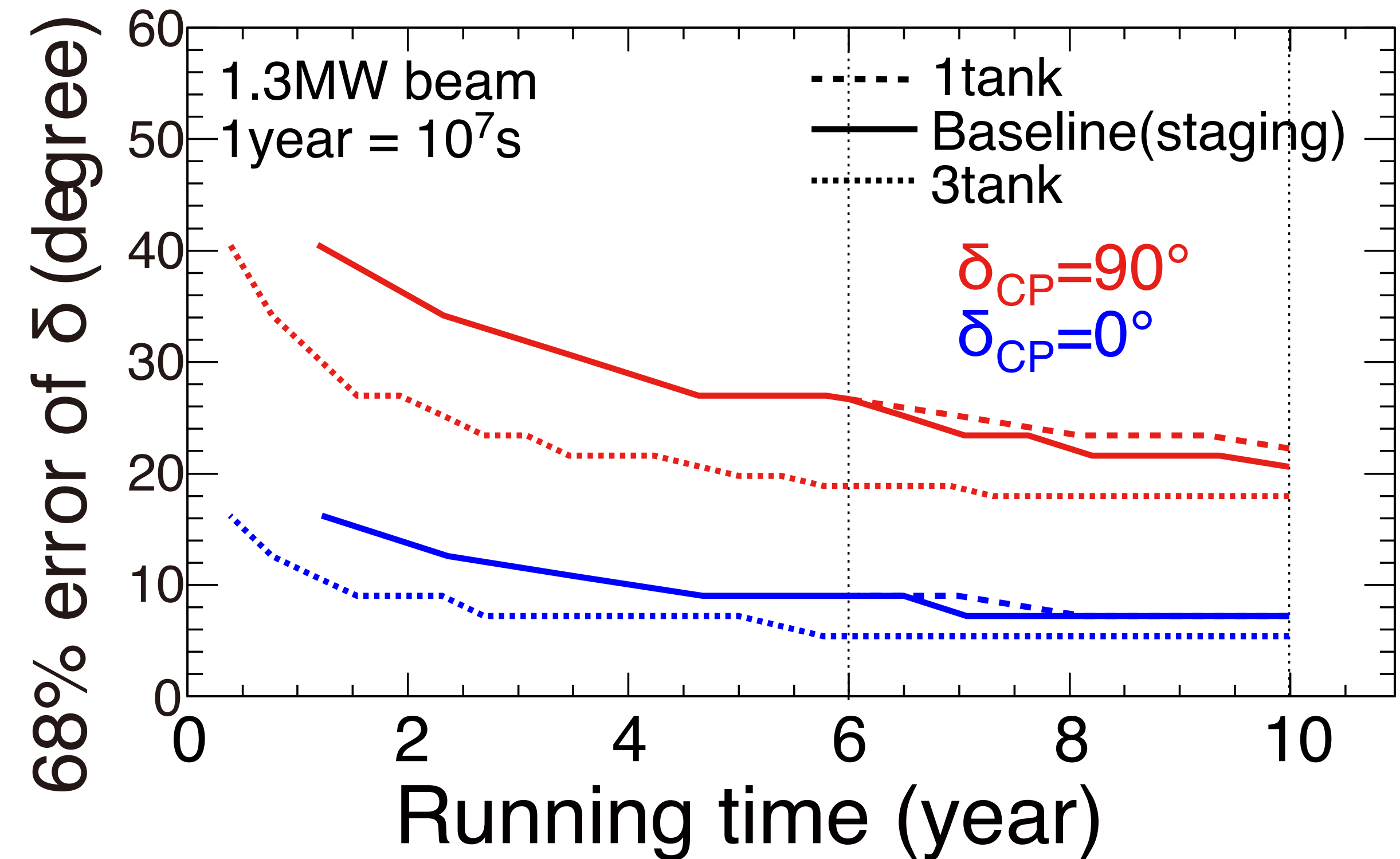
- Reconfigured design as two vertical cylindrical tanks with staged construction
  - 74 m diameter, 60 m height
  - 258 (187) kT tot. (fid.) volume
- Construction of 1st tank (2026) followed by 2nd tank several years later





# NEUTRINO OSCILLATIONS AT HK

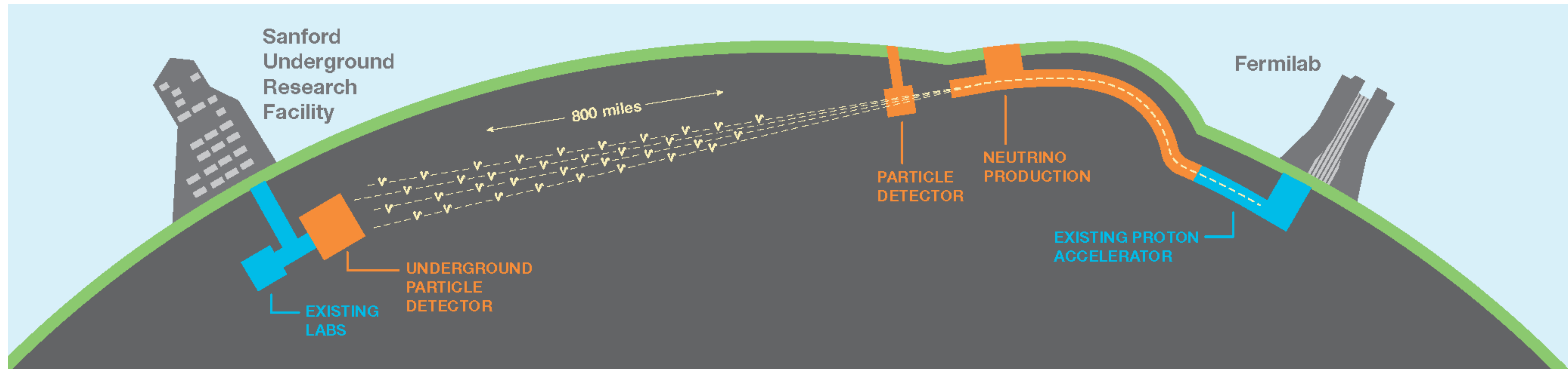
	$\delta_{CP}$	TOTAL	SIGNAL $\nu_{\mu} \rightarrow \nu_e$	SIGNAL $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$	BEAM $\nu_e$	BEAM $\nu_{\mu}$	NC
$\nu$ MODE	0	2880	<b>2300</b>	21	362	10	188
$\bar{\nu}$ MODE	0	2669	289	<b>1656</b>	6	444	274



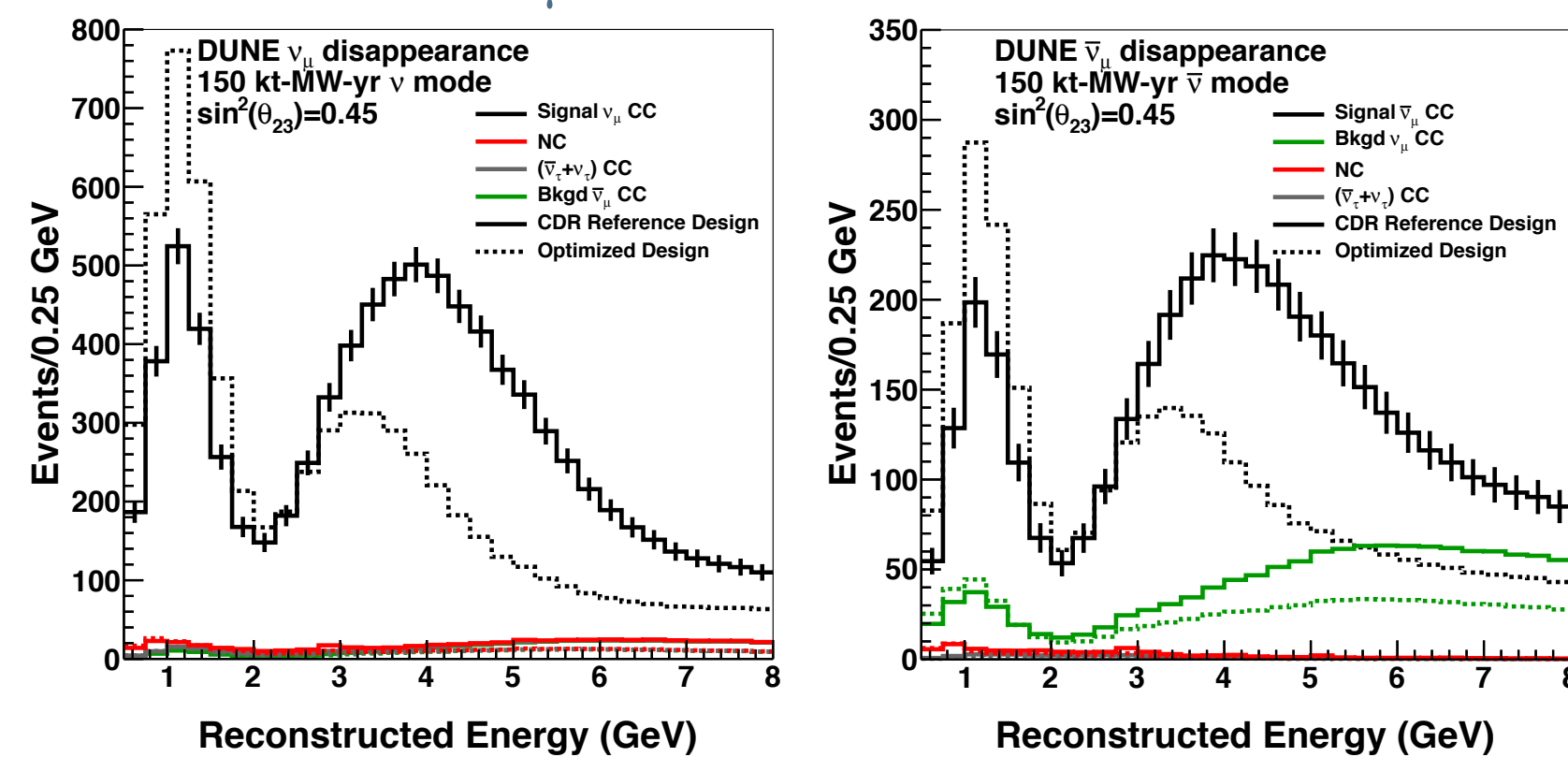
- Observation of CP violation for  $>76$  (57)% of  $\delta_{CP}$  values at  $> 3$  (5)  $\sigma$
- Measure  $\delta_{CP}$  with up to  $\sim 7^\circ$  precision



