

PHYSICS 489/1489

# LECTURE 12: SYMMETRIES

# ANNOUNCEMENTS

- Problem set 2 due today at 1700
  - please note again notes provided by Randy which are on the course website
- Midterm next Thursday (3 November)
  - covers material up to chapter 7
  - Short questions on Feynman diagrams, phase space, basic properties of electromagnetic, weak, strong interactions
  - One question with amplitude/cross section calculation

# SYMMETRY

- An operation on something that leaves it unchanged
- Mathematically, symmetries form "groups"
  - closure: one operation followed by another is another symmetry operation
  - identity: doing nothing is a symmetry operation
  - inverse: for each operation, there is another symmetry operation that undoes it.
  - associativity:  $O_1(O_2O_3) = (O_1O_2)O_3$
- Noether's theorem:
  - symmetry in a system  $\leftrightarrow$  conservation law



# NOETHER'S THEOREM IN QM

- We can express the operation on a state as an operator:

$$|\psi\rangle \rightarrow U|\psi\rangle \quad |U\psi\rangle \equiv U|\psi\rangle$$

- in order for the physical predictions to be unchanged by the operation, it must preserve:

- normalization  $\langle\psi|\psi\rangle \rightarrow \langle U\psi|U\psi\rangle$

$$\langle U\psi|U\psi\rangle \rightarrow \langle\psi|U^\dagger U\psi\rangle$$

- can see that  $U$  must be unitary, i.e.  $U^\dagger U = 1$

- eigenvalues of operators

- particularly the Hamiltonian  $[H, U] = 0$

# CONTINUOUS GROUPS

- A continuous group is one that can be parameterized by continuous parameter(s):

$$U \rightarrow U(\theta)$$

- Examples:
  - rotations ("special" orthogonal matrices)
    - e.g. matrices where  $O^T O = O O^T = 1$
    - with determinant 1
    - "SO(N)": special orthogonal matrices of dimension N
  - "special unitary" matrices:
    - unitary matrices with determinant 1
    - "SU(N)": special unitary matrices of dimension N

# GENERATORS

- For a continuous group, we can consider an infinitesimal transformation (in a Taylor expansion sense)

$$U(\epsilon) = 1 + i\epsilon G + \mathcal{O}(\epsilon^2) + \dots$$

- The operator  $G$  is called a “generator” of the group
- The unitarity of  $U$  requires  $G$  to be Hermitian
  - $G = G^\dagger$
- Since the infinitesimal transformation is an element of the group
  - $[H, G] = 0$
- Noether’s theorem in quantum mechanics:
  - The observable corresponding to  $G$  is conserved

# GLOBAL GAUGE SYMMETRY:

- From Electromagnetism, we have "gauge" transformations:

- Maxwell's laws are invariant under:

$$\phi = A_0 \rightarrow A_0 - \dot{\chi} \quad \mathbf{A} \rightarrow \mathbf{A} + \nabla\chi \quad A_\mu \rightarrow A_\mu - \partial_\mu\chi$$

- Consider the Dirac equation  $(i\cancel{D} - m)\psi = 0$

- if we rotate the phase of  $\psi$  through all of space-time

$$\psi \rightarrow e^{i\theta}\psi$$

- the Dirac equation remains valid (just an overall phase)

- (n.b. May be easier to see if we consider the Lagrangian

$$\mathcal{L} = i\hbar \bar{\psi}\gamma^\mu\partial_\mu\psi - mc \bar{\psi}\psi \quad \begin{array}{l} \psi \rightarrow e^{i\theta}\psi \\ \bar{\psi} \rightarrow e^{-i\theta}\bar{\psi} \end{array}$$

# LOCAL GAUGE TRANSFORMATION

- Now consider a more radical transformation:
  - Adjust the phase of the field as a function of space time
  - i.e.  $\theta$  becomes a function of  $x$

$$\theta \rightarrow \theta(x) \quad e^{-i\theta} \Rightarrow e^{-i\theta(x)}$$

- this is called a "local gauge transformation"
- now consider the Dirac equation
  - $\partial_\mu \psi \Rightarrow \partial_\mu (e^{i\theta(x)} \psi) = e^{i\theta} (\partial_\mu \psi) + i(\partial_\mu \theta) e^{i\theta} \psi$
  - $(i\not{\partial} - m)\psi = 0 \Rightarrow e^{i\theta} \times [i\not{\partial} - (\not{\partial}\theta) - m] \psi = 0$
- extra term in the equation!



