

2-ring ν_e CC $1\pi^+$ Selection Studies

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T2K-SK Pre-Meeting
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Overview

- Since last collaboration meeting:
 - focused on improving efficiency of $2\text{Re}\pi(1\text{de})$ selections
 - expanded selection beyond $\text{fqrnrng}[0]=2$ and $\text{fqrpid}[0][*]=1\text{e}1\pi^{+/-}$
 - further explored use of BDTs

| | | |
|---|-------------------------------------|---------------------------------|
| $\nu_e + p/n \rightarrow e^-$ | $1\text{Re}, 0 \text{ decay } e$ | (1Re) |
| $\rightarrow e^- + \pi^+ \text{ below } CT$ | $1\text{Re}, 1 \text{ decay } e$ | (1Re1de) |
| $\rightarrow \mu \rightarrow e$ | | |
| $\rightarrow e^- + \pi^+ \text{ above } CT$ | $2\text{Re}\pi, 0 \text{ decay } e$ | (2Reπ) |
| $\rightarrow e^- + \pi^+ \text{ above } CT$ | $2\text{Re}\pi, 1 \text{ decay } e$ | (2Reπ1de) |
| $\rightarrow \mu \rightarrow e$ | | |

CT = Cherenkov Threshold

Original Baseline Cuts

| | 2Reπ | 2Reπ1de |
|---------------------------|--|----------|
| FCFV | evclass==1 && evis>30 && nhitac<16 && fqwall_2R>50 | |
| 2 rings | fqmrnrng[0]==2 | |
| eπ-like | (fqmrpid[0][0]==11 && fqmrpid[0][1]==211) (fqmrpid[0][0]==211 && fqmrpid[0][1]==11) | |
| 0 decay e | fqNSE==1 | fqNSE==2 |
| $E_{rec} < 1.5\text{GeV}$ | nuErec_2repi(p_e, p_π)<1.5 | |