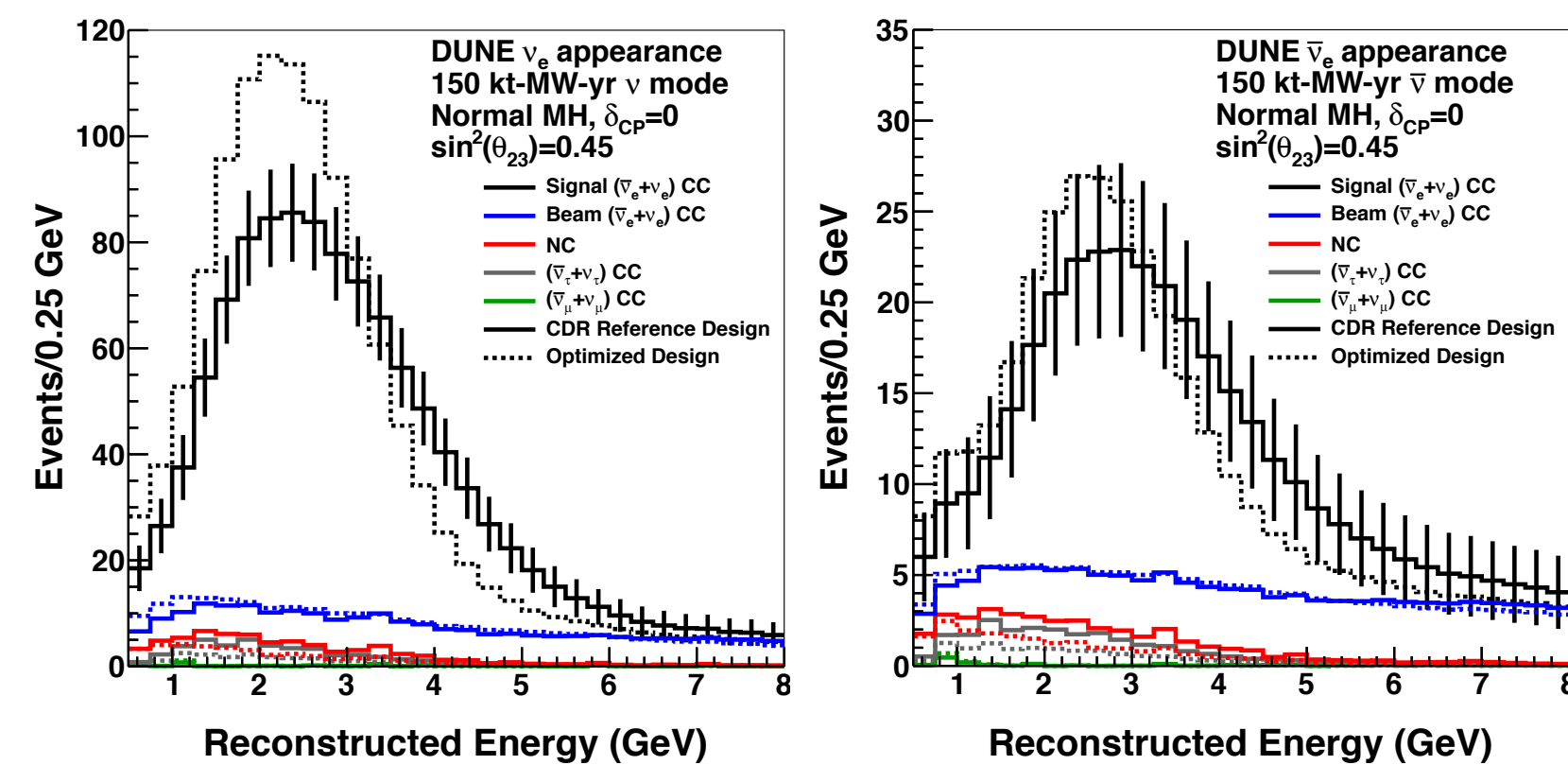
# LBNF/DUNE



$\nu_\mu$  disappearance



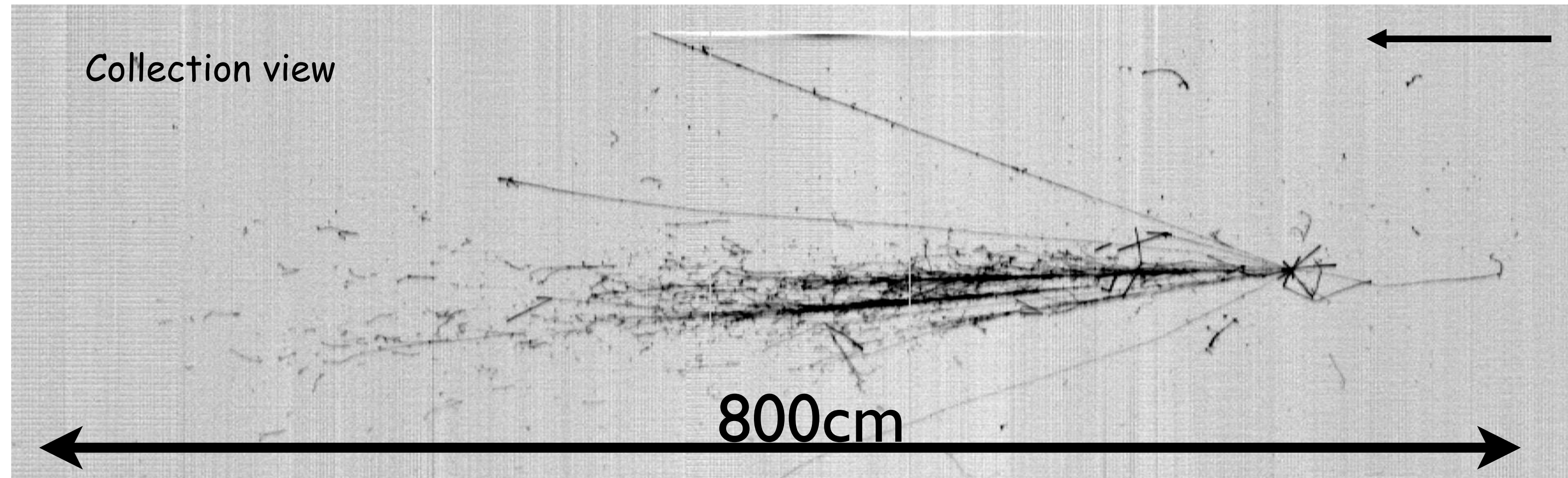
$\nu_e$  appearance



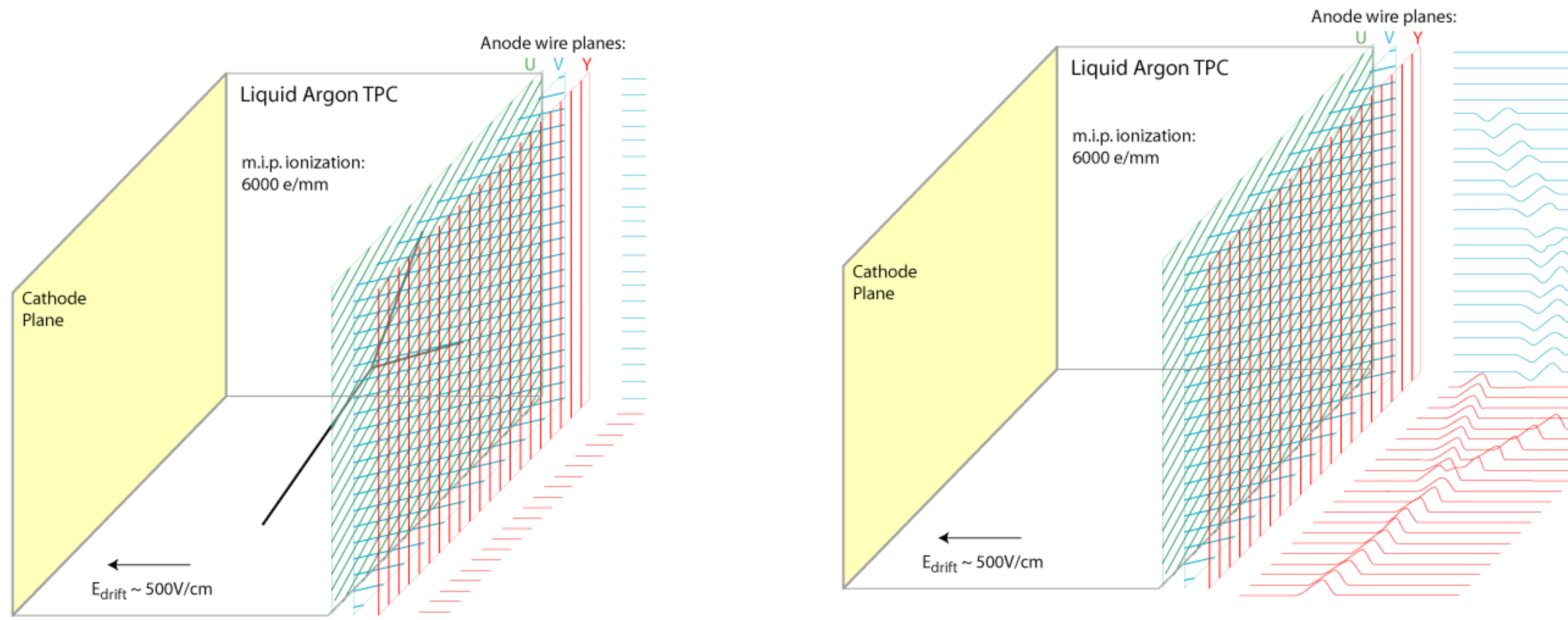
- Neutrino beam from Fermilab to Homestake (South Dakota) 1300 km away
- 4 x10 kT Liquid Argon Time Projection Chambers to detect neutrinos



# LAR-TPC

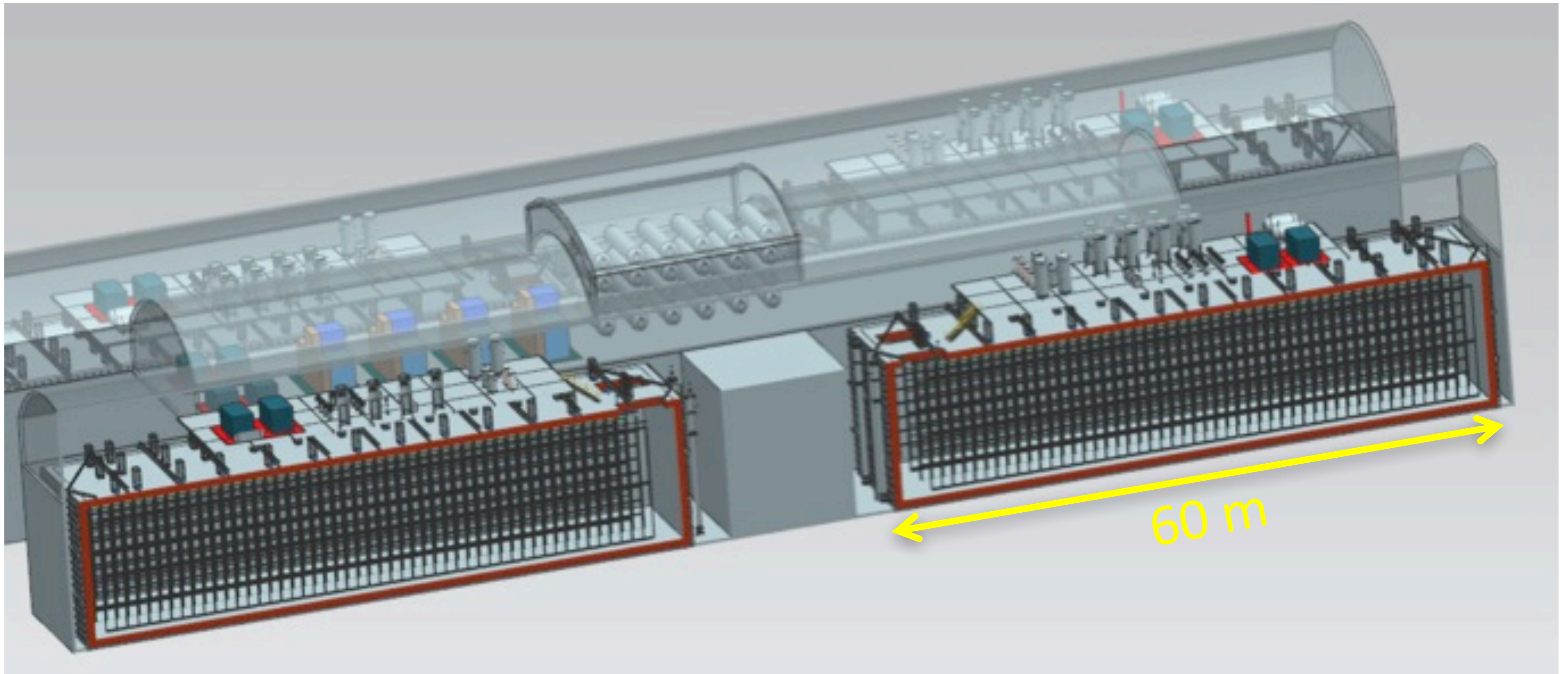


- Exquisite reconstruction of details of reconstruction
- Particularly well-suited for neutrino interactions at a few GeV





# FAR DETECTOR MODULES

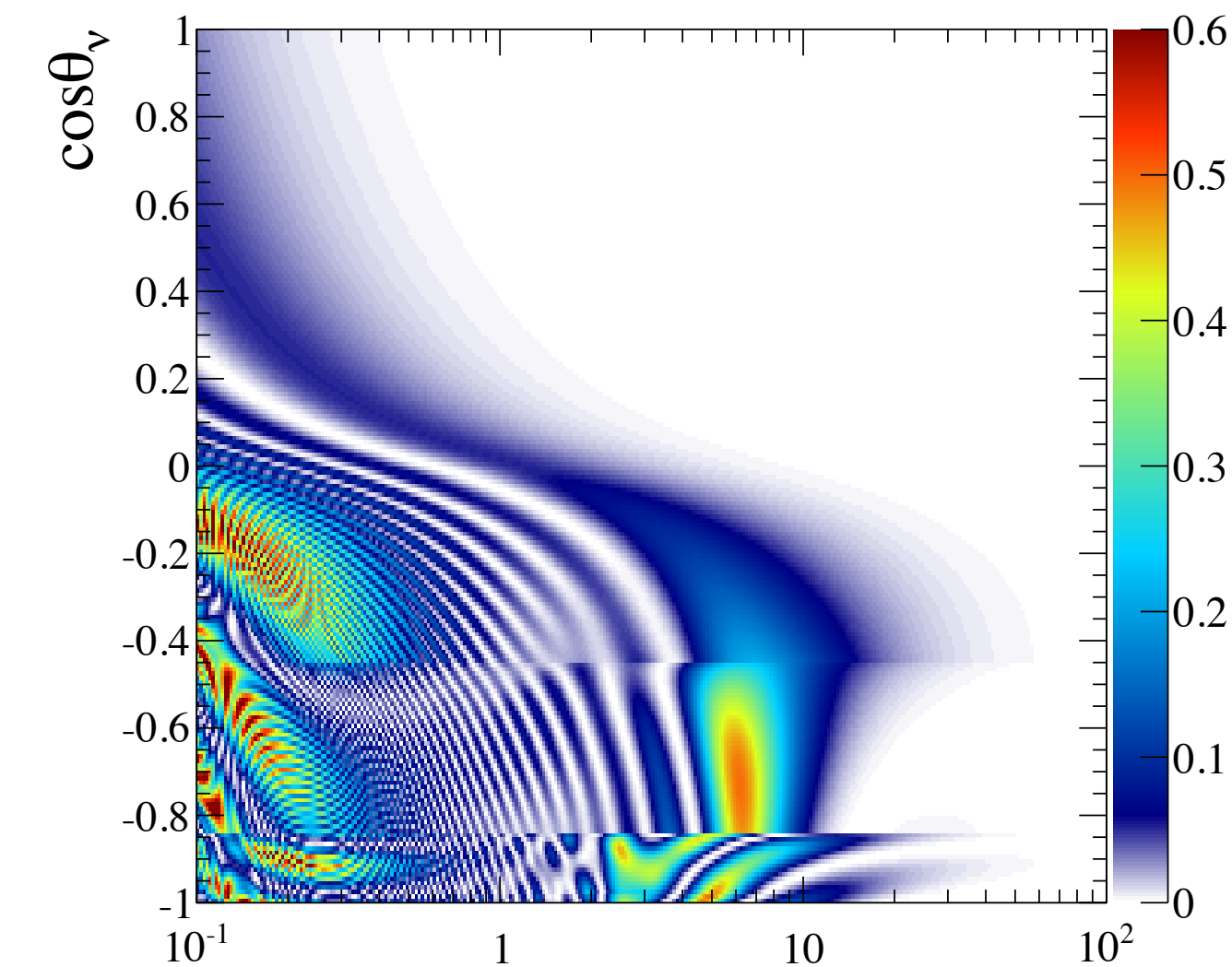


- Build the largest LAr TPCs in existence (4 x 10 kT modules)