# LOCAL GAUGE SYMMETRY

- Promote local gauge transformations to a symmetry
  - *we require* the equation to be invariant under local gauge transformations (i.e. space-time dependent phase rotations)

- The symmetry/invariance can be restored if:

- we add a term to the equation

$$(i\partial - m)\psi = 0 \Rightarrow (i\partial - qA - m)\psi = 0$$

- where:

$$A_\mu \rightarrow A_\mu - \frac{1}{q}\partial_\mu\theta(x) \quad \psi \rightarrow e^{i\theta(x)}\psi$$

$$(i\partial - qA - m)\psi = 0$$

$$\Rightarrow e^{i\theta(x)} \times [i\partial - (\partial\theta) - qA + \cancel{\partial\theta} - m] \psi = 0$$

# WHAT HAPPENED:

- We required the Dirac equation to be invariant under local gauge transformation
- this introduced a new field  $A$  with its own transformation
- Note:
  - $A$  is a “vector” particle: i.e.  $A_\mu$
  - its transformation is the same as the EM gauge transformation
  - it couples to the Dirac field with a strength controlled by  $q$
  - (it must be massless to preserve the symmetry)
- It has all the properties of a photon interacting with a Dirac particle with charge  $q$
- electromagnetism is a “U(1) local gauge theory”

# LINGO:

- "gauge symmetry" = "gauge invariance":
  - generalization of "phase symmetry"
- "covariant derivative":
$$\partial_\mu \rightarrow \partial_\mu + iqA_\mu$$
- "gauge boson"
  - vector field introduced for local gauge invariance
- "gauge theory"
  - particle system that has a gauge symmetry

# GENERALIZATION:

- Consider the group SU(2)
  - "2x2 unitary matrices with determinant 1"
  - we can parameterize the group as follows:

$$U = U(\vec{\theta}) = e^{i\frac{g}{2}\vec{\theta}\cdot\vec{\sigma}}$$

- where  $\sigma$  are Pauli matrices
- Note:
  - we can consider  $\sigma$  as generators of the group
  - there are three parameters which parametrize the group
  - the matrices act on two-component vectors/spinors

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

$$\sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$

$$\sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

# "NON-ABELIAN GAUGE SYMMETRY"

- Now consider a theory in which we postulate:
  - "local gauge invariance under SU(2)"
  - $\theta$  parameters become space-time dependent

$$\vec{\theta} \rightarrow \vec{\theta}(x)$$

- the "space/objects" we act on have two component

$$\psi \equiv \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} \rightarrow e^{i\frac{g}{2}\vec{\theta}\cdot\vec{\sigma}} \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}$$

- for Dirac particles, this means that we are considering a pair of Dirac fields (each with 4 components) that transform under SU(2) operators

# GAUGE INVARIANCE

- Like before, gauge invariance requires new fields because of the space-time dependence of  $\theta(x)$

- so we introduce three fields ( $A^i$ ) that transform as follows:

$$\vec{A}_\mu \rightarrow \vec{A}_\mu - \frac{1}{2} \partial_\mu \theta - \frac{g}{2} \epsilon_{ijk} \theta_i A_\mu^j$$

- one can show that this preserves the invariance under the SU(2) transformations for this equation of motion

$$(i\not{\partial} - \frac{g}{2} \vec{\sigma} \cdot \vec{A} - m)\psi = 0$$

- the extra term in the A transformation results from the fact that the the  $\sigma$  matrices do not commute.

# WHAT HAPPENED:

- We now have a system of two fermions, each described by the Dirac equation
- local gauge symmetry requires three fields to cancel the "leftover" terms from the transformation
  - we have three new gauge fields which mediate interactions
- the additional term
$$\frac{g}{2} \vec{\sigma} \cdot \vec{A} \psi$$
- leads to interactions between the gauge bosons themselves
  - i.e. the bosons are "charged"

$$\frac{g}{2} \vec{\theta} \times \vec{A}_\mu$$

# FOUNDATIONS:

- Quantum field theory arises from
  - special relativity
  - quantum mechanics
- with Local Gauge Invariance
  - we introduce interactions via bosons required to maintain the symmetry
  - however, the bosons must be massless
- Note the difference between:
  - the symmetry group (i.e. possible operations)
  - the objects on which they act
  - imposing symmetries on their behaviour (equations of motion, Lagrangian, etc.)



# NEXT TIME

- Please read 10.5, 10.6
  - You can skip 10.5.1 unless it helps you understand 10.5.2