large efficiency loss at 2-ring cut

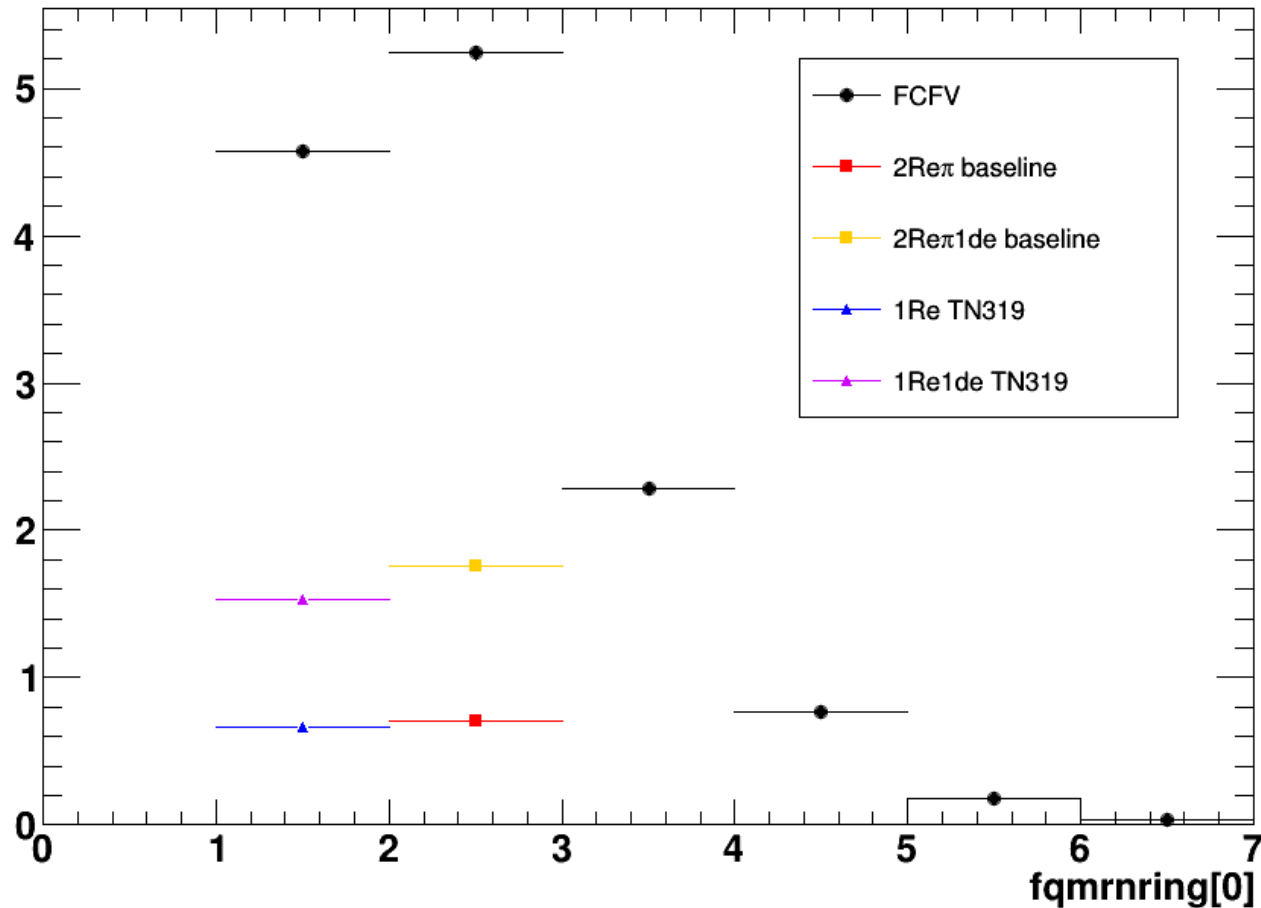
$$\text{FOM} = \frac{S}{\sqrt{S+B}}$$

(neutrino beam mode, normal mass hierarchy, $\delta_{CP}=0$, 10^{21} POT)

| cut | 2Reπ | | | | | 2Reπ1de | | | | |
|---------------------------|---------------------|--------|--------------|--------------|--------------|---------------------|--------|--------------|--------------|--------------|
| | true $1e1\pi^{+/-}$ | other | purity | efficiency | FOM | true $1e1\pi^{+/-}$ | other | purity | efficiency | FOM |
| FCFV | 13.08 | 693.36 | 1.9% | | | 13.08 | 693.36 | 1.9% | | |
| 2 rings | 5.24 | 169.12 | 3.0% | | | 5.24 | 169.12 | 3.0% | | |
| eπ-like | 3.87 | 14.57 | 21.0% | | | 3.87 | 14.57 | 21.0% | | |
| 0 decay e | 1.27 | 6.27 | 16.9% | | | 2.47 | 5.76 | 30.1% | | |
| $E_{rec} < 1.5\text{GeV}$ | 0.71 | 3.10 | 18.5% | 27.5% | 0.362 | 1.75 | 2.15 | 45.0% | 34.9% | 0.888 |