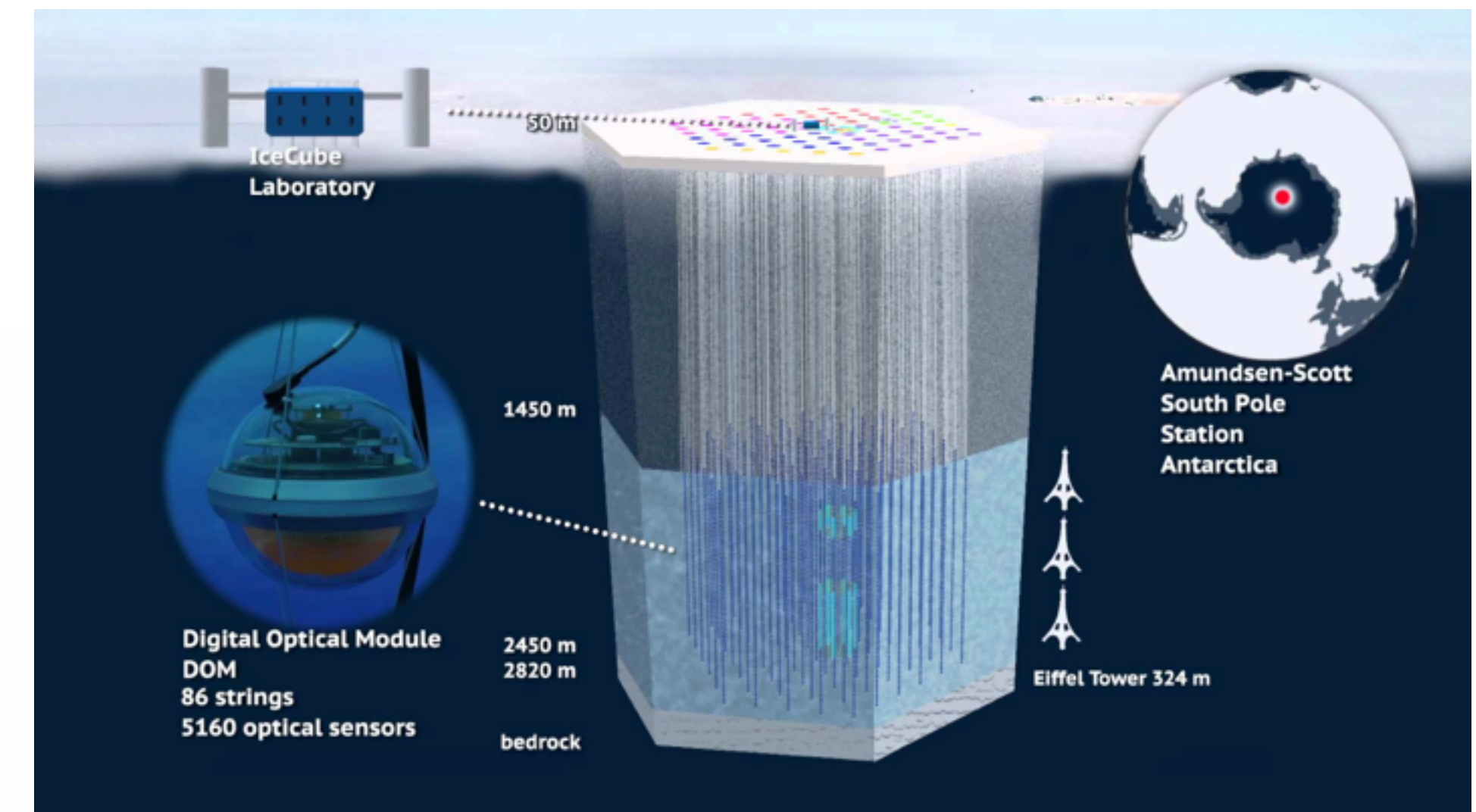
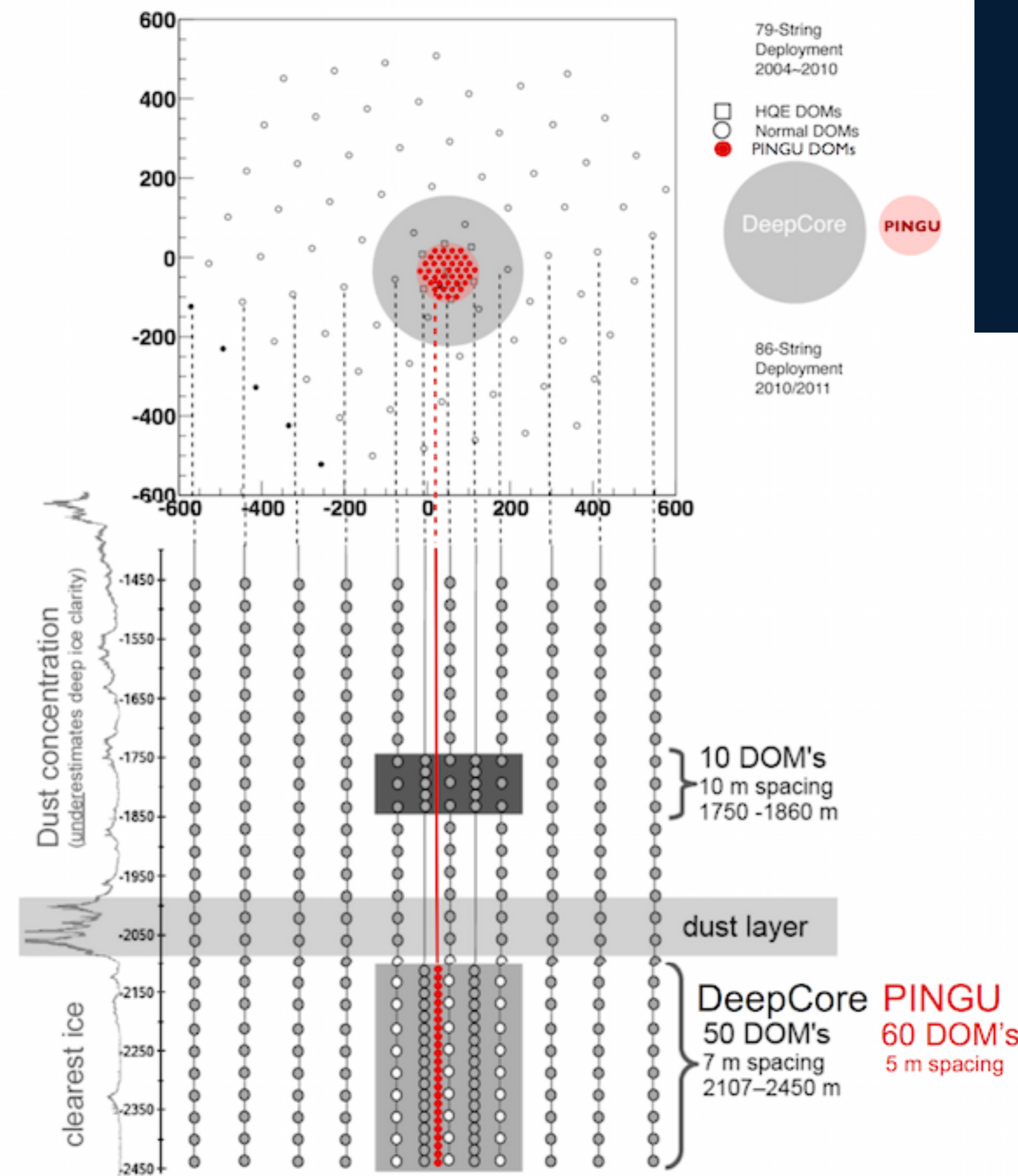
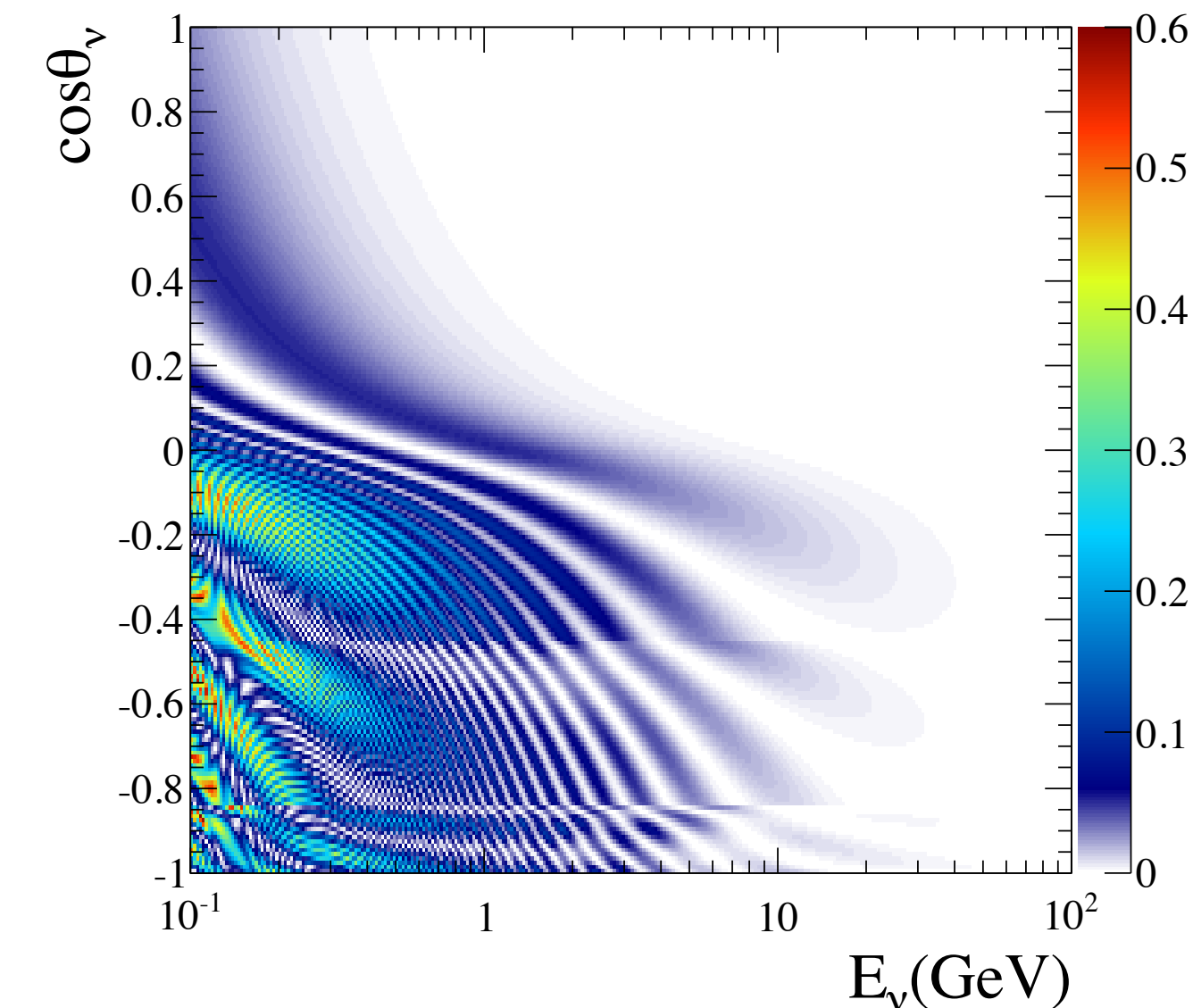


# PINGU AND ORCA

NH:  $P(\nu_\mu \rightarrow \nu_e), \delta_{CP}=0^\circ$



NH:  $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e), \delta_{CP}=0^\circ$

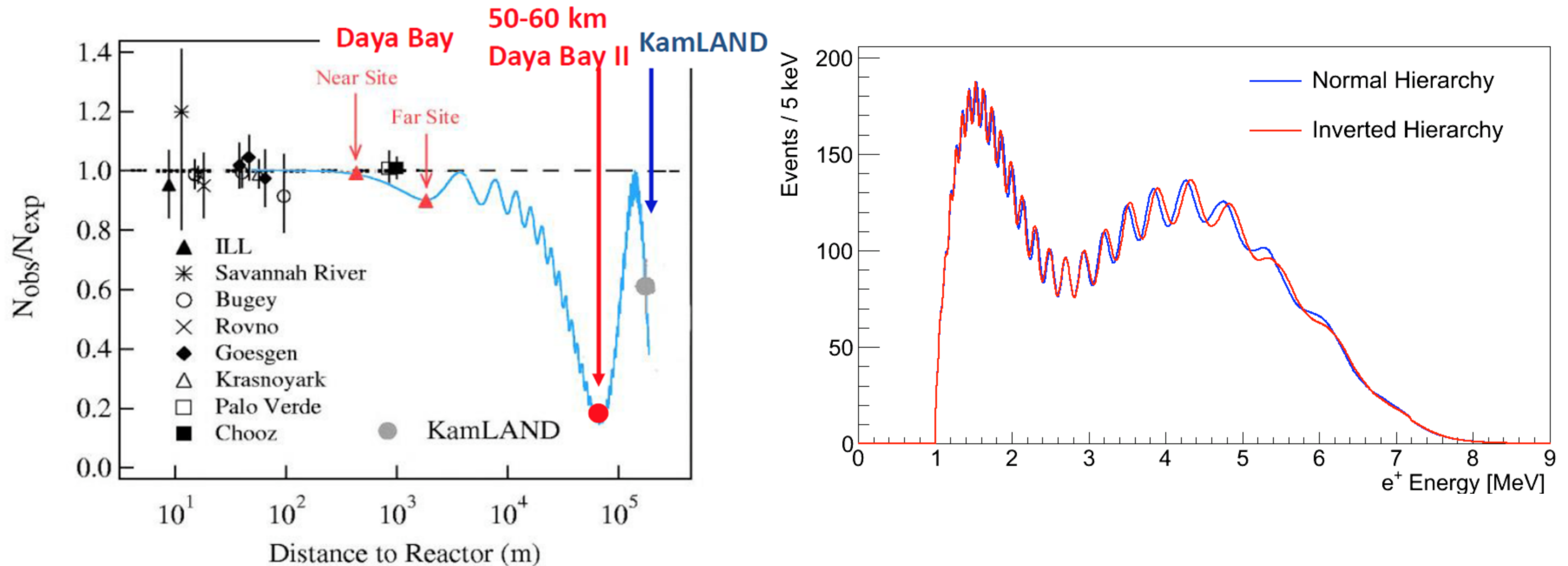


- PINGU: "in fill" of IceCube array in the South Pole
  - create "small region" of high photosensor density to reconstruct neutrino with energies ~several GeV
  - use resonant matter effects of neutrinos passing through the core and mantle of the earth to resolve the hierarchy
- ORCA: similar effort in the Mediterranean Sea



# JUNO

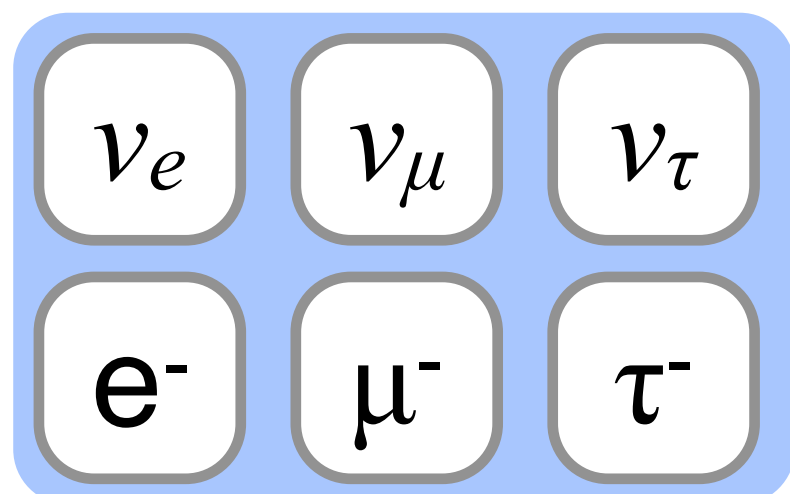
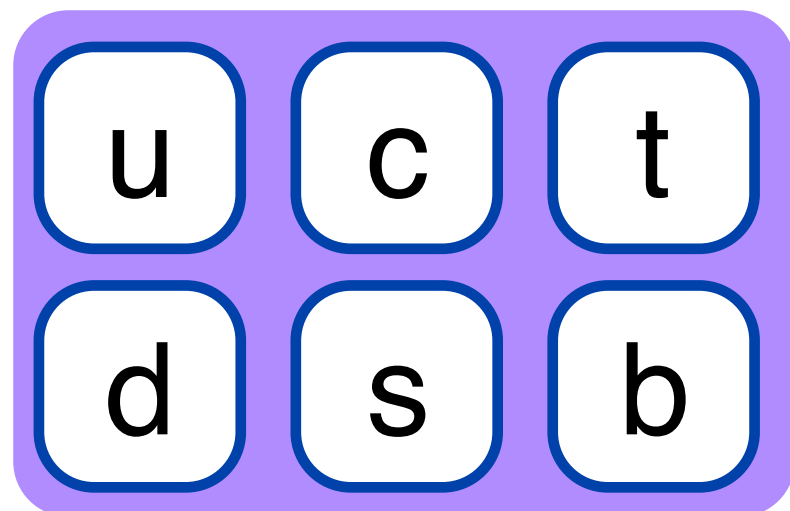
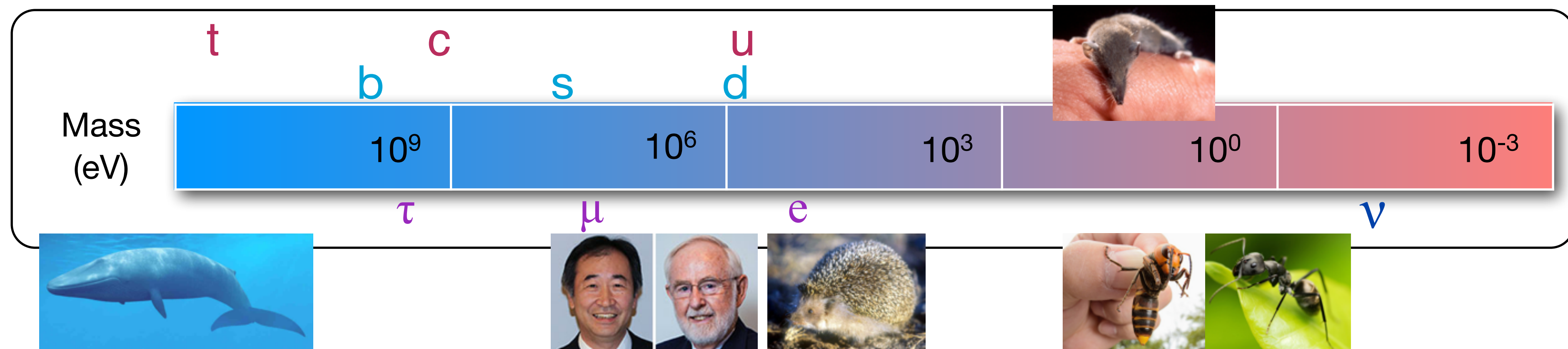
$$P(\nu_e \rightarrow \nu_e) \sim 1 - \sin^2 2\theta_{13} \sin^2(1.27\Delta m_{31}^2 L/E) - \sin^2 2\theta_{12} \sin^2(1.27\Delta m_{21}^2 L/E)$$



- If we have incredible energy resolution, we can detect a shift that arises from the mass hierarchy

# ANSWERS OR MORE QUESTIONS

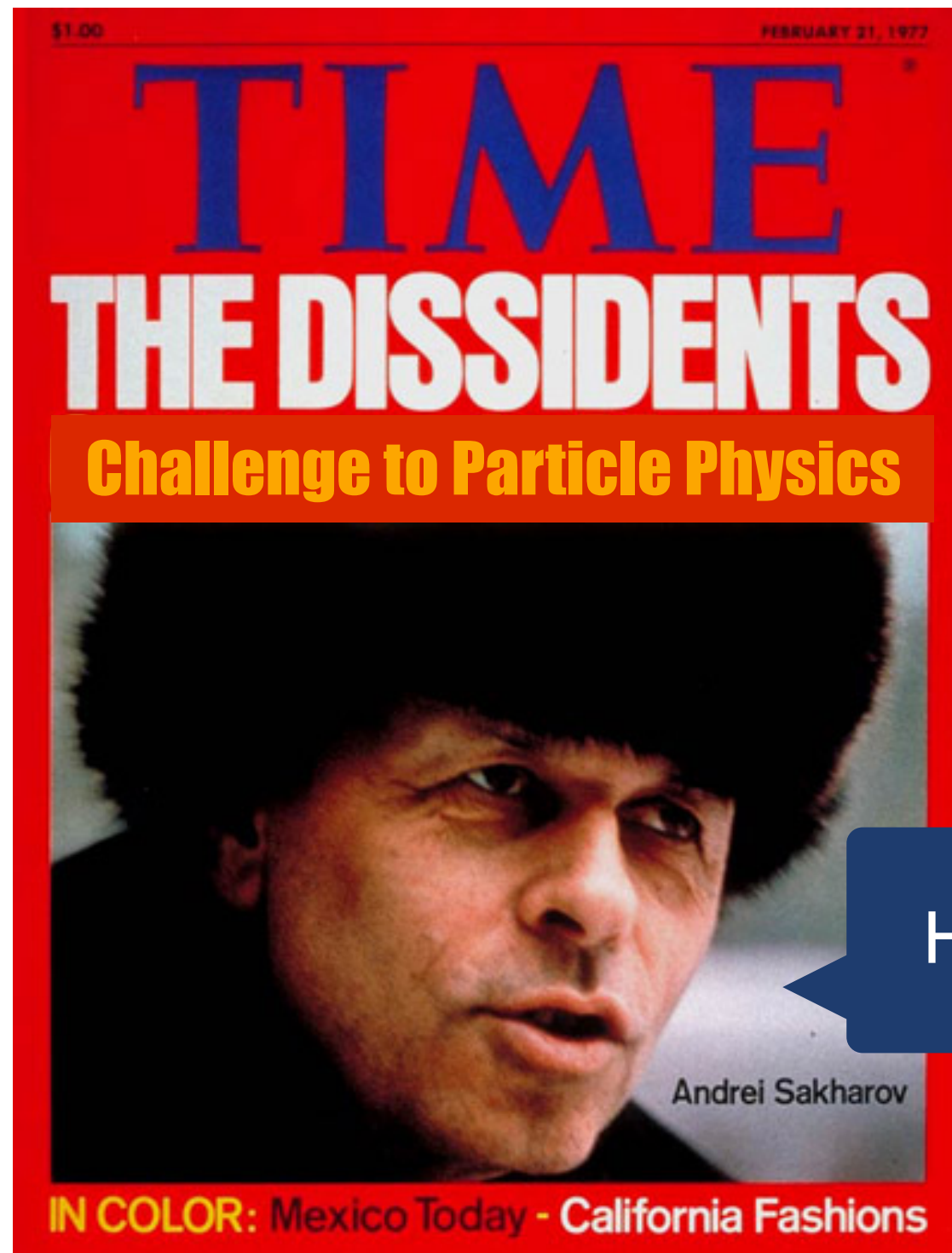
$$|U_{QUARK}| \sim \begin{pmatrix} 0.97428 & 0.2253 & 0.0034 \\ 0.2252 & 0.93745 & 0.0410 \\ 0.00862 & 0.0403 & 0.99915 \end{pmatrix} \quad |U_{LEPTON}| \sim \begin{pmatrix} 0.8 & 0.5 & 0.15 \\ 0.4 & 0.6 & 0.7 \\ 0.4 & 0.6 & 0.7 \end{pmatrix}$$



- Why are quark and lepton mixings so different?
- is neutrino mixing "maximal"?
- Why are neutrino masses so tiny?
- quarks/charged leptons masses from Higgs mechanism
- do neutrinos get mass some other way?



# THE MATTER DOMINATED UNIVERSE



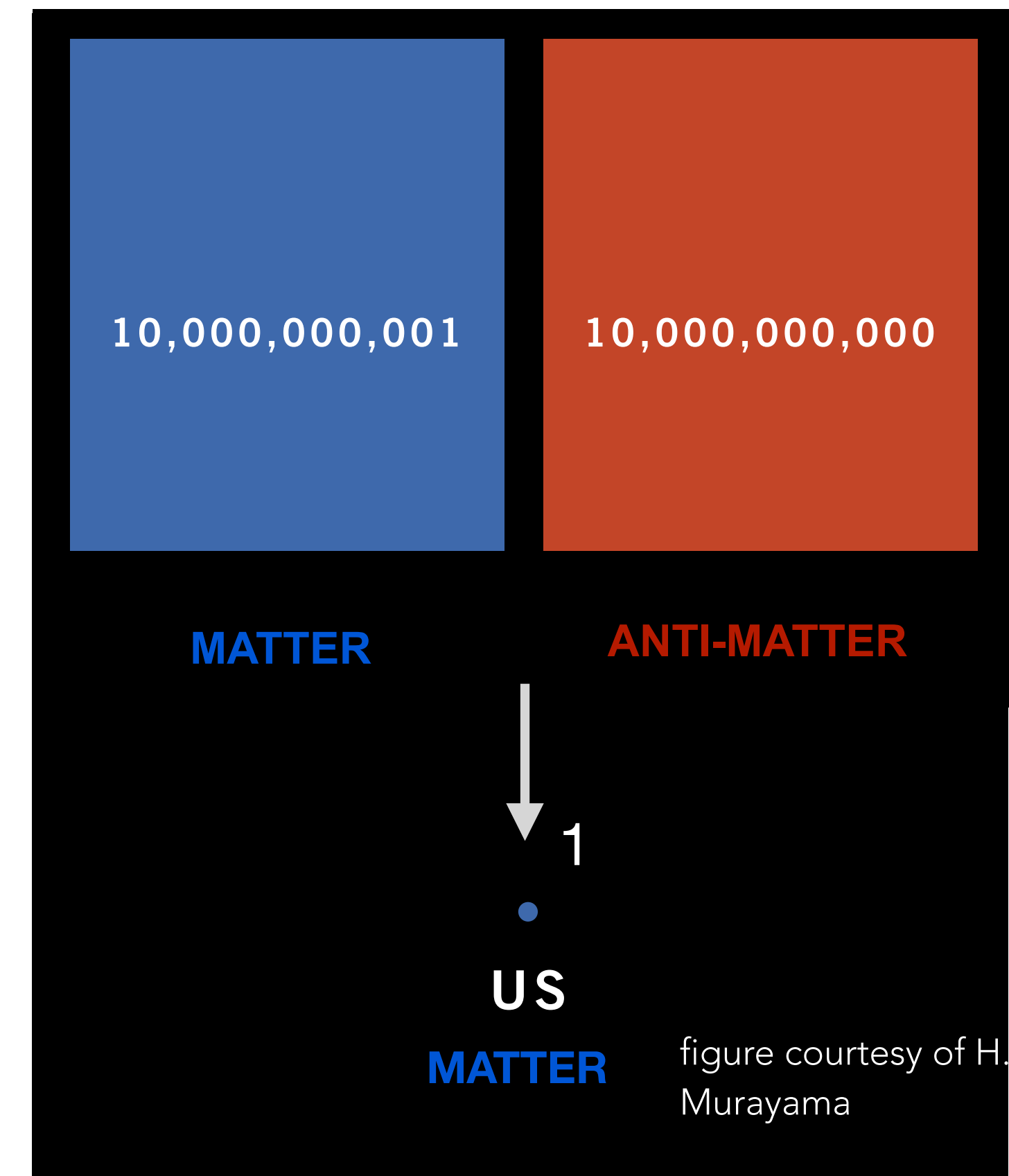
HOW DID THIS HAPPEN?