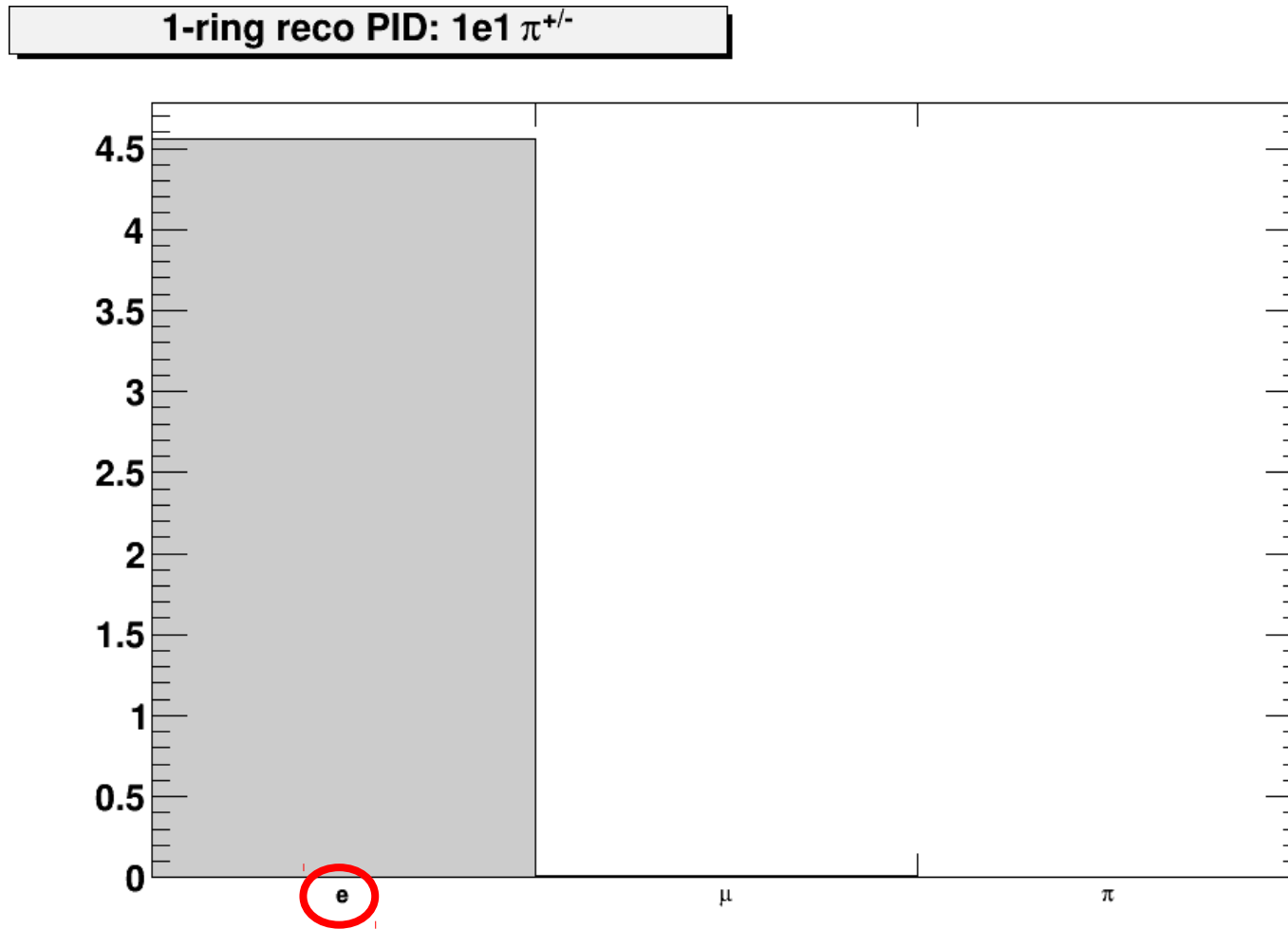
- “true $1e1\pi^{+/-}$ ” events with one electron and one charged pion (above Cherenkov threshold + 30 MeV/c momentum), counted using the VCWORK stack where the pion must be flagged “to chase”
- Efficiency calculated relative to number of visible events in FCFV, with $E_{rec} < 1.5\text{ GeV}$, separated into 1 sub-event and 2 sub-event samples