SAKHAROV CONDITIONS:

- BARYON NUMBER (B) VIOLATION
- VIOLATION OF C, CP SYMMETRY (CPV)
- DEPARTURE FROM THERMAL EQUILIBRIUM

- Extremely small?
- Extremely large?
- Known sources of CPV (quark CKM) cannot produce this asymmetry

$$\frac{\Delta B}{N_\gamma} \sim \mathcal{O}(10^{-10})$$



Further **exploration** and **elucidation** of possible CPV sources is critical

# ELUCIDATING CP VIOLATION

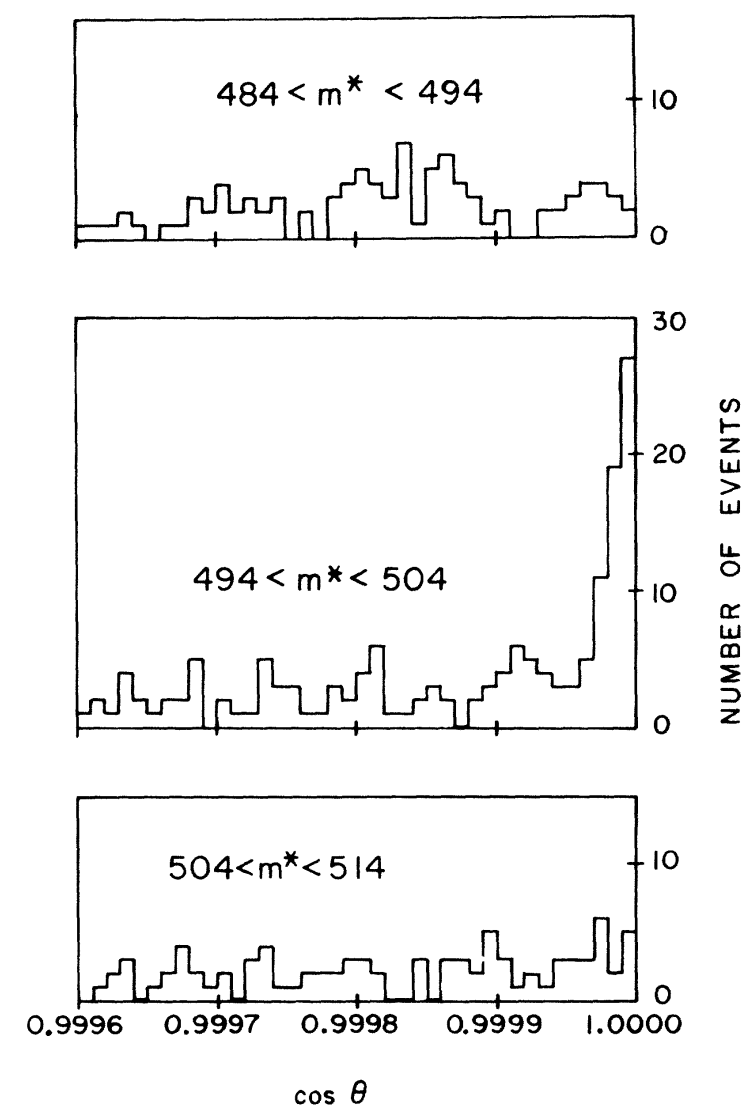


FIG. 3. Angular distribution in three mass ranges for events with  $\cos \theta > 0.9995$ .

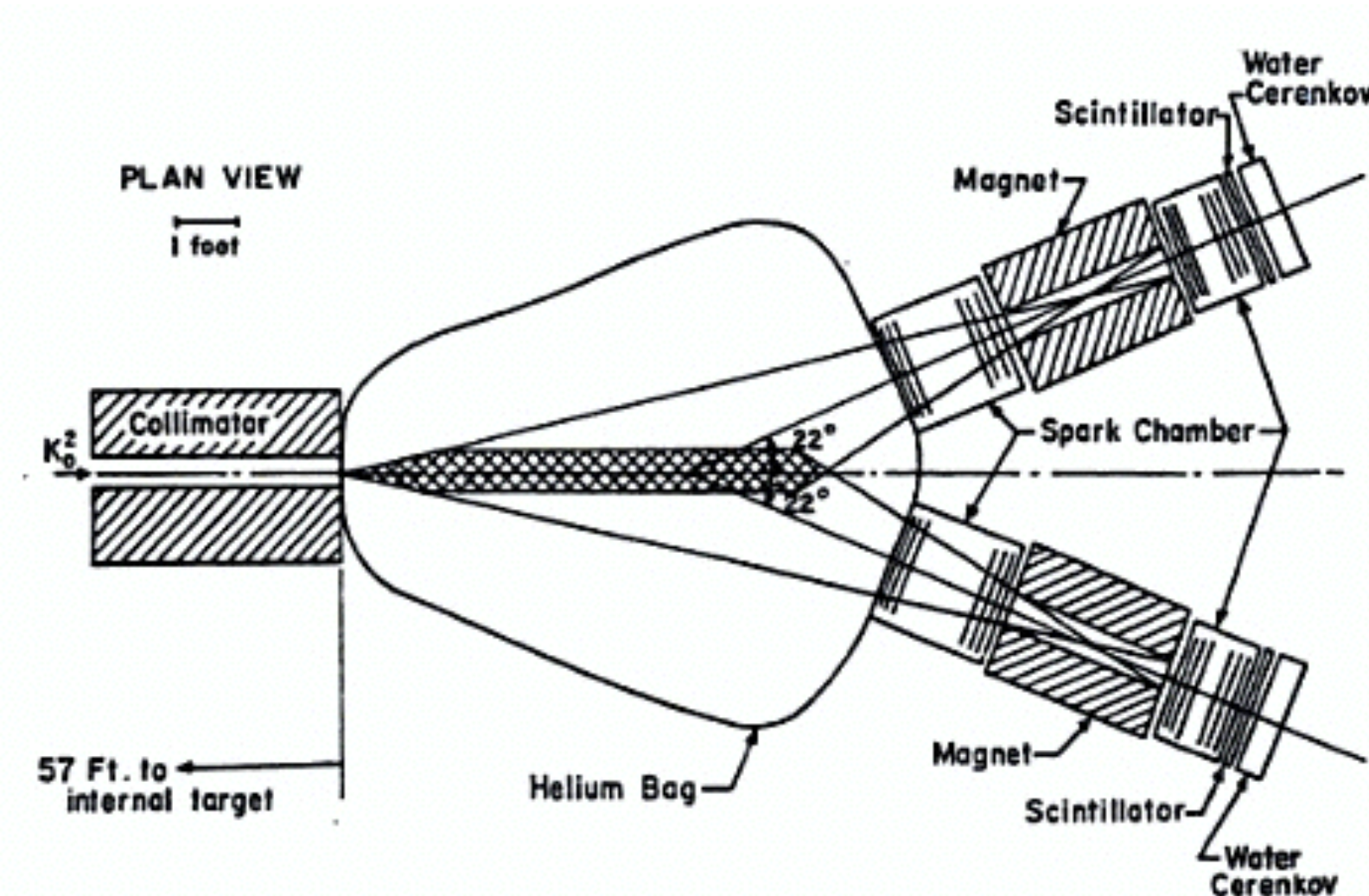
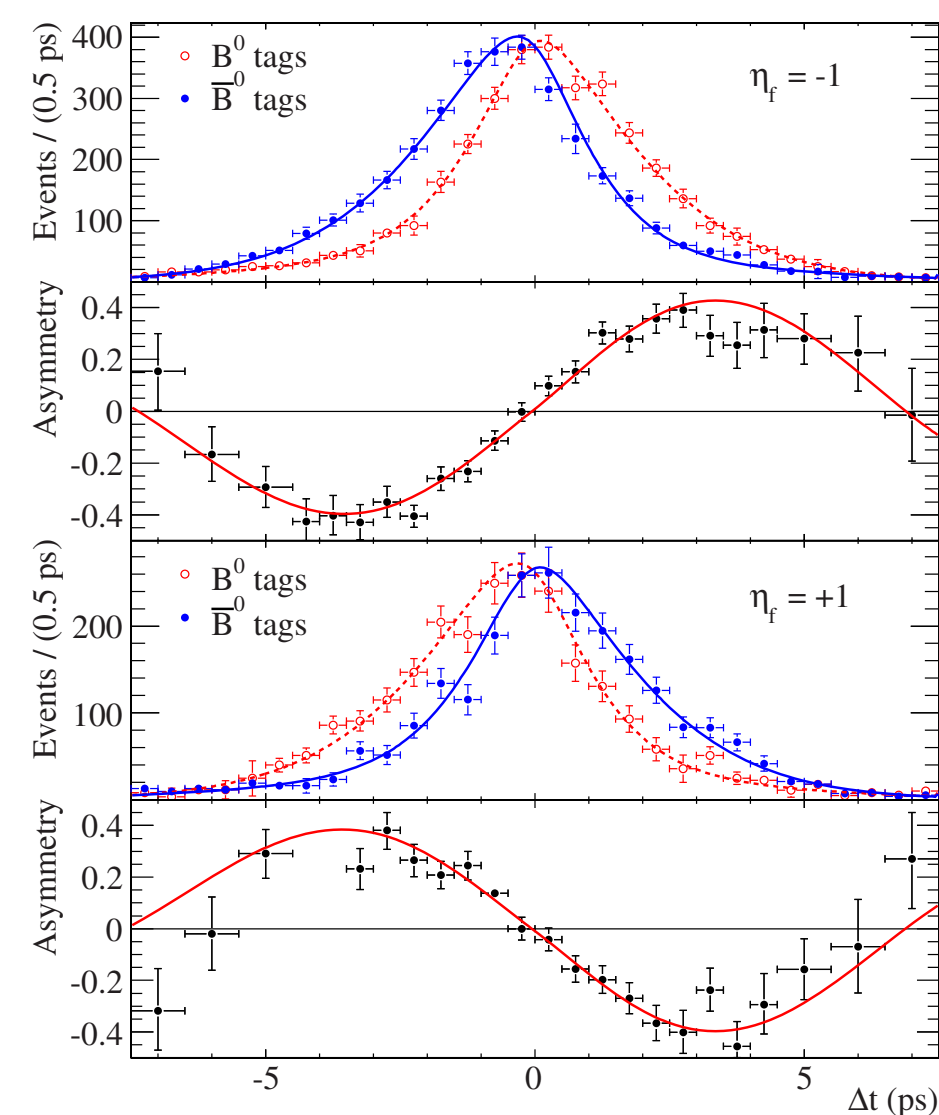
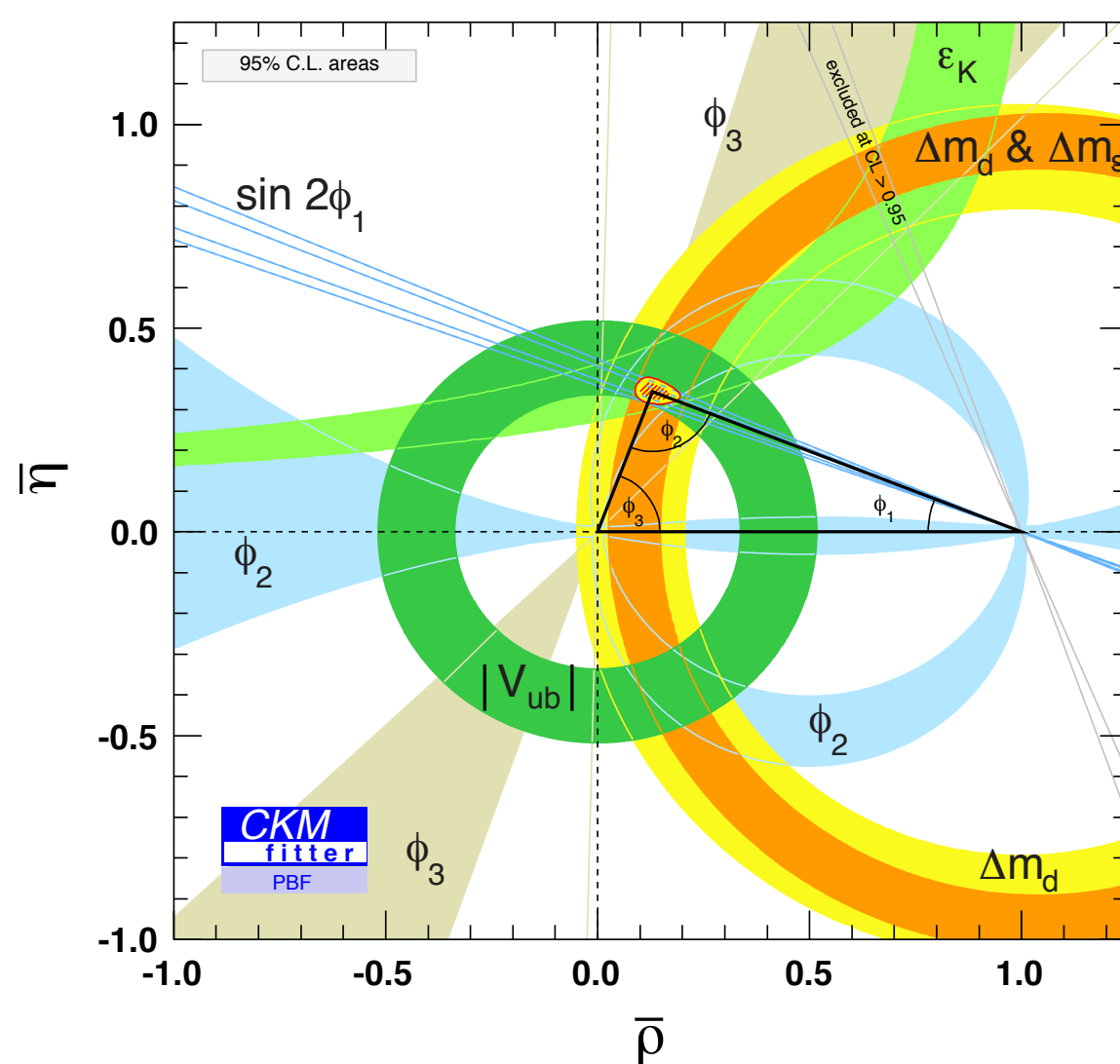
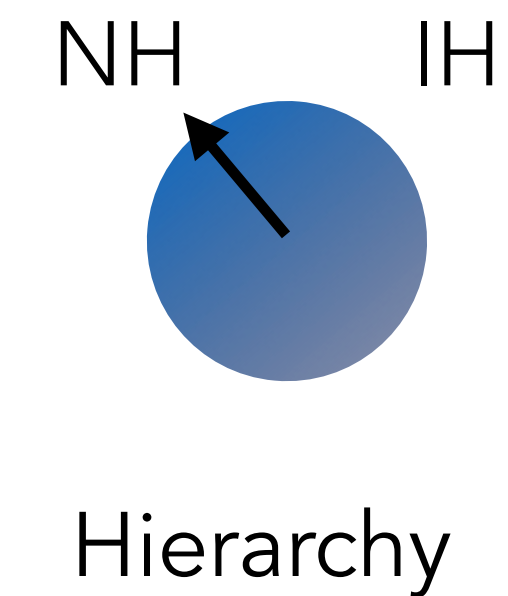
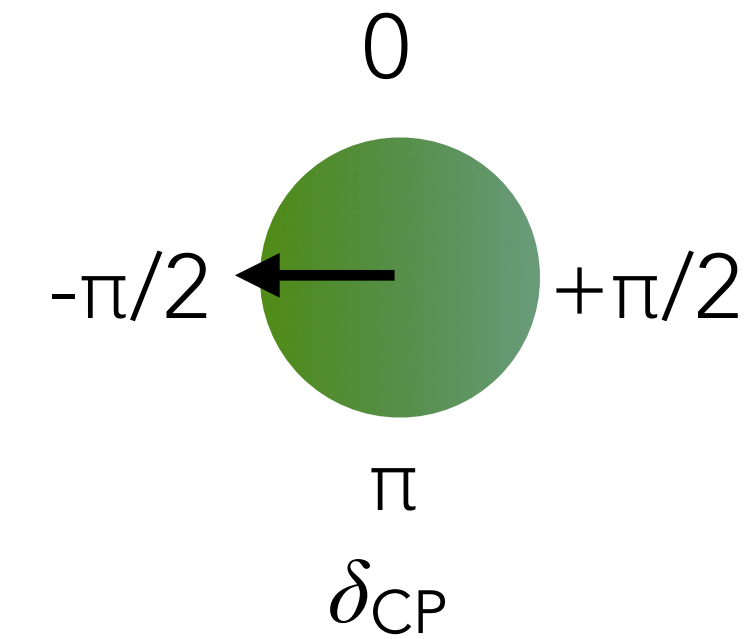
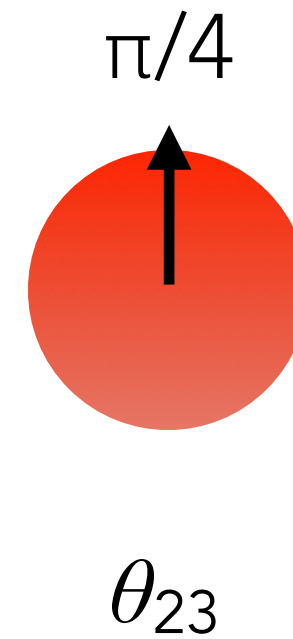
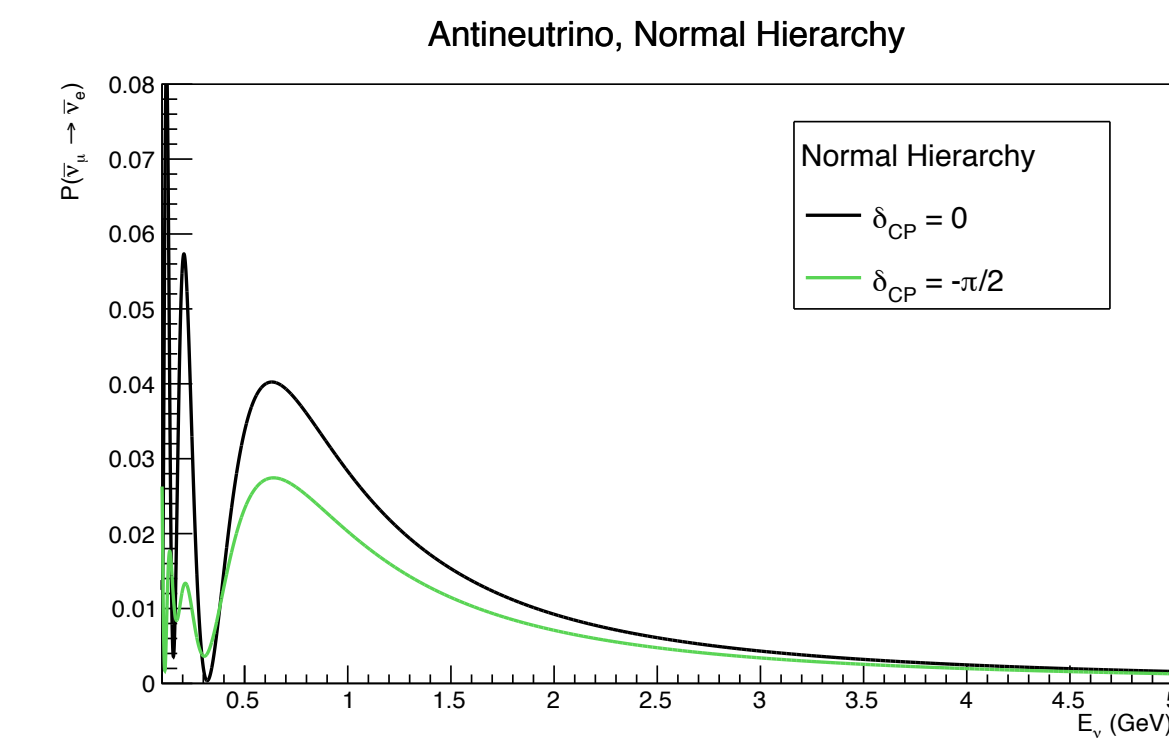
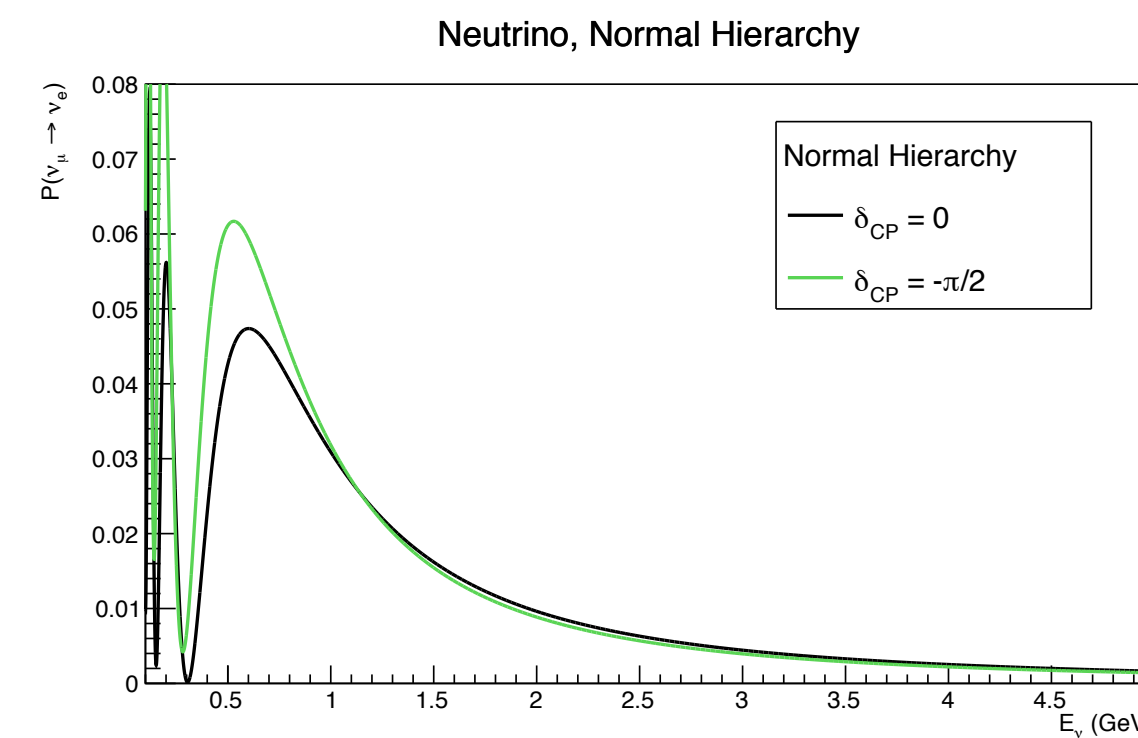


Fig. 9a. Set-up used to detect  $K_S \rightarrow \pi^+ \pi^-$ .



- 1964: Initial discovery of CP violation in  $K_L \rightarrow \pi^+ + \pi^-$
- Nearly 50 years later, we know that this arises from a complex phase in quark mixing
- Observing CPV in neutrinos is the **beginning** of a program . . .





There is a most profound and beautiful question associated with the observed coupling constant. It is a simple number that has been experimentally determined to be close to 137.03597. It has been a mystery ever since it was discovered more than fifty years ago, and all good theoretical physicists put this number up on their wall and worry about it. Immediately you would like to know where this number for a coupling comes from: is it related to pi or perhaps to the base of natural logarithms? Nobody knows.

**It's one of the greatest damn mysteries of physics: a magic number that comes to us with no understanding by man.**

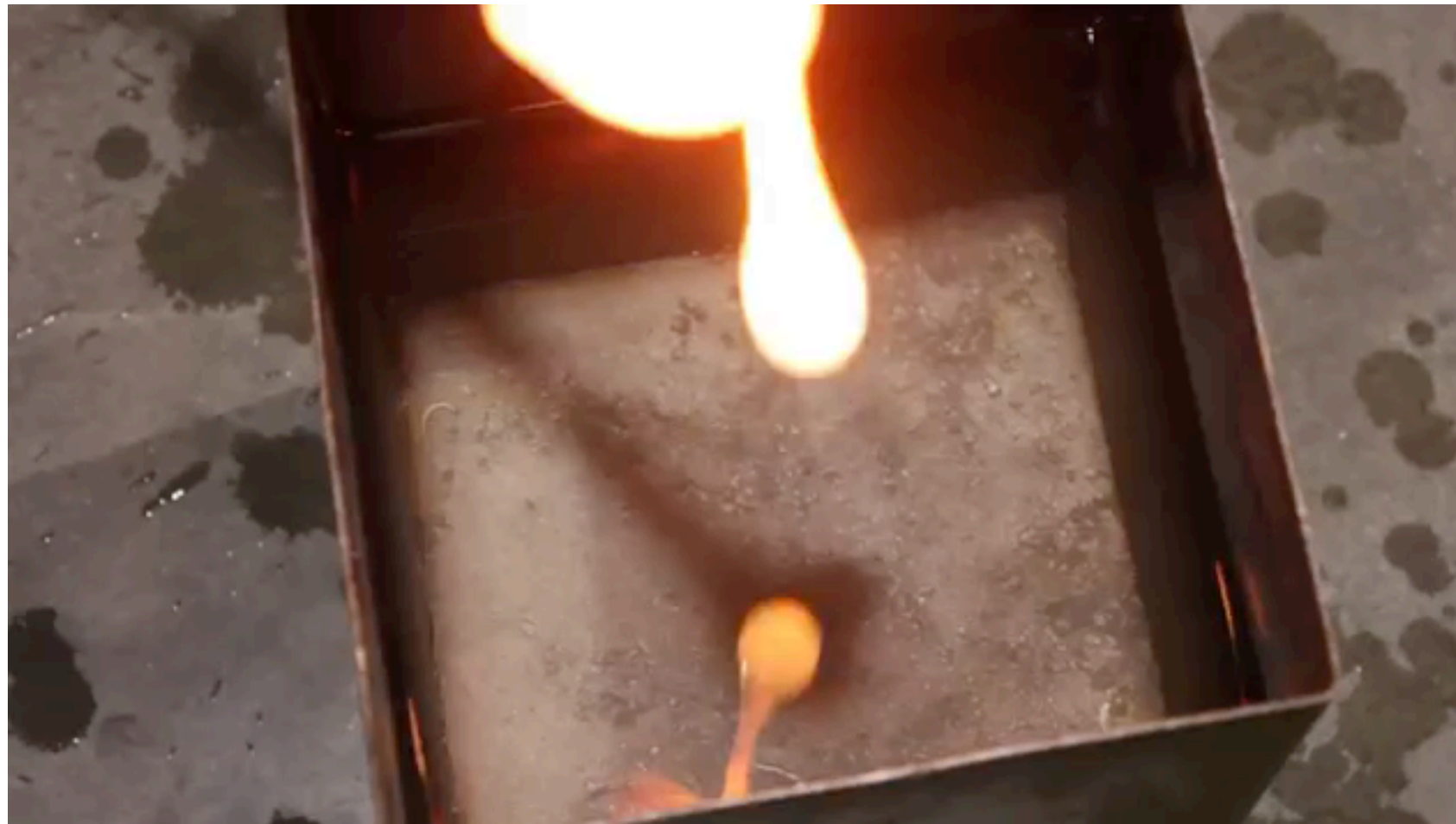
# EPILOGUE OF RANDOM THOUGHTS







# PMT IMPLOSION



- “Prince Rupert’s drop”
  - cooled molten glass is extraordinarily strong
  - enormous stresses are pent up from the cooling process
  - explosive release of stress if any part is broken
- Implosion of single PMT releases shockwave in water
  - induces implosion of adjacent PMTs
  - chain reaction destroys all PMTs in water