fqmrnrng[0]: 1e1 $\pi^{+/-}$

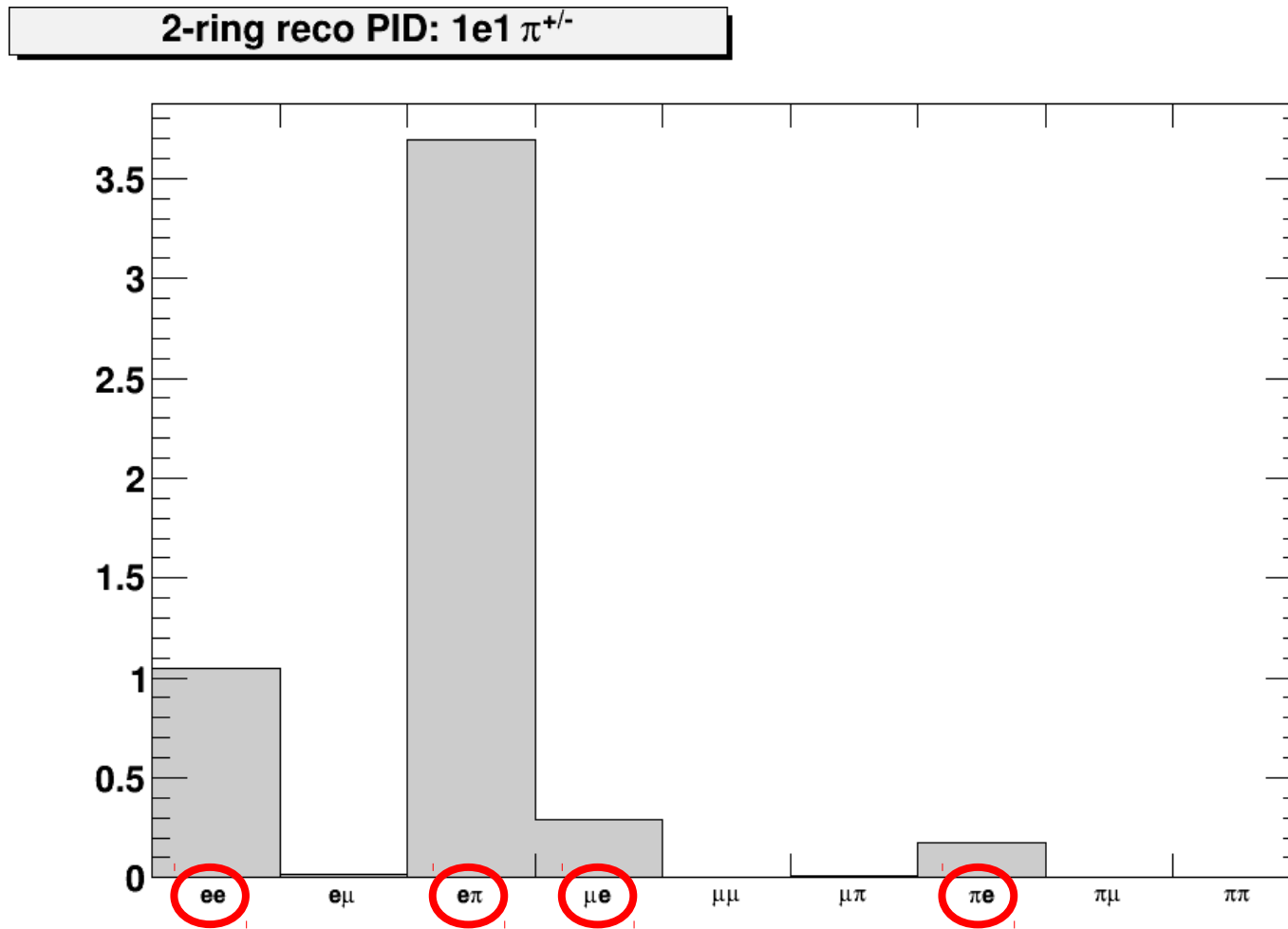


- Plot of fqmrnrng[0] for true $1e1\pi^{+/-}$ events
 - FCFV events, as well as events passing specific selections, are shown
- Lots of $1e1\pi^{+/-}$ events are being reconstructed as 1-ring, 3-ring, and 4-ring events

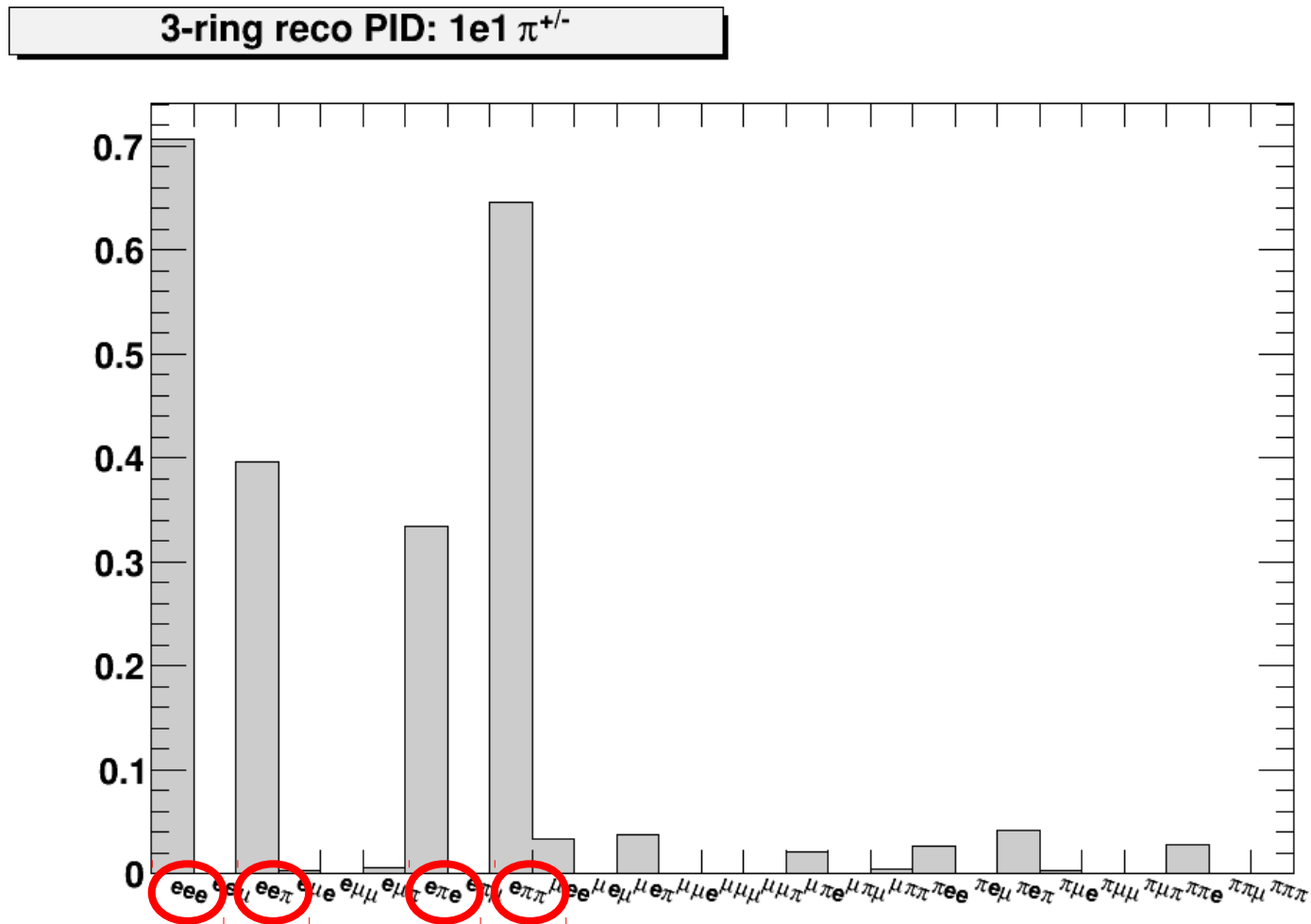
What are true $1e1\pi^{+/-}$ events being reconstructed as?



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Improved $e\pi$ -like Cut

Added more “sub-samples” ($f_{qmrpid}[0][*] = 1Re, 2Ree, 2R\mu e, 3Re\pi\pi$) to 2-ring $e\pi$ -like cut

old baseline

| | true $1e1\pi^{+-}$ | other | purity | efficiency | FOM | net purity | net eff. |
|------------|--------------------|-------|--------|------------|-------|------------|----------|
| 0de | 0.71 | 3.10 | 18.5% | 27.5% | 0.362 | 31.9% | 32.4% |
| 1de | 1.75 | 2.15 | 45.0% | 34.9% | 0.888 | | |