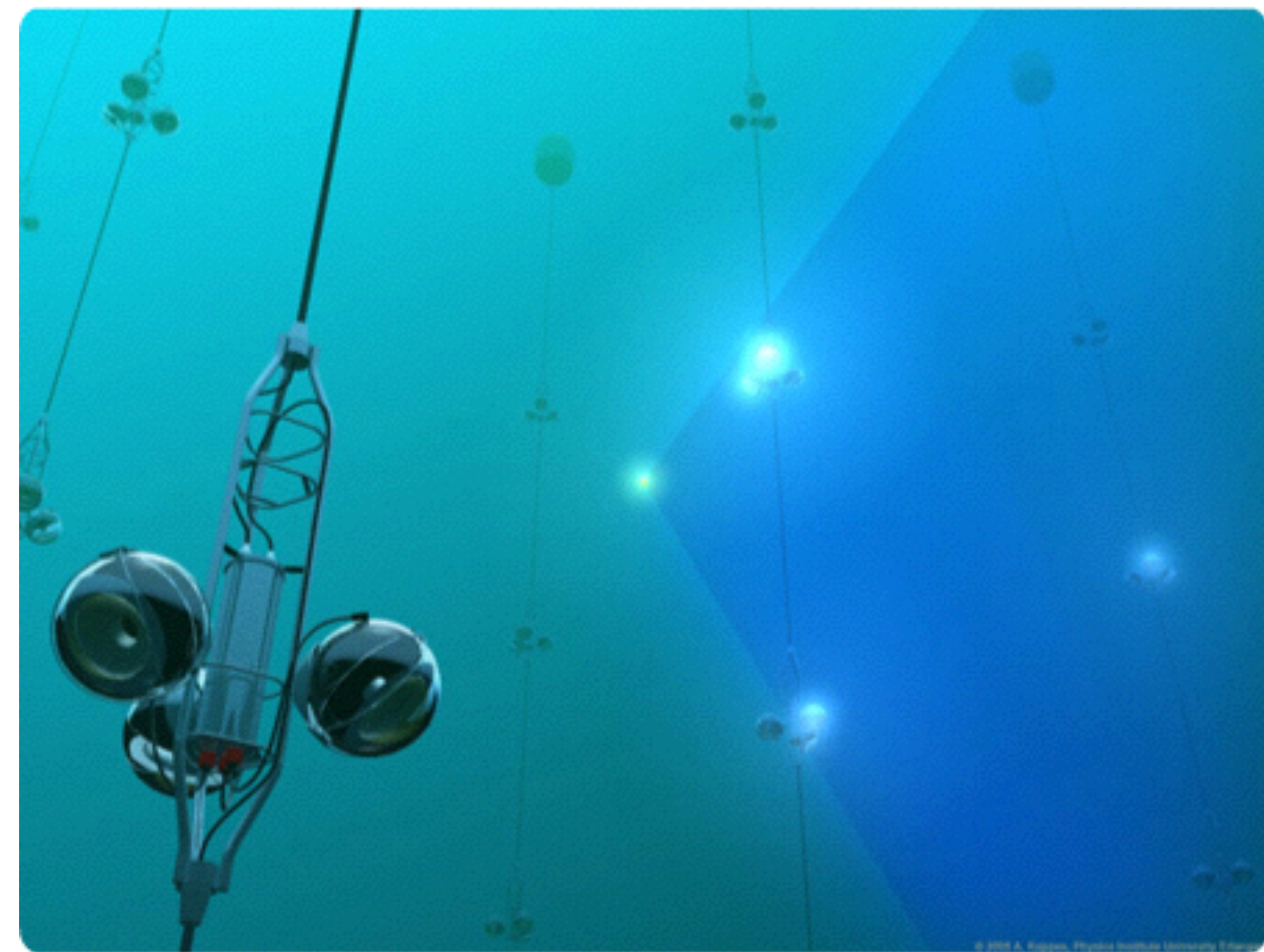
# MODULES



IceCube



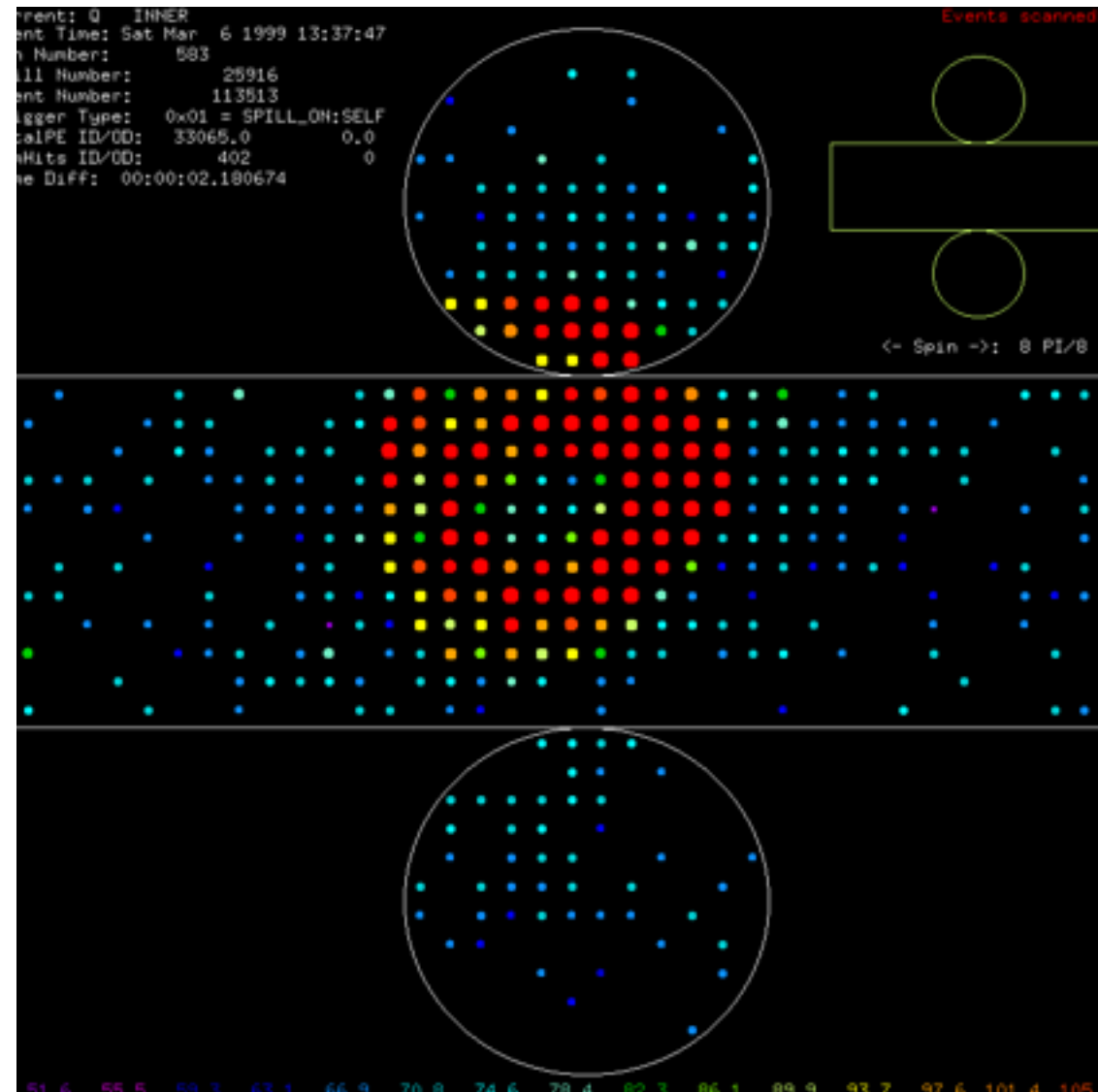
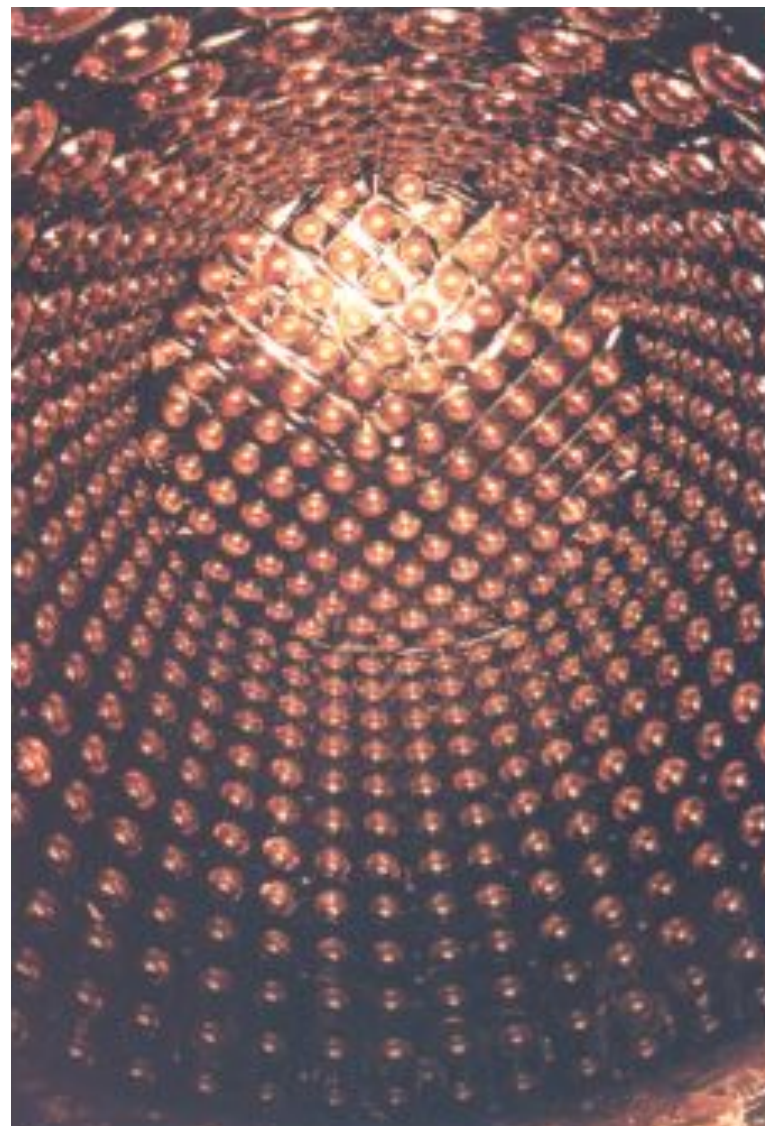
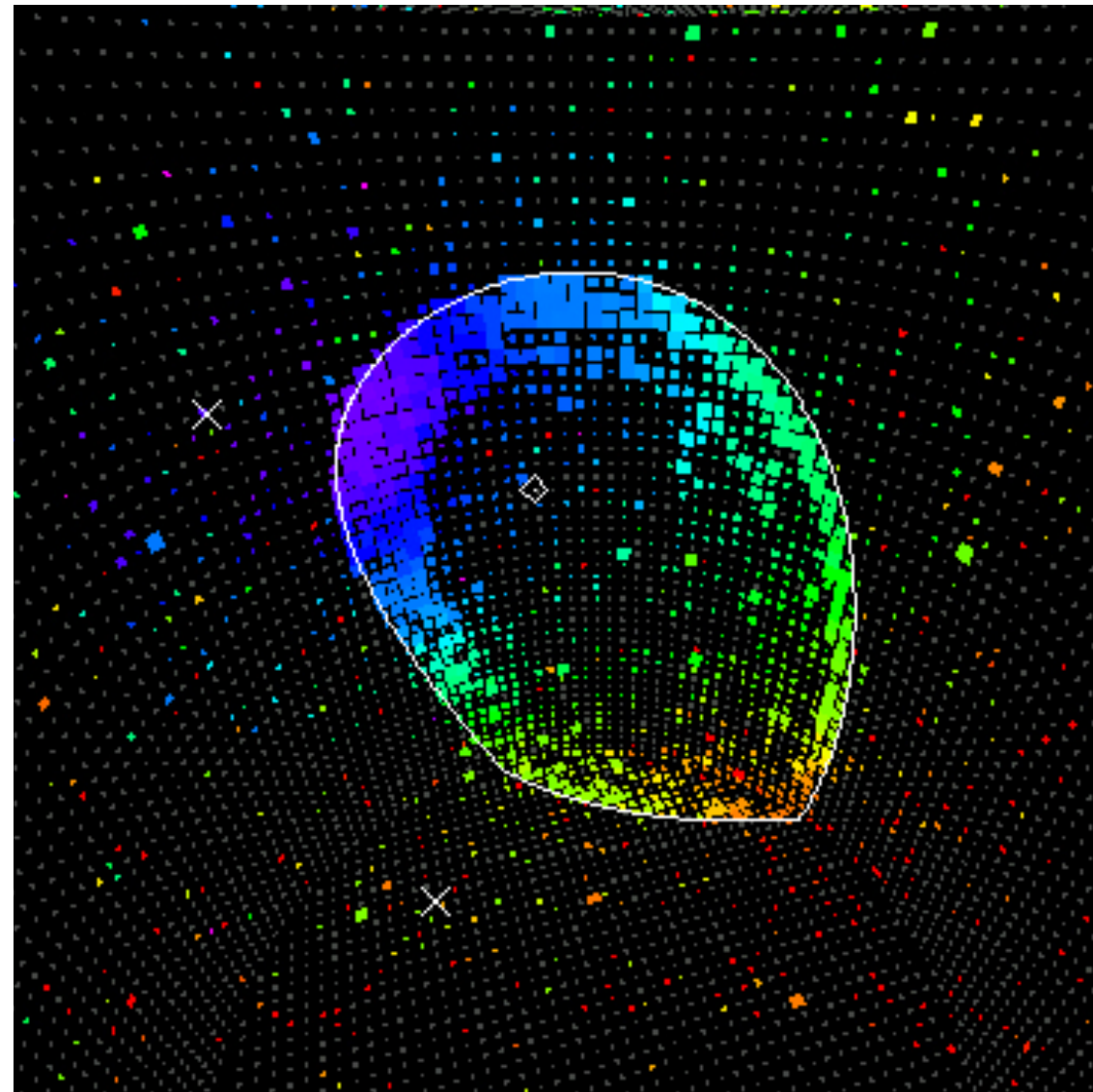
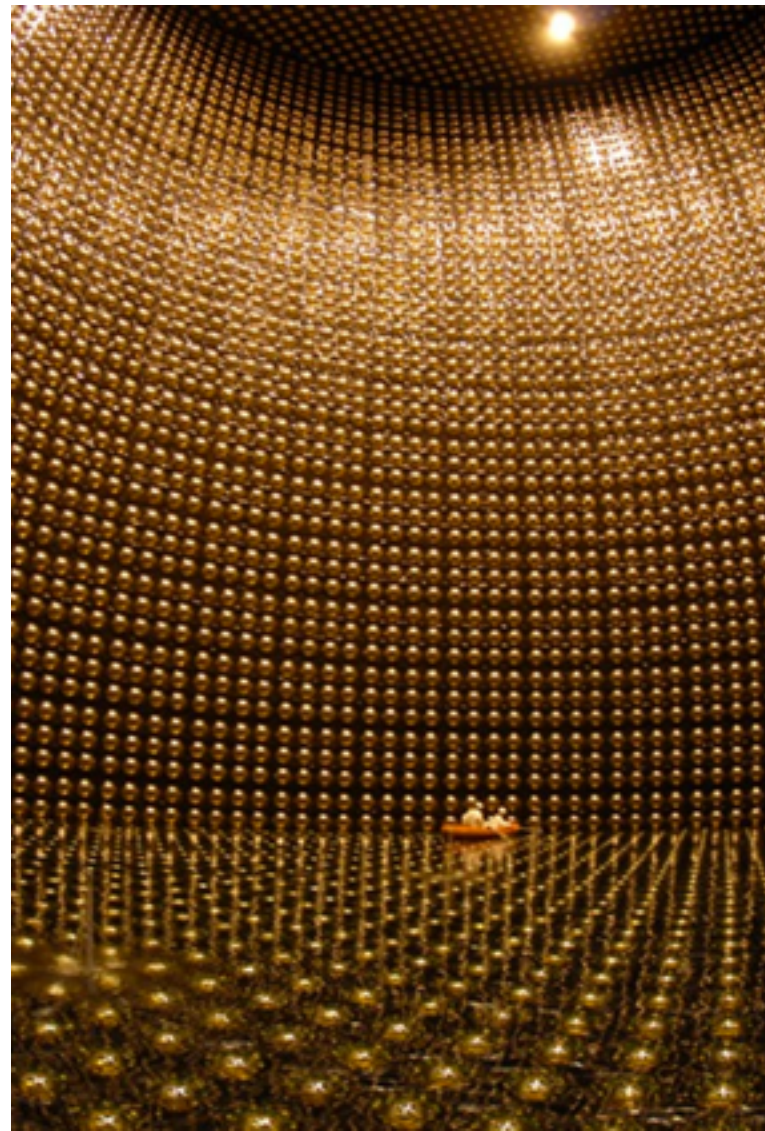
ANTARES



- “pDOM”: PMT Digital Optical Module
- Vessel houses PMT, electronics, calibration, etc.
- Protection from:
  - pressures at  $\sim 1$  km depth, (re-)freezing process
  - Ready-made solution for very deep ice/water deployment of PMTs



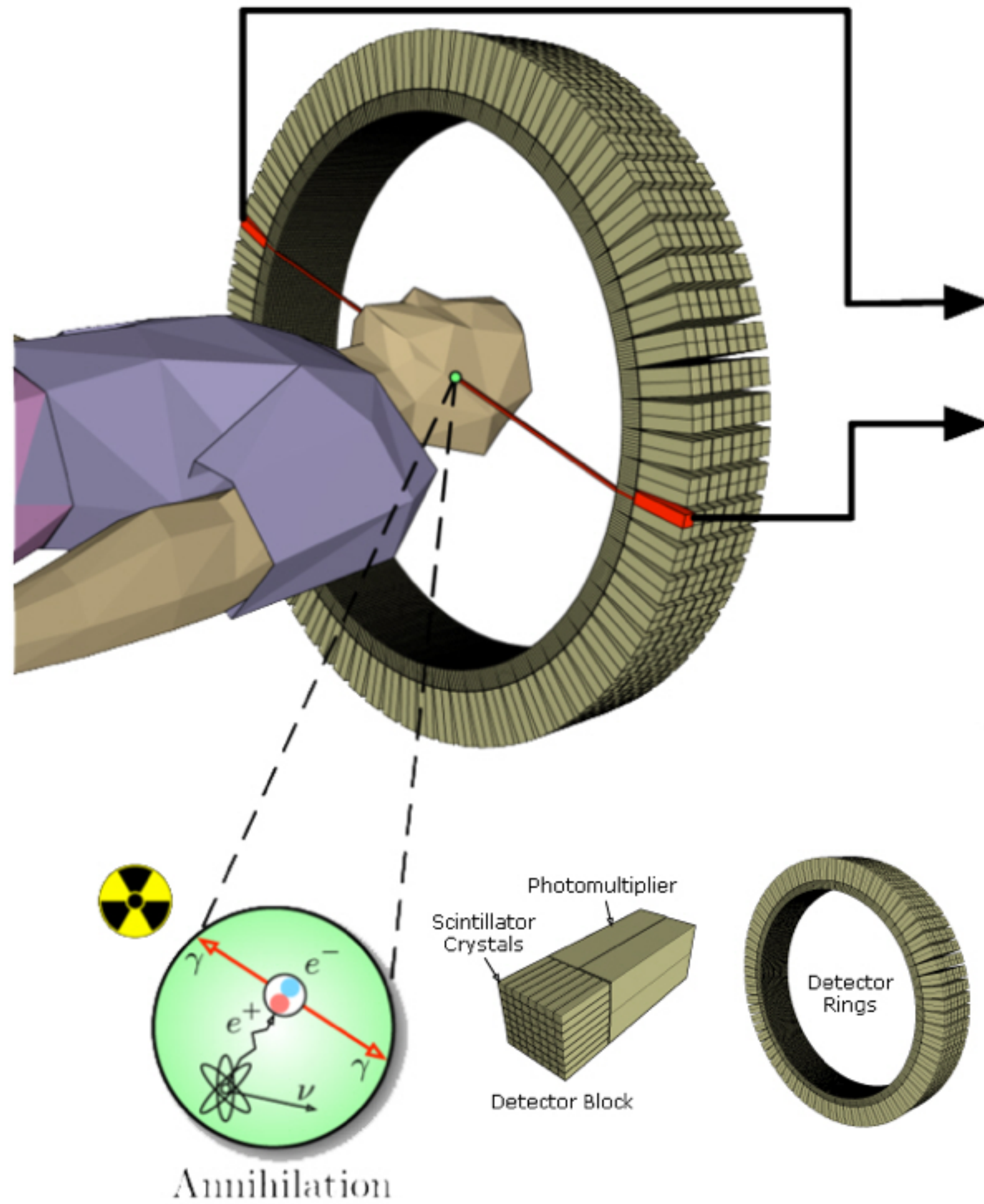
# GRANULARITY



- K2K 1KT WČ detector
  - miniature version of SK
    - 1/50th size
    - $R \sim 5$  m,  $H \sim 12$  m
  - same 20" PMTs with 40% coverage
- Granularity/sampling near the wall suffers
  - SK: events must be  $> 2$  m from the wall
    - Reduces "useable" volume
    - 33 kT  $\rightarrow$  22.5 kT
  - 1kT: "useable" volume similarly defined
    - only 50 tonnes!
- Finer granularity needed for events near the wall



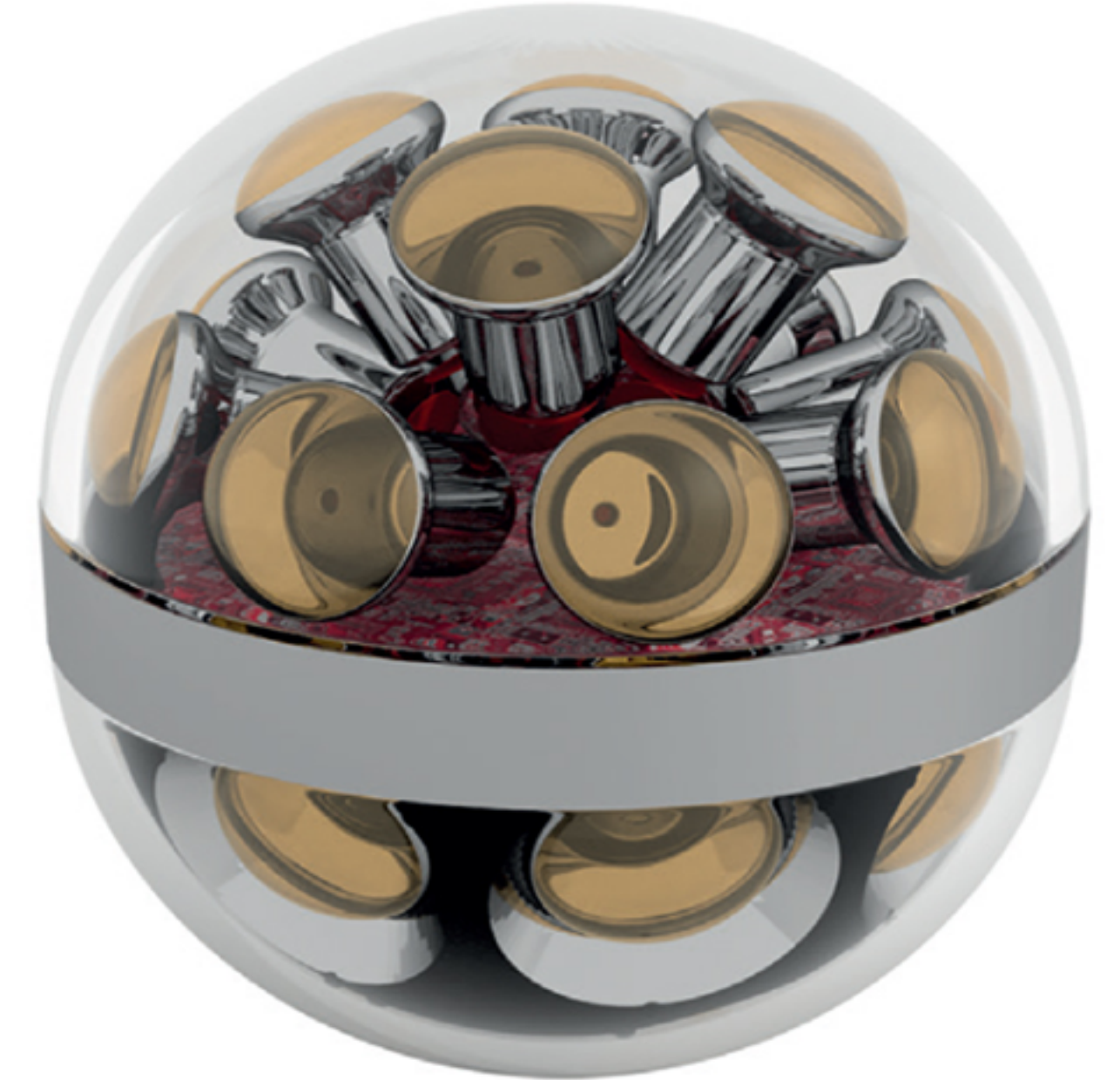
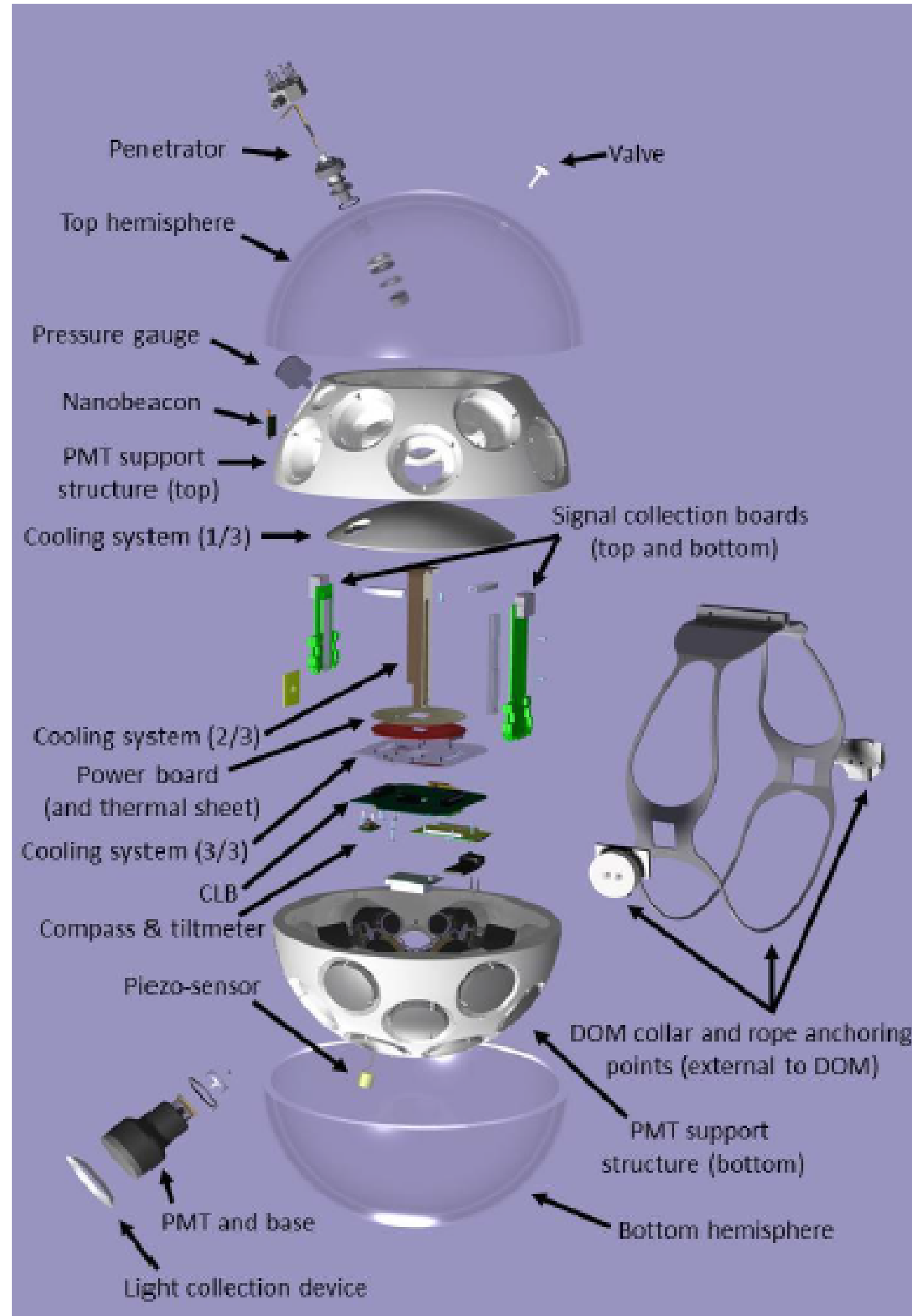
# ECONOMICS



- Large demand for small (~3") PMTs for medical imaging industry (PET, etc.)
- Industrialization of production process
  - glass envelopes mass manufactured
  - some other assembly automated
- Multiple vendors
  - Hamamatsu, ETEL, HZC, etc.
- Comparable (lower?) cost/area with 20" PMT



# MERGING THE IDEAS



- “mPMT” concept from KM3NET:
- incorporate 3” PMTs into “DOM” along with readout electronics, monitors, calibration devices, etc.
- enhanced granularity with proven protection against deep sea deployment!