new baseline: **1Re + 2Re π + 2R πe + 2Ree + 2R μe + 3Re $\pi\pi$**

| | true $1e1\pi^{+-}$ | other | purity | efficiency | FOM | net purity | net eff. |
|------------|--------------------|-------|--------|------------|-------|------------|----------|
| 0de | 0.70 | 2.32 | 23.3% | 27.4% | 0.405 | 32.6% | 44.9% |
| 1de | 2.71 | 4.73 | 36.4% | 53.8% | 0.992 | | |

red = 1de only

net purity: 31.9% → 32.6%
net efficiency: 32.4% → 44.9%

“new baseline” cuts applied to each sub-sample:

```
b_1re = ( fqNSE==2 && ( nll1re-nll1rmu < -200. || fq1rmom[0][1] > 80. ) && fq1rmom[0][1] > 40. && ( nll1re-nll2rpie < -50. || fq1rmom[0][1] > 80. ) )
```

```
b_2repi = ( ( fqNSE==2 ) || ( fqNSE==1 && nll2repi-nll2rpie < -100. ) )
```

```
b_2rpie = ( ( fqNSE==1 && fqmrMOM[0][1] > 40. && fqmrMOM[0][0] > 340. ) || ( fqNSE==2 && fqmrMOM[0][1] > 40. ) )
```

```
b_2ree = ( fqNSE==2 && ( nll2ree-nll1rmu > -1000. && fqpi0mass[0] < 140. ) && ( nll2ree-nll2repi > -150. ) )
```

```
b_2rmue = ( fqNSE==2 && ( fqmrMOM[0][0] < 200. || nll2rmue-nll1rmu < -500. ) && ( nll2rmue-nll2repi > -100. ) )
```

```
b_3repipi = ( nll3repipi-nll2repi > -800.+fqmrMOM[0][0]*1.60 )
```

Using BDTs

- Rather than adding sub-samples and cutting on each one by eye, use BDT
 - I've experimented with BDTs previously, but BDT input at the time was just the old baseline events (with poor efficiency)
- Two separate BDTs: 0 decay e, 1 decay e
- Start with sub-samples on previous slide as input to BDT
 - try to add more sub-samples, or otherwise generally expand efficiency of input
- Goal is to improve efficiency of BDT input such that the FOM can be improved

BDT Trials

- Preliminary cuts:

- FCFV

- possible 2Repi

- v1:

- 0 de: $i2repi==0 \ || \ i2rpie==0 \ || \ i3repipi==0$

- 1 de: $(i1re==0 \ \&\& \ !s1re \ \&\& \ !s1re1de) \ || \ i2ree==0 \ || \ i2repi==0 \ || \ i2rpie==0 \ || \ i2rmue==0 \ || \ i3repipi==0$

- v2:

- 0 de: $i2repi==0 \ || \ i2rpie==0 \ || \ i3repipi==0 \ || \ i4reeepi==0 \ || \ i4reepie==0 \ || \ i4reepipi==0 \ || \ i4repiee==0 \ || \ i4repipipi==0$

- 1 de: $(i1re==0 \ \&\& \ !s1re \ \&\& \ !s1re1de) \ || \ i2ree==0 \ || \ i2repi==0 \ || \ i2rpie==0 \ || \ i2rmue==0 \ || \ i3repipi==0 \ || \ i4repipipi==0$

- v3:

- 0 de: $i2repi==0 \ || \ i2rpie==0 \ || \ i3reee==0 \ || \ i3reepi==0 \ || \ i3repie==0 \ || \ i3repipi==0$

- 1 de: $(i1re==0 \ \&\& \ !s1re \ \&\& \ !s1re1de) \ || \ i2ree==0 \ || \ i2repi==0 \ || \ i2rpie==0 \ || \ i2rmue==0 \ || \ i3repipi==0$

- 1/2 sub-events

- separate samples

- $E_{rec}(1e,1\pi) < 1.5 \text{ GeV}$

- v1 uses same sub-samples as the new baseline

- For each version, compared performance with different combinations of BDT variables

BDT Results (0 decay e)

| | | v1 (input efficiency: 30.1% 0de) | | | | | v2 (input efficiency: 34.2% 0de) | | | | | v3 (input efficiency: 43.4% 0de) | | | | |
|----------|-------|----------------------------------|------|--------|-------|--------------|----------------------------------|------|--------|-------|-------|----------------------------------|------|--------|-------|-------|
| | Trial | Signal | Bkgd | Purity | Eff | FOM | Signal | Bkgd | Purity | Eff | FOM | Signal | Bkgd | Purity | Eff | FOM |
| 2R π | 1 | 0.53 | 0.95 | 36.0% | 20.8% | 0.439 | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| | 2 | 0.55 | 0.70 | 44.1% | 21.4% | 0.493 | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| | 3 | 0.59 | 0.62 | 48.7% | 22.9% | 0.535 | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| | 4 | 0.55 | 0.51 | 52.1% | 21.5% | 0.537 | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| | 5 | 0.51 | 0.33 | 61.1% | 20.0% | 0.560 | -- | -- | -- | -- | -- | 0.42 | 0.26 | 61.7% | 16.5% | 0.511 |
| | 6 | -- | -- | -- | -- | -- | 0.56 | 0.49 | 53.1% | 21.8% | 0.545 | 0.43 | 0.28 | 61.1% | 16.8% | 0.513 |
| | 7 | 0.56 | 0.39 | 58.8% | 21.8% | 0.574 | 0.47 | 0.25 | 64.7% | 18.2% | 0.549 | 0.48 | 0.36 | 57.1% | 18.5% | 0.521 |
| | 8 | 0.56 | 0.41 | 58.0% | 21.8% | 0.570 | 0.54 | 0.38 | 58.6% | 20.9% | 0.561 | 0.49 | 0.34 | 59.5% | 19.2% | 0.541 |
| | 9 | -- | -- | -- | -- | -- | 0.59 | 0.59 | 49.9% | 22.9% | 0.542 | -- | -- | -- | -- | -- |

Variables

- 1) 1R v 1R nll, 1R v 2R nll, 2R v 2R nll
- 2) 1R v 1R nll, 1R v 2R nll, 2R v 2R nll, 1R+2R kinematics
- 3) 1R v 1R nll, 1R v 2R nll, 2R v 2R nll, 2R v 3R nll
- 4) 1R v 1R nll, 1R v 2R nll, 2R v 2R nll, 2R v 3R nll, 3R v 3R nll
- 5) 1R v 1R nll, 1R v 2R nll, 2R v 2R nll, 2R v 3R nll, 3R v 3R nll, 1R+2R kinematics
- 6) 1R v 1R nll, 1R v 2R nll, 2R v 2R nll, 2R v 3R nll, 3R v 3R nll, 1R+2R kinematics, 3R v 4R nll
- 7) 1R v 1R nll, 1R v 2R nll, 2R v 2R nll, 2R v 3R nll, 3R v 3R nll, 1R+2R kinematics, E_{rec} , towall e, towall π , p_{low} , $m_{\pi 0}$
- 8) 1R v 1R nll, 1R v 2R nll, 2R v 2R nll, 2R v 3R nll, 3R v 3R nll, 1R+2R kinematics, E_{rec} , towall e, towall π , p_{low} , $m_{\pi 0}$, fit indices of 1R, 2R, and 3R fits
- 9) 1R v 1R nll, 1R v 2R nll, 2R v 2R nll, 2R v 3R nll, 3R v 3R nll, 1R+2R kinematics, E_{rec} , towall e, towall π , p_{low} , $m_{\pi 0}$, fit indices of 1R, 2R, and 3R fits, 3R v 4R nll

- Note that missing 3-ring and 4-ring nlls are padded with 0
- v1 gives the best performance, despite having the lowest input efficiency
- Despite adding MR fit indices to v2 and v3 (trials 8 and 9), they are still unable to out-perform v1 without indices

BDT Results (1 decay e)

| | | v1 (input efficiency: 30.1% 0de) | | | | | v2 (input efficiency: 34.2% 0de) | | | | |
|----------|-------|----------------------------------|------|--------|-------|--------------|----------------------------------|------|--------|-------|-------|
| | Trial | Signal | Bkgd | Purity | Eff | FOM | Signal | Bkgd | Purity | Eff | FOM |
| 2R π | 1 | 2.31 | 2.46 | 48.4% | 45.9% | 1.056 | -- | -- | -- | -- | -- |
| | 2 | 2.22 | 1.66 | 57.2% | 44.2% | 1.127 | -- | -- | -- | -- | -- |
| | 3 | 2.54 | 2.69 | 48.5% | 50.5% | 1.110 | -- | -- | -- | -- | -- |
| | 4 | 2.50 | 2.47 | 50.4% | 49.8% | 1.123 | -- | -- | -- | -- | -- |
| | 5 | 2.44 | 1.85 | 56.8% | 48.5% | 1.177 | -- | -- | -- | -- | -- |
| | 6 | -- | -- | -- | -- | -- | 2.23 | 1.56 | 58.9% | 44.4% | 1.146 |
| | 7 | 2.39 | 1.72 | 58.1% | 47.6% | 1.179 | 2.07 | 1.07 | 66.0% | 41.3% | 1.170 |
| | 8 | 2.32 | 1.43 | 61.8% | 46.1% | 1.197 | 2.33 | 1.67 | 58.3% | 46.4% | 1.166 |
| | 9 | -- | -- | -- | -- | -- | 2.24 | 1.90 | 56.0% | 48.2% | 1.165 |

Variables

- 1) 1R v 1R nll, 1R v 2R nll, 2R v 2R nll
- 2) 1R v 1R nll, 1R v 2R nll, 2R v 2R nll, 1R+2R kinematics
- 3) 1R v 1R nll, 1R v 2R nll, 2R v 2R nll, 2R v 3R nll
- 4) 1R v 1R nll, 1R v 2R nll, 2R v 2R nll, 2R v 3R nll, 3R v 3R nll
- 5) 1R v 1R nll, 1R v 2R nll, 2R v 2R nll, 2R v 3R nll, 3R v 3R nll, 1R+2R kinematics
- 6) 1R v 1R nll, 1R v 2R nll, 2R v 2R nll, 2R v 3R nll, 3R v 3R nll, 1R+2R kinematics, 3R v 4R nll
- 7) 1R v 1R nll, 1R v 2R nll, 2R v 2R nll, 2R v 3R nll, 3R v 3R nll, 1R+2R kinematics, E_{rec} , towall e, towall π , p_{low} , $m_{\pi 0}$, d2se
- 8) 1R v 1R nll, 1R v 2R nll, 2R v 2R nll, 2R v 3R nll, 3R v 3R nll, 1R+2R kinematics, E_{rec} , towall e, towall π , p_{low} , $m_{\pi 0}$, d2se, fit indices of 1R, 2R, and 3R fits
- 9) 1R v 1R nll, 1R v 2R nll, 2R v 2R nll, 2R v 3R nll, 3R v 3R nll, 1R+2R kinematics, E_{rec} , towall e, towall π , p_{low} , $m_{\pi 0}$, d2se, fit indices of 1R, 2R, and 3R fits, 3R v 4R nll

- Note that missing 3-ring and 4-ring nlls are padded with 0
- for 1 decay e sample, v3 is identical to v1 so it is not shown
- As with the 0 decay e sample, v1 gives the best performance

BDT Architecture

- Previous slides used BDT parameters of MaxDepth=3 and NTrees=850
- How dependent is BDT performance on these parameters?
 - There are a number of other BDT parameters that the user can specify in TMVA
- Grid search across MaxDepth and NTrees to examine FOM dependence

BDT Grid Search Results

Thoughts and Future Work

- Final attempt to increase efficiency of BDT input using loose cuts
 - different approach than adding sub-samples
- Dependence of BDT on random seed?
- Move towards focusing on systematic uncertainties

Backup

- baseline detailed cutflow (old and new)
- neut modes of “true $1e1\pi^{+/-}$ ” events
- Purity/efficiency plots for each sub-sample
- ROC curves for BDTs
- Reconstruction metrics (E_{res} , p_{res} , etc